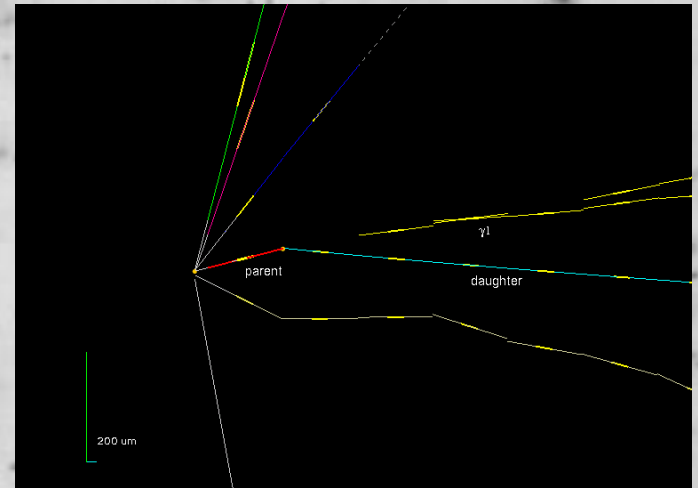
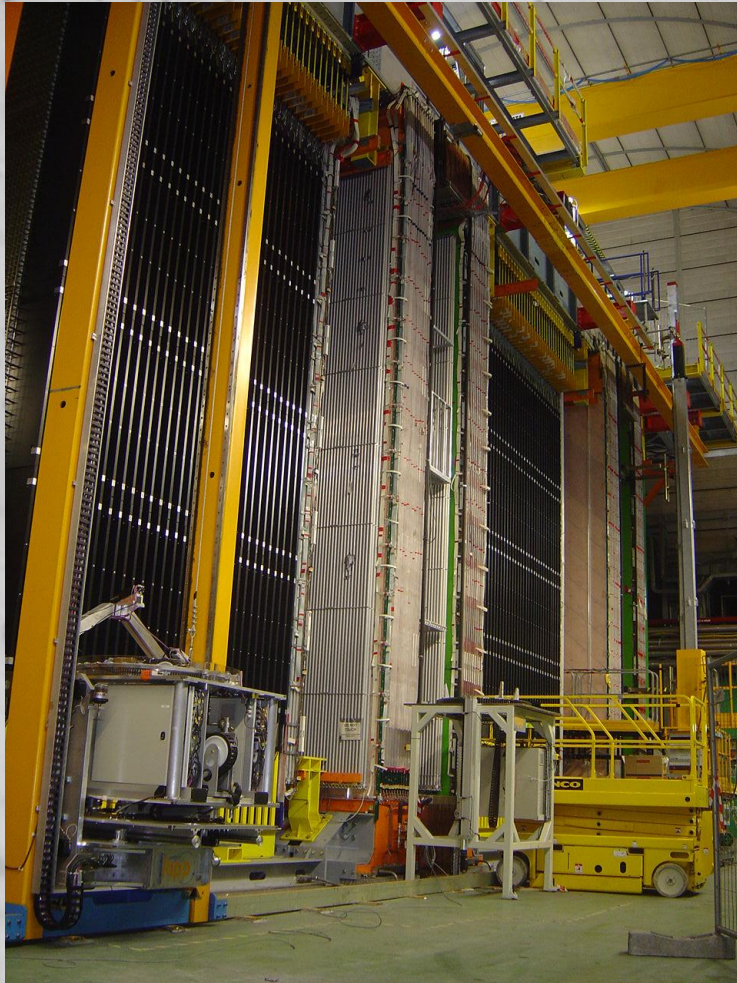


# “Search for $\nu_{\mu} \rightarrow \nu_{\tau}$ oscillations in appearance mode in the OPERA experiment”



**Yuri Gornushkin (JINR, Russia)**

**On behalf of the members of OPERA  
Collaboration present at the school**

# OPERA physics goals

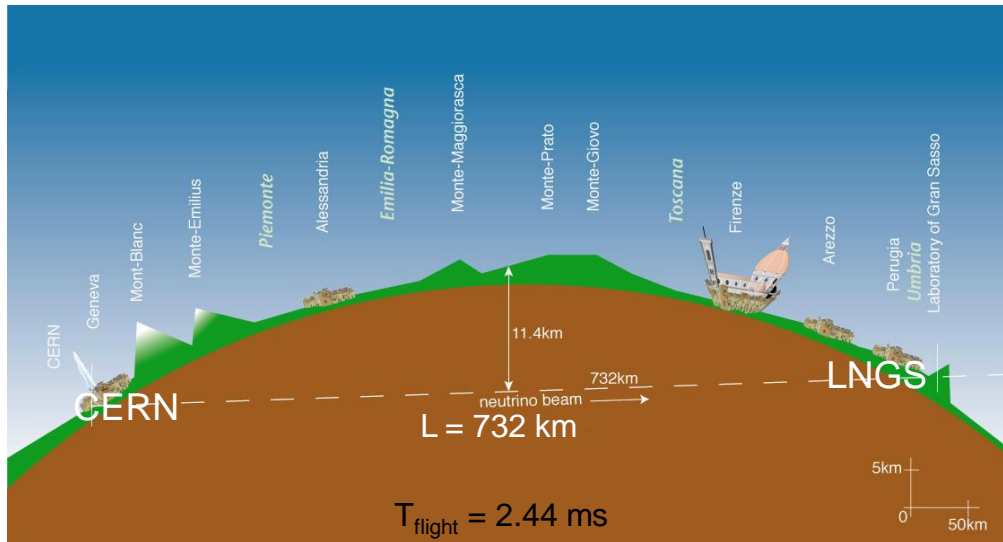
**First direct detection of  $\nu_\mu \rightarrow \nu_\tau$  neutrino oscillations in appearance mode** following the Super- Kamiokande discovery of oscillations with atmospheric neutrinos and the confirmation obtained with solar neutrinos and accelerator beams.

**Important, missing tile in the oscillation picture.**

# CNGS – a long baseline, high energy $\nu$ beam



$\langle E_{\nu_\mu} \rangle$	17 GeV
L	730 km
$(\nu_e + \bar{\nu}_e) / \nu_\mu$	0.87%
$\bar{\nu}_\mu / \nu_\mu$	4%
$\nu_\tau$ prompt	negligible



Expected produced interactions ( $22.5 \times 10^{19}$ ):

$\sim 25400 \nu_\mu \text{ CC} + \text{NC}$

$\sim 170 \nu_e + \bar{\nu}_e \text{ CC}$

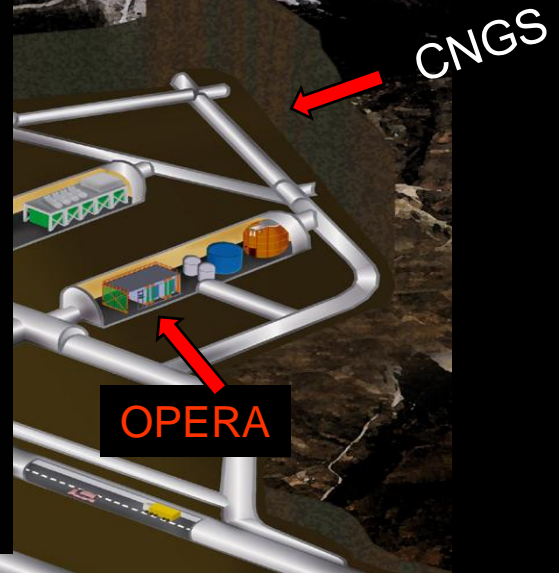
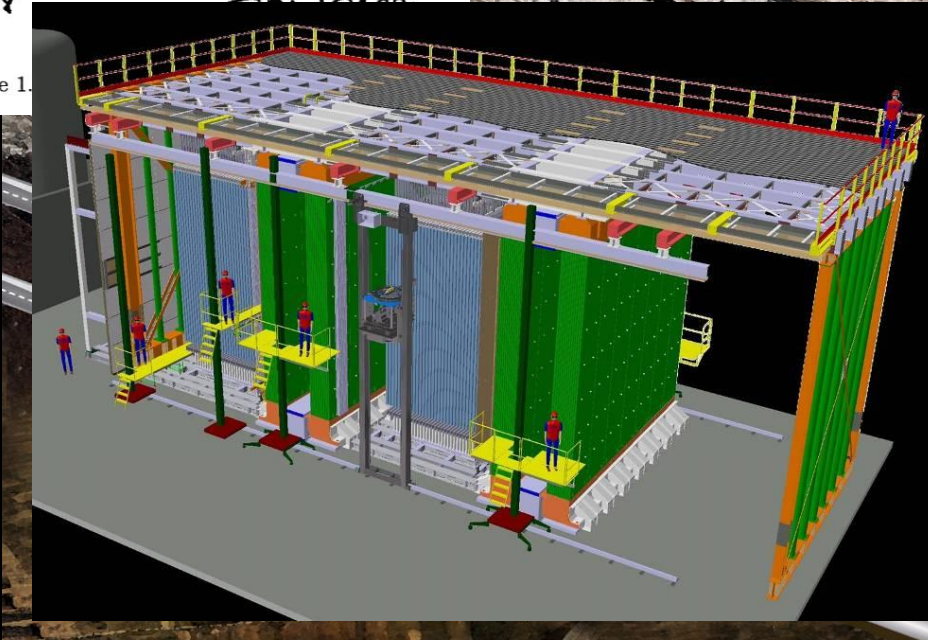
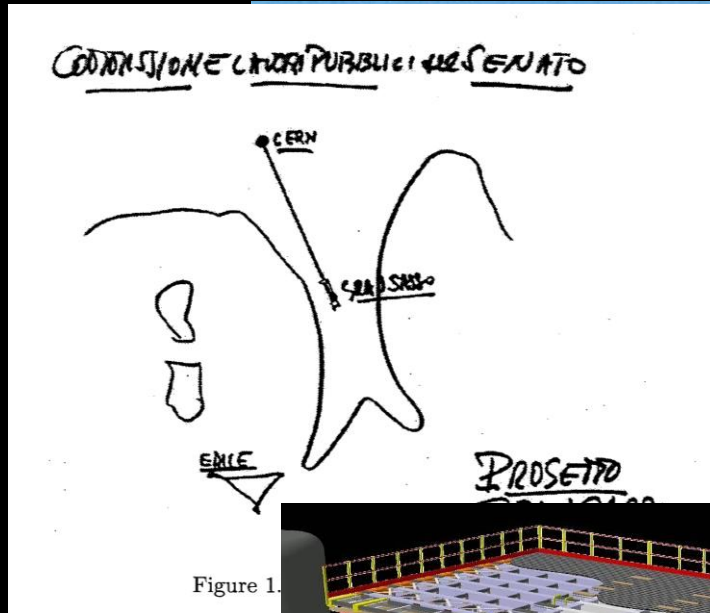
$\sim 125 \nu_\tau \text{ CC}$  ( $\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$ )

$\sim 10$  tau decays are expected  
to be observed  
Less than 1 background  
after 5 years running



# LNGS: the world largest underground physics laboratory:

~100'000 m<sup>3</sup> caverns' volume, ~3'100 m.w.e. overburden



# The ECC: evidence of charm in cosmic-rays by K. Niu (1971)

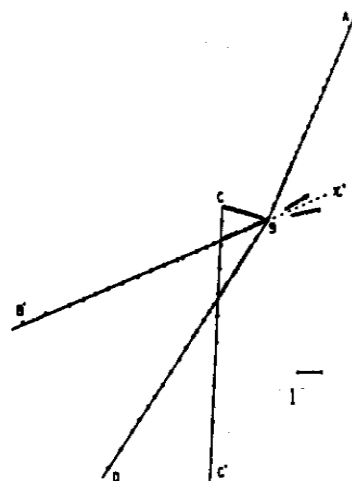
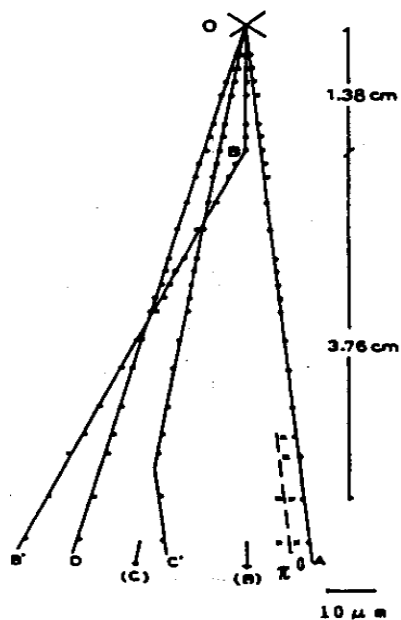
Prog. Theor. Phys. Vol. 46 (1971), No. 5

## A Possible Decay in Flight of a New Type Particle

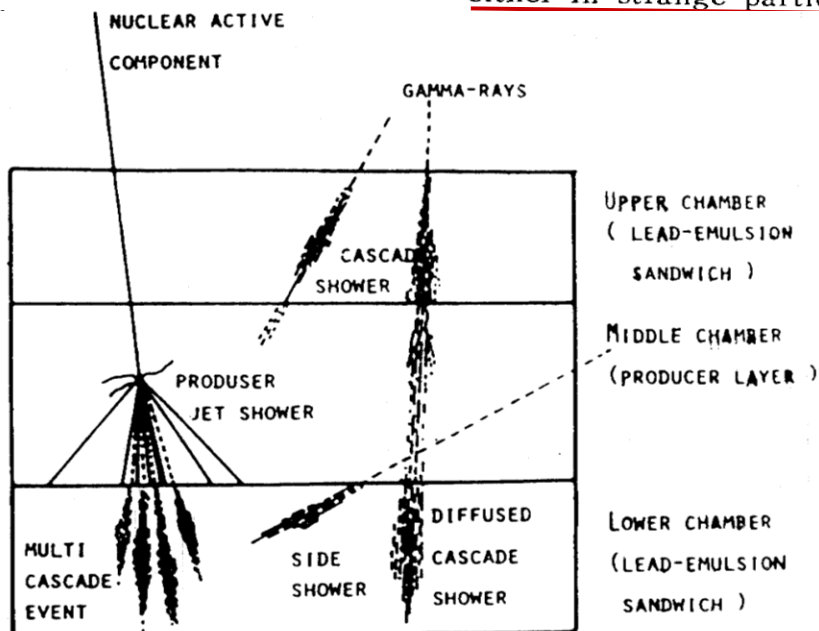
Kiyoshi NIU, Eiko MIKUMO  
and Yasuko MAEDA

X-projection

Z-projection

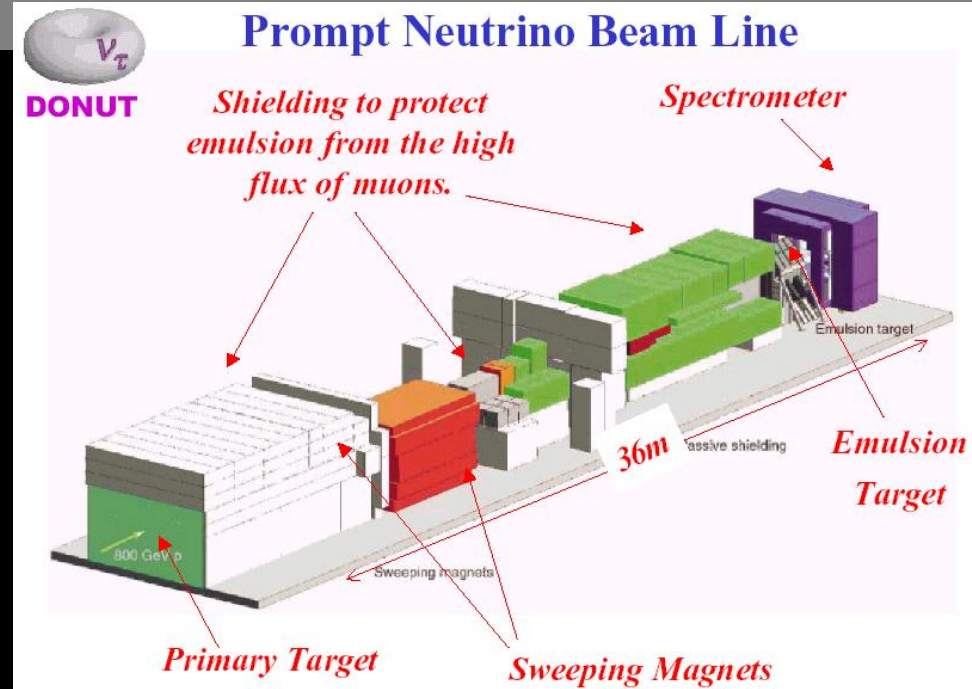
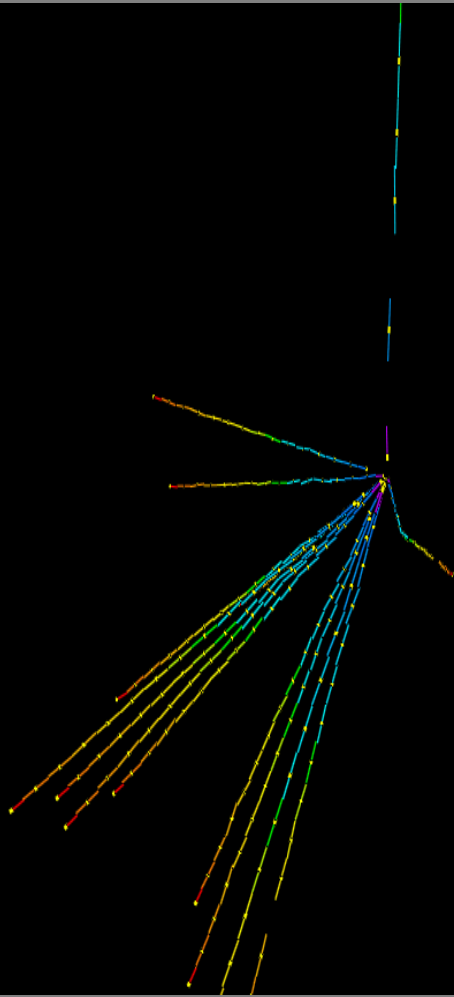


As for the characteristics of  $X$  particle, the transverse momentum of daughter  $\pi^0$  meson,  $627 \pm 90 \text{ MeV}/c$ , is much higher than the maximum momentum of decay products of the existing strange particles. The proper life time of  $X$  particle is several times  $10^{-14}$  seconds, and this is extremely longer than those of resonance particles. Therefore, our  $X$  particle could not be included either in strange particle or in resonance

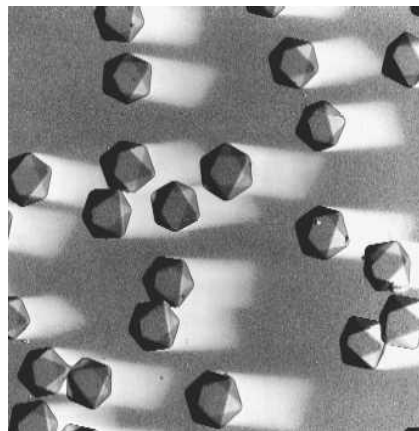
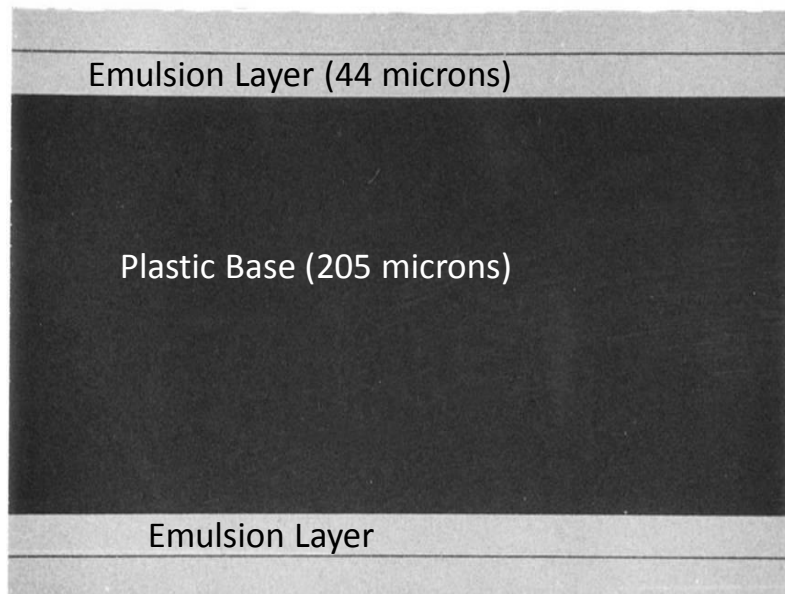


**DONUT** experiment at FERMILAB: first detection of  $\nu_\tau$  with an ECC based detector (K. Niwa and collaborators): 9  $\tau$  events, 1.5 BG.

K. Kodama et al. (DONuT Collaboration), Phys. Lett. B 504, 218 (2001).

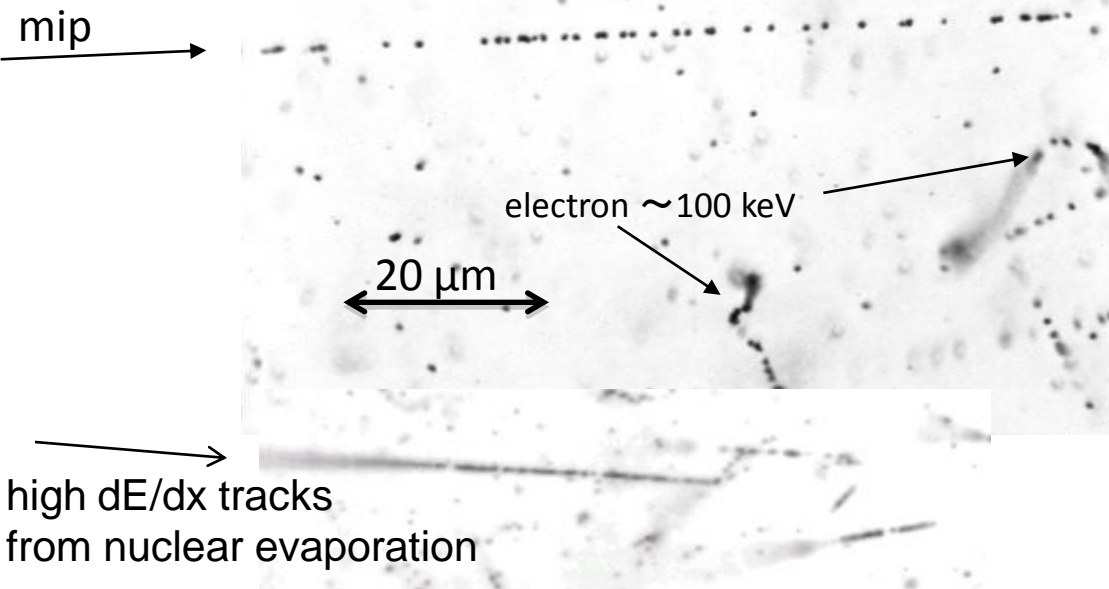


# INDUSTRIAL EMULSION FILMS BY *FUJI FILM*

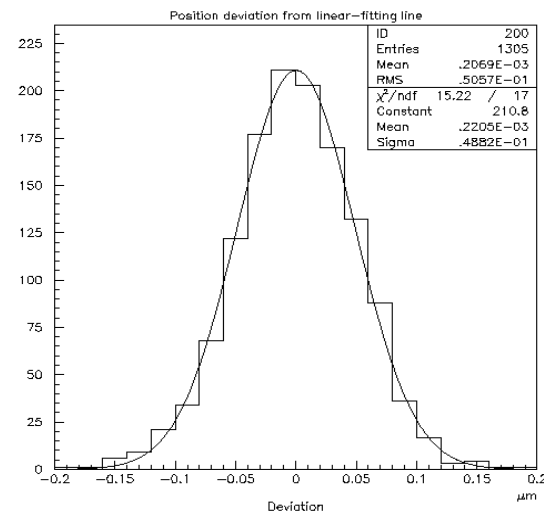


**basic detector: AgBr crystal,**  
size = 0.2 micron  
detection eff.= 0.16/crystal  
 **$10^{13}$  “detectors” per film**

**sensitivity 15 grains/44 microns**



**intrinsic resolution: 50 nm**  
deviation from linear-fit line. (2D)

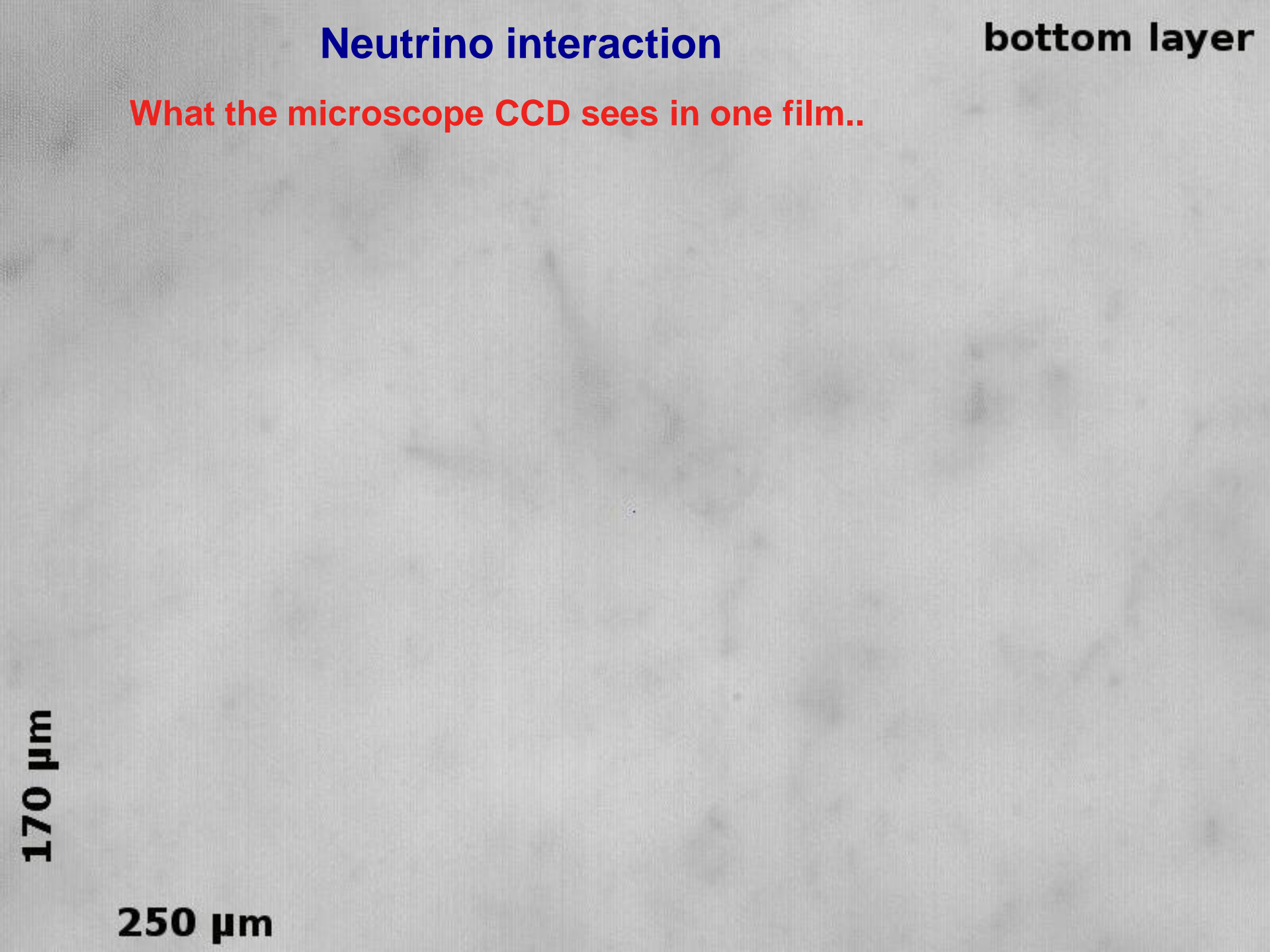




# Neutrino interaction

bottom layer

What the microscope CCD sees in one film..



170  $\mu\text{m}$

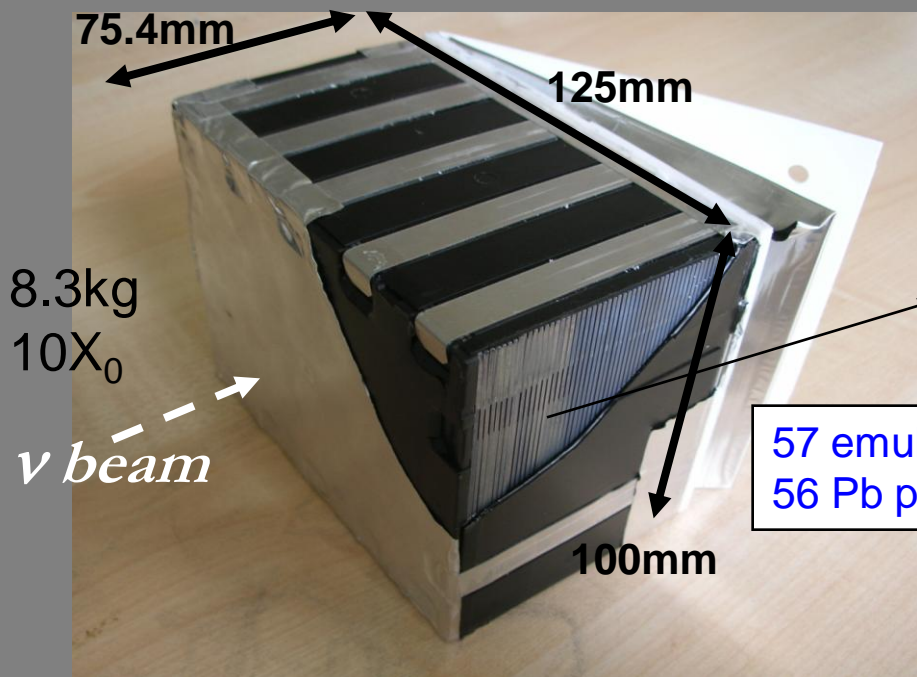
250  $\mu\text{m}$



OPERA FILM, SUTS読み出しの情報量 (DVD, Blu-ray Diskとの比較)

	大きさ	容量	読み出し
DVD	12cm Disk	8.5GB <small>2層</small>	177Mbps <small>規格上の最高速度(11倍速)</small>
Blu-ray Disc	12cm Disk	50GB <small>2層</small>	216Mbps <small>規格上の最高速度(6倍速)</small>
OPERA Film	12.5 × 10cm	556GB相当 <small>(0.3um*2)/(100mm*125mm) *16layer * 画面</small>	839Mbps <small>SUTSで毎秒200視野</small>

# OPERA ECC brick

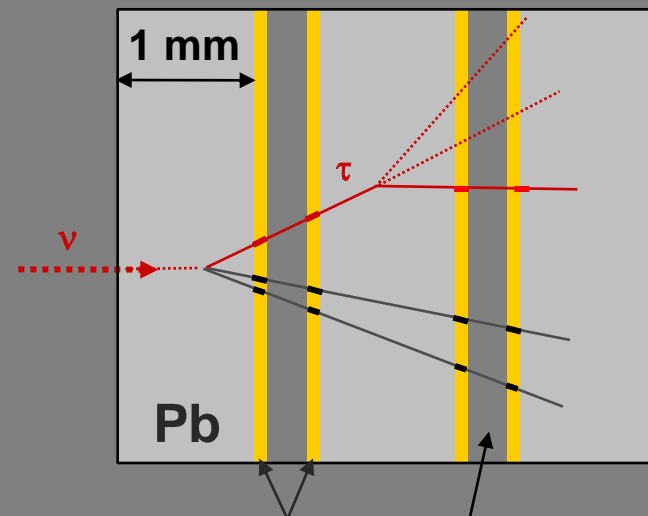
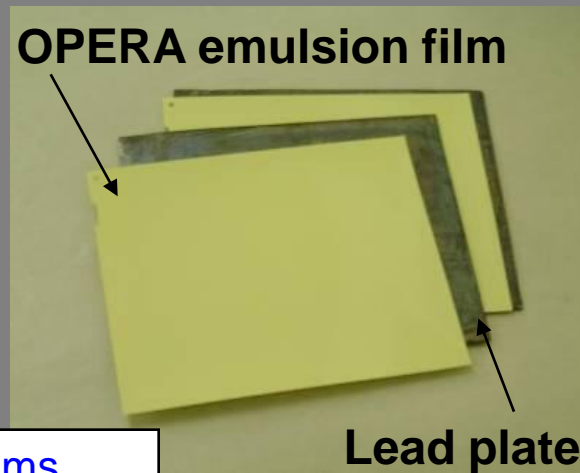


“Emulsion Cloud Chamber”

The OPERA target consists of 150'000 ECC bricks.

Total 105'000 m<sup>2</sup> of lead surface  
and 111'000 m<sup>2</sup> of film surface  
(~ 8.9 million films)

Total target mass: 1.25 kton



2 emulsion layers  
(44  $\mu$ m thick) poured on  
a 200  $\mu$ m plastic base



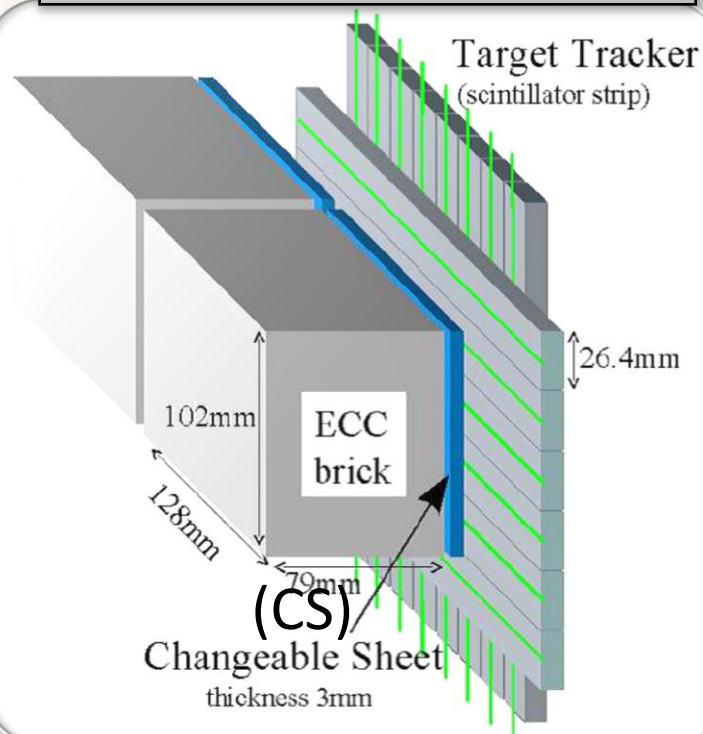
# OPERA hybrid detector: 150000 bricks, 1.25 kT, 3100 m.w.e., 1 m/m<sup>2</sup>/h

SM1

SM2



Hybrid target structure.



## Target and Target Tracker (6.7 m)<sup>2</sup>

- Target : 77500 bricks, 29 walls
- Target tracker : 31 XY doublets of 256 scintillator strips + WLS fibres + multi-anodes PMT for
  - Vertex brick identification
  - Calorimetry

JINST 4 (2009) P04018

## Muon spectrometer (8×10 m<sup>2</sup>)

Instrumented dipole magnet

- 1.53 T
  - 22 XY planes in both arms
- High precision tracker
- 6 4-fold layers of drift tubes



# OPERATIONS ON BRICKS



Waiting for neutrinos in the target...



Extracted by the Brick Manipulator System



X-ray exposure for alignment



Stored underground (waiting for the CS response)



Exposed to cosmic-rays for precision film alignment



Films developed at surface

# PARALLEL ANALYSIS OF BRICKS

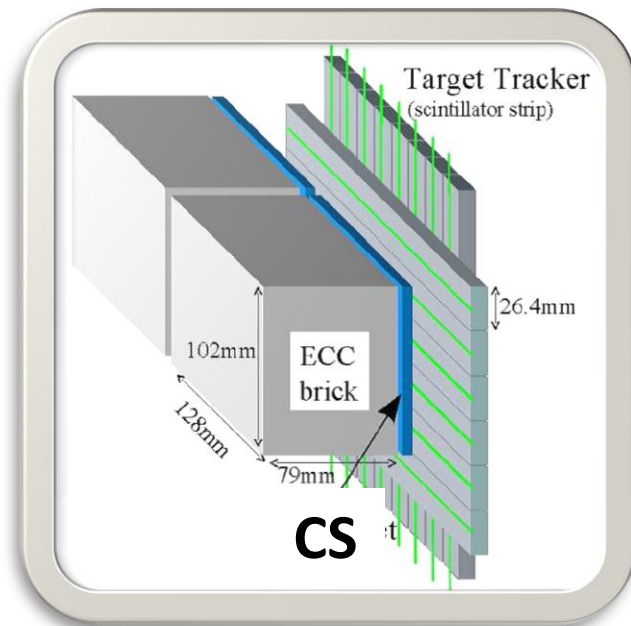
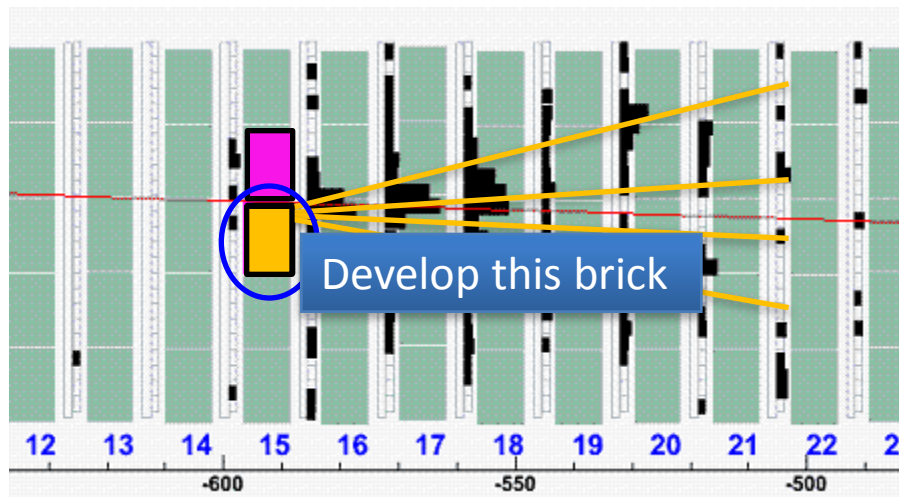
selected bricks go to scanning labs  
(**presently 12**)



**one of the brick scanning labs**



# BRICK VALIDATION BY THE CS



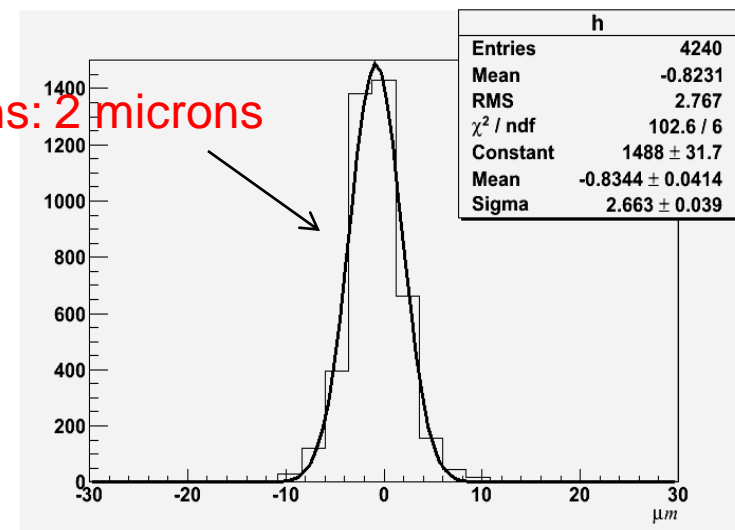
CS doublet alignment by Compton electrons: 2 microns

Scan only bricks containing neutrino interactions  
(save analysis time, minimize the loss of target mass)

Scanning effort/event:

- CHORUS 1x1 mm<sup>2</sup>
- DONUT 5x5 mm<sup>2</sup>
- OPERA 100x100 mm<sup>2</sup>

So far, 640'000 cm<sup>2</sup> of CS surface have been scanned in OPERA

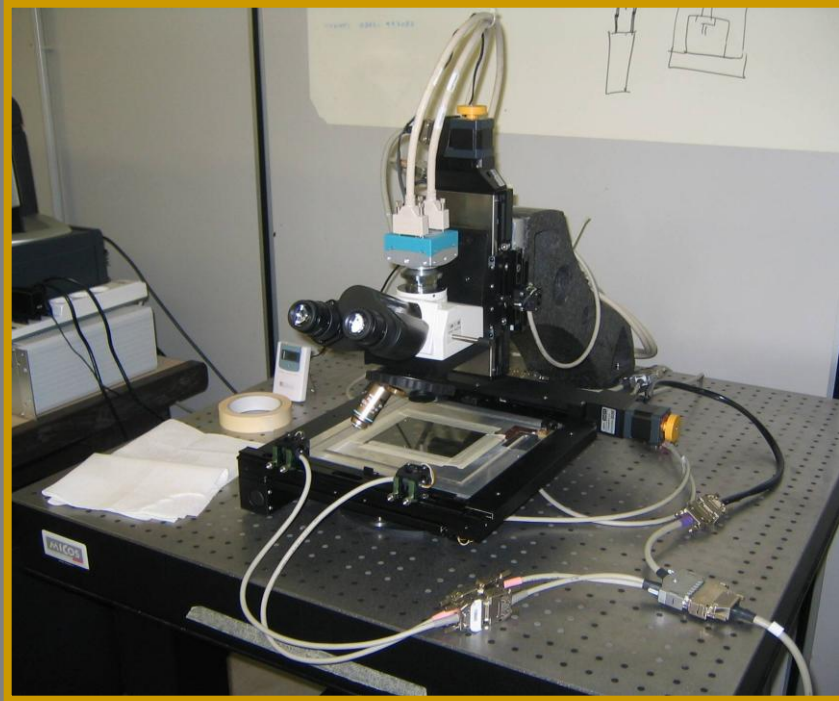




# Emulsion scanning stations

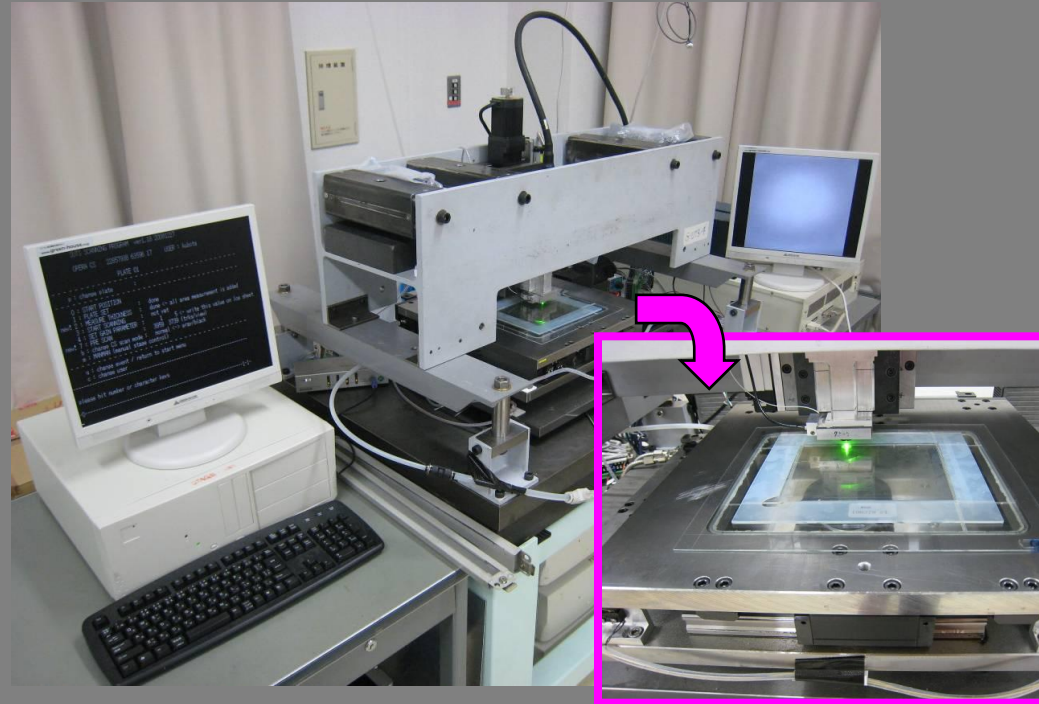
extract 3-D tracking information from emulsions

## EU: ESS (European Scanning System)



- Scanning speed/system: 20cm<sup>2</sup>/h
- Customized commercial optics and mechanics
- Asynchronous DAQ software

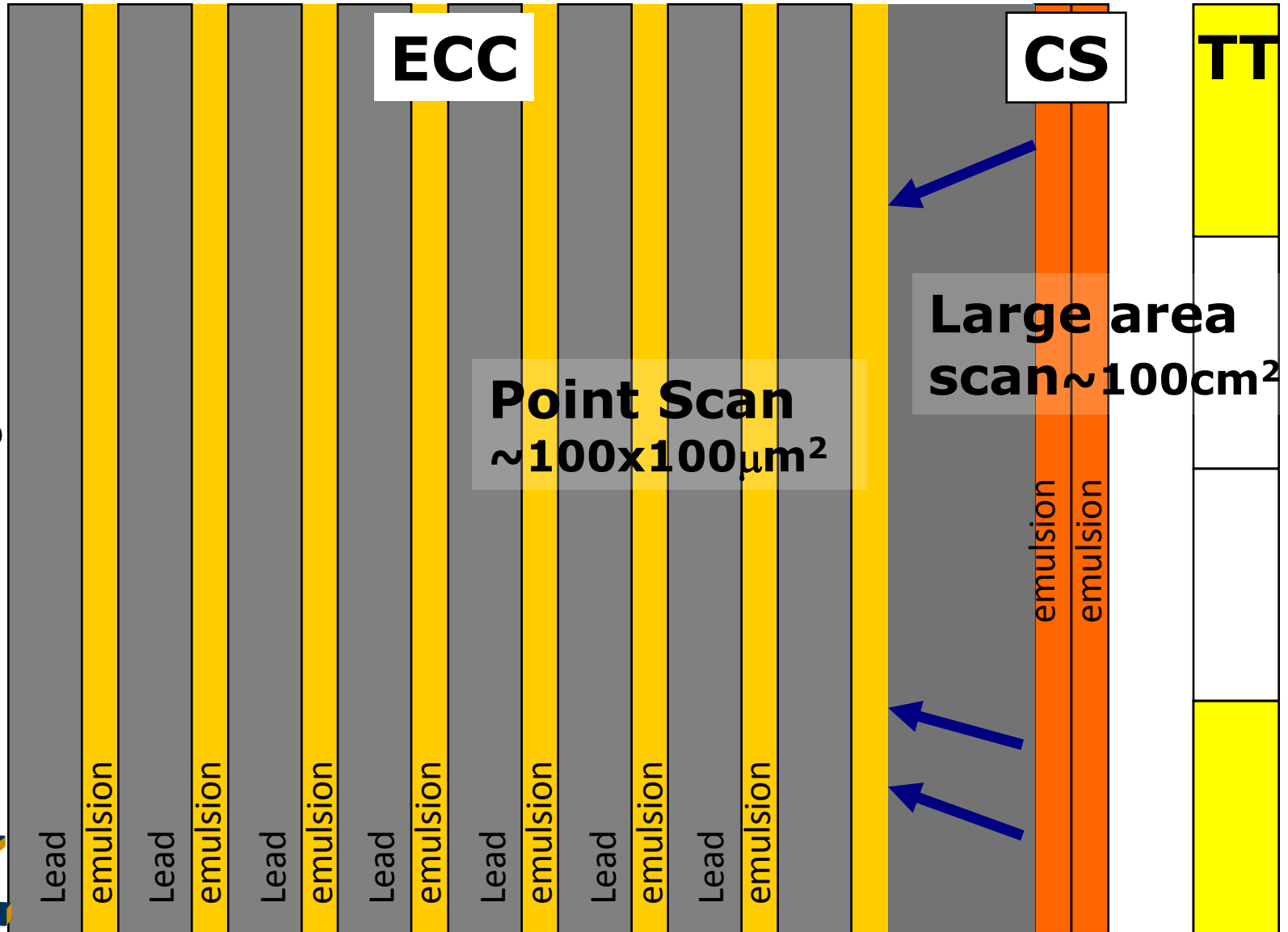
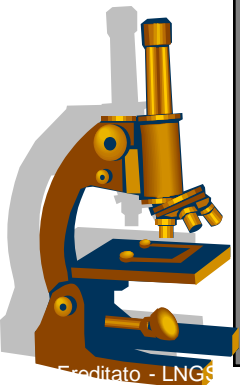
## Japan: SUTS (Super Ultra Track Selector)



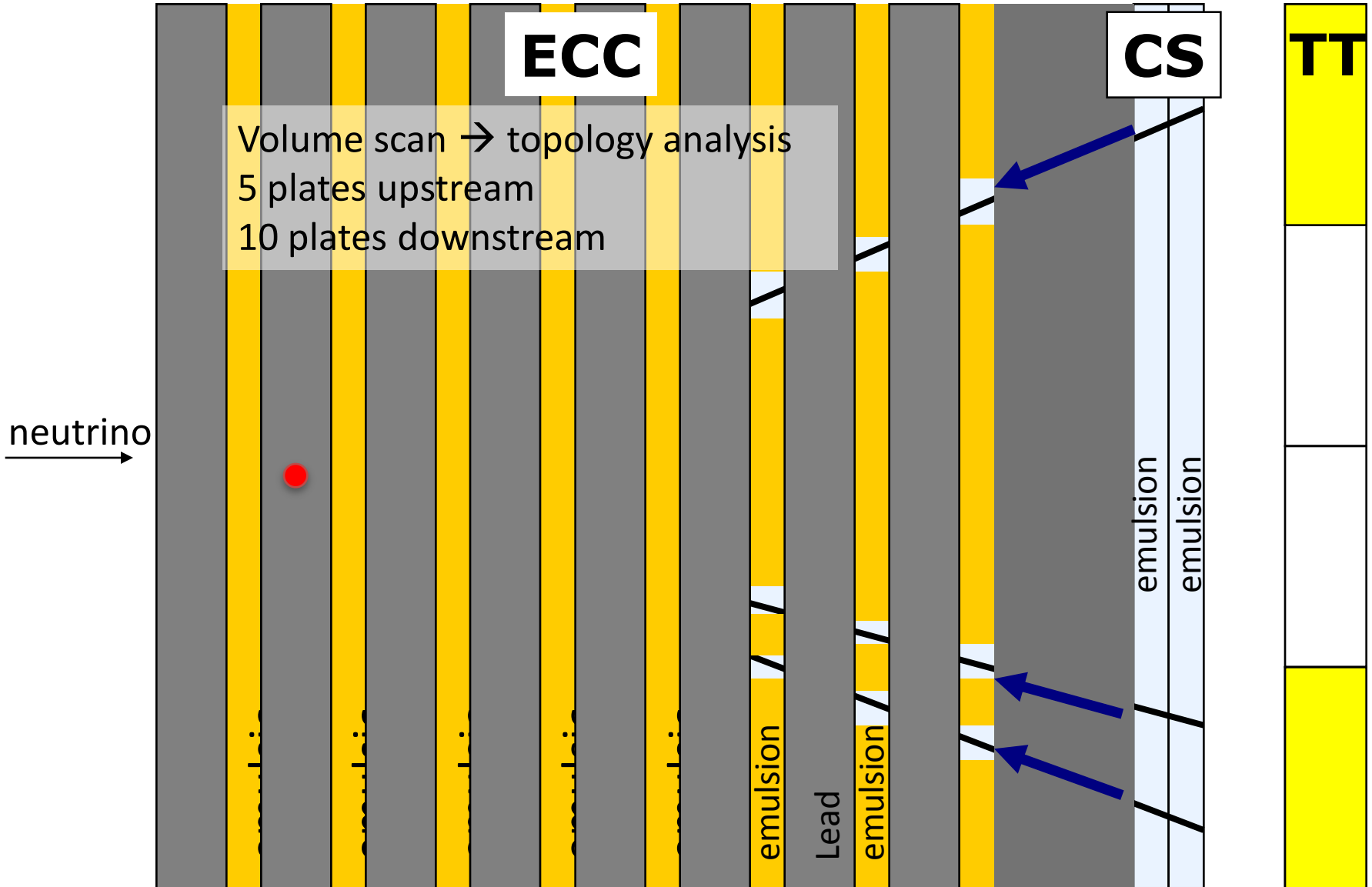
- Scanning speed/system: 75cm<sup>2</sup>/h
- High speed CCD camera (3 kHz), Piezo-controlled objective lens
- FPGA Hard-coded algorithms

# Vertex location procedure

neutrino  
→



# Volume Scan

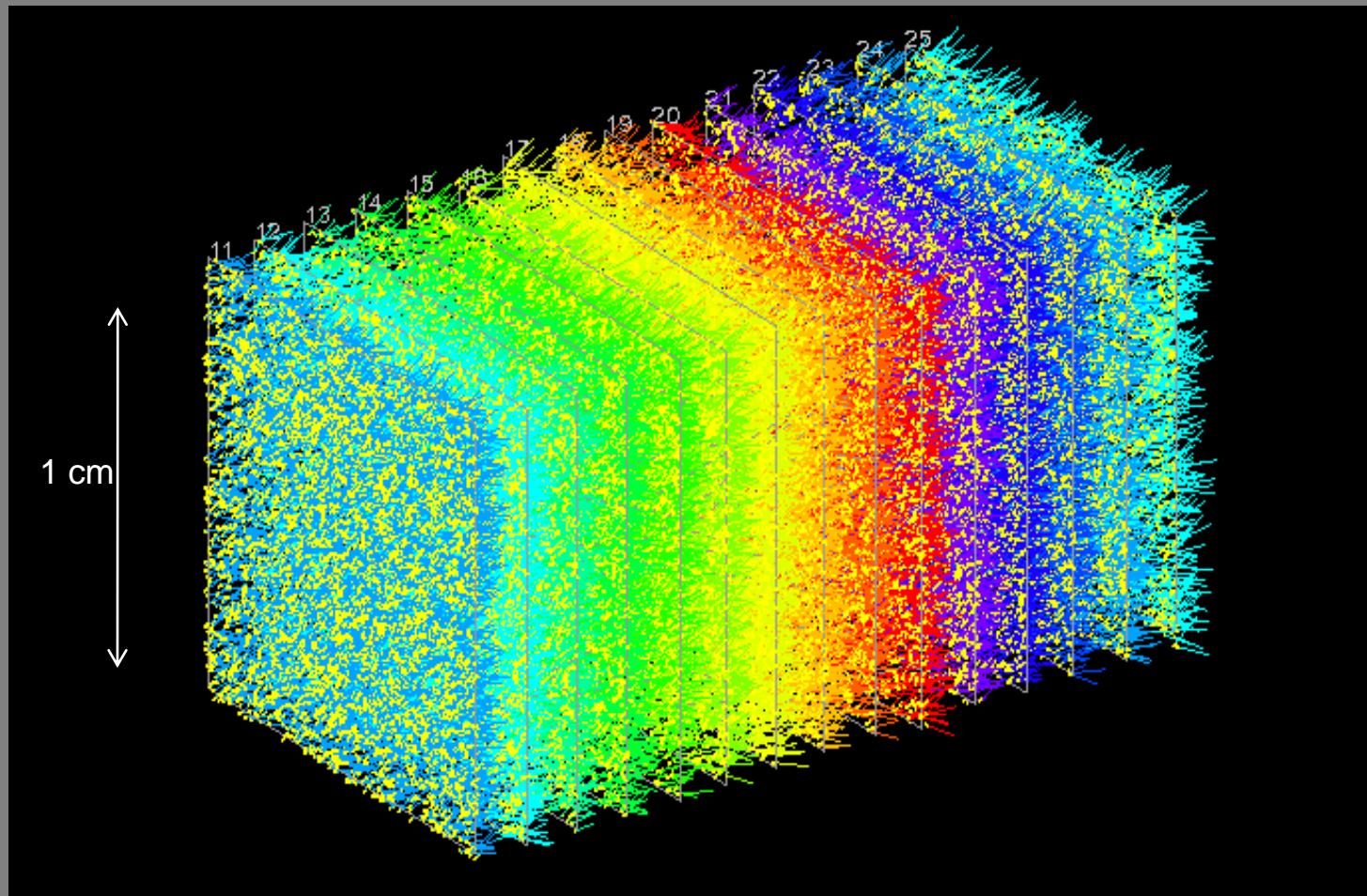




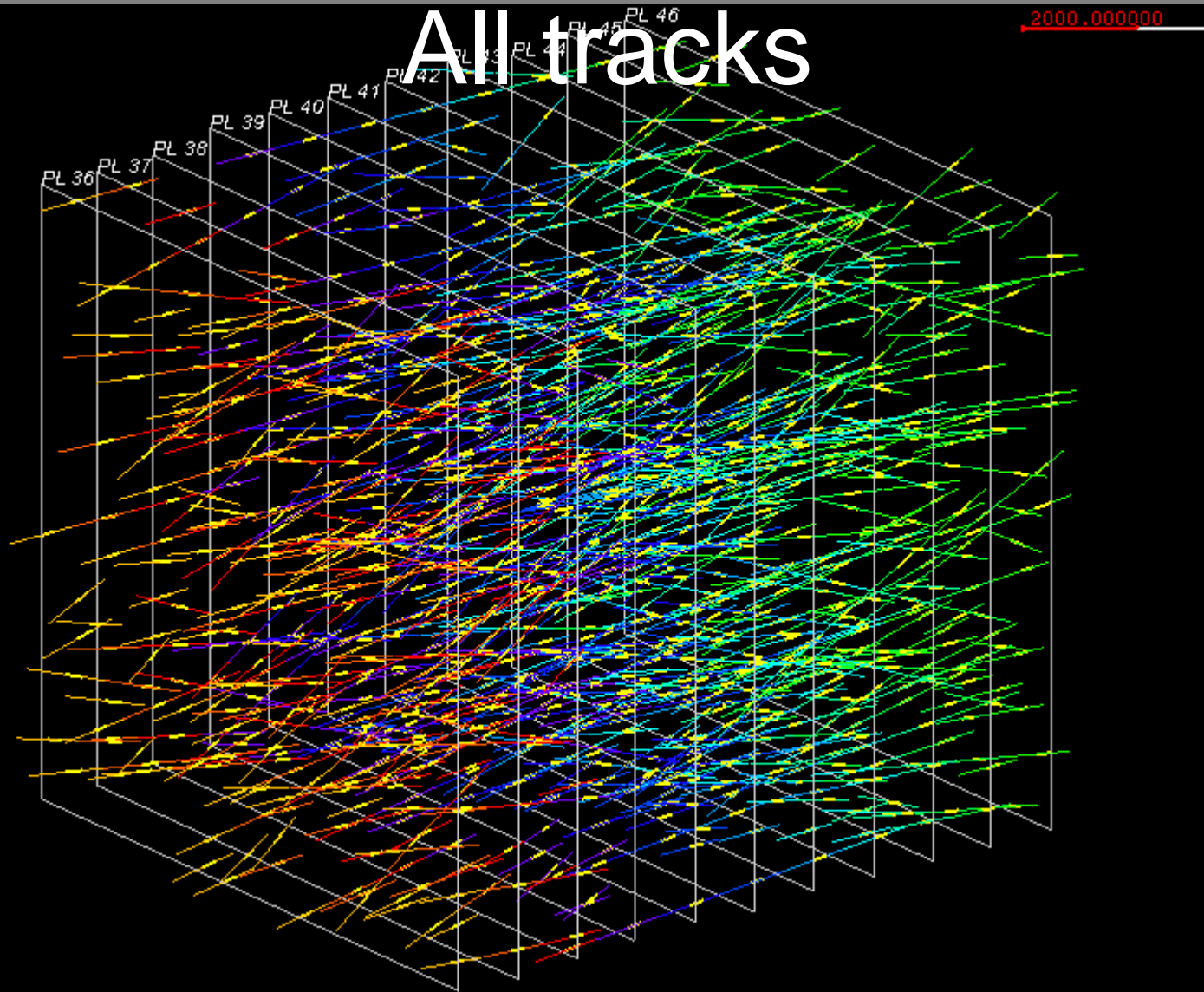
# Emulsion performance

Emulsions give 3D vector data, with micrometric precision of the vertexing accuracy.

The frames correspond to the scanning area. Yellow short lines → measured tracks.  
Other colored lines → interpolation or extrapolation.

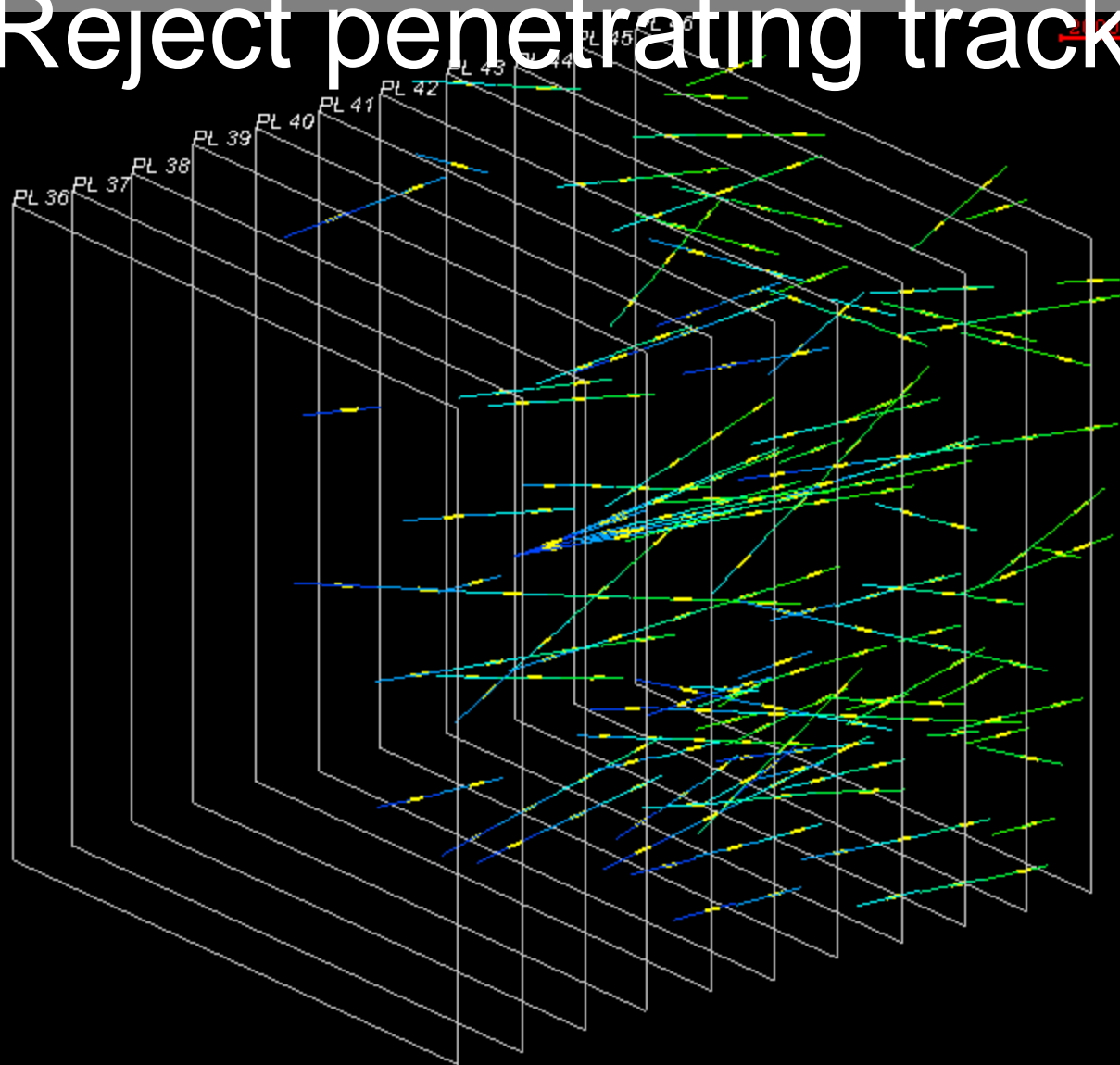


# All tracks

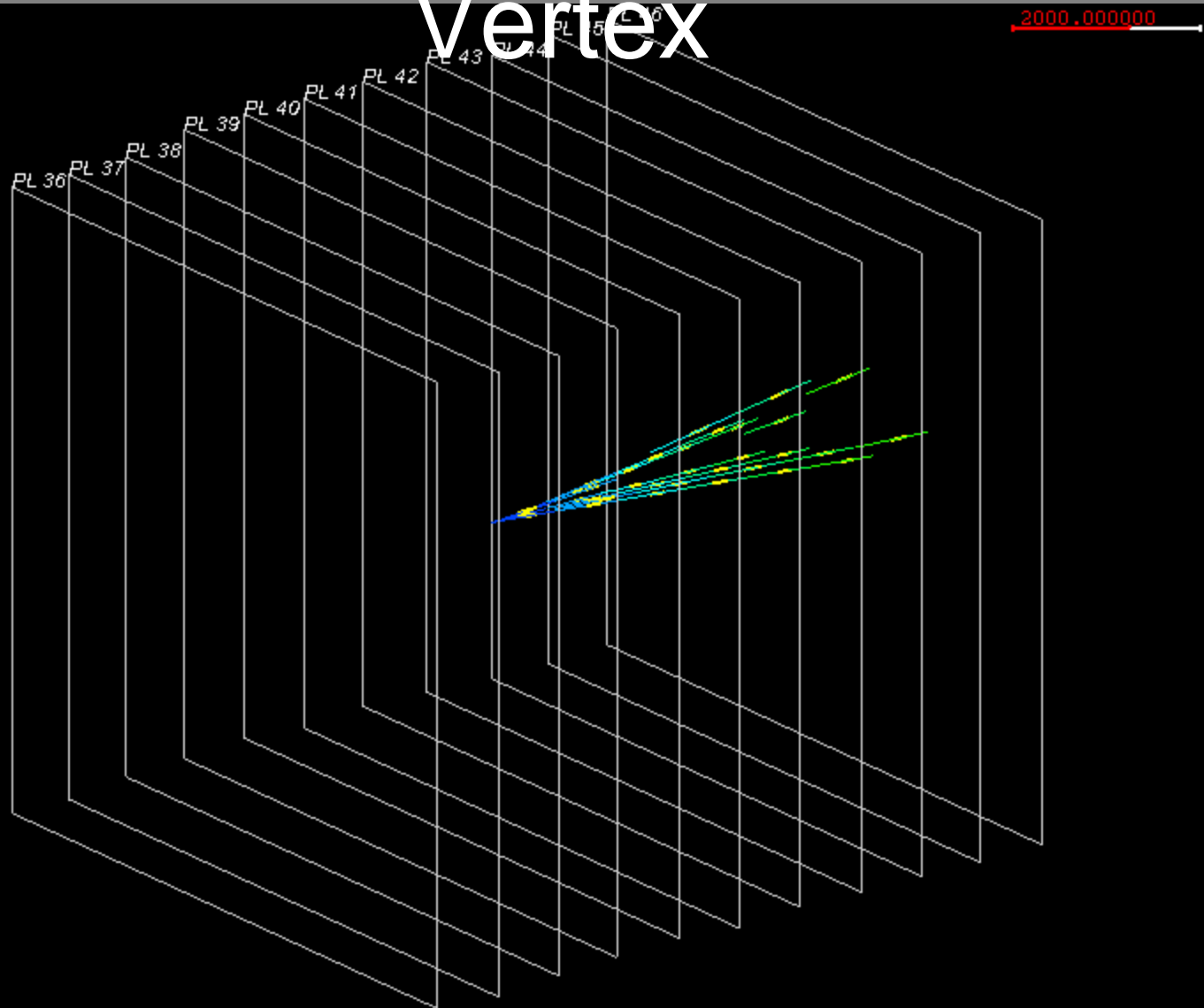




# Reject penetrating tracks

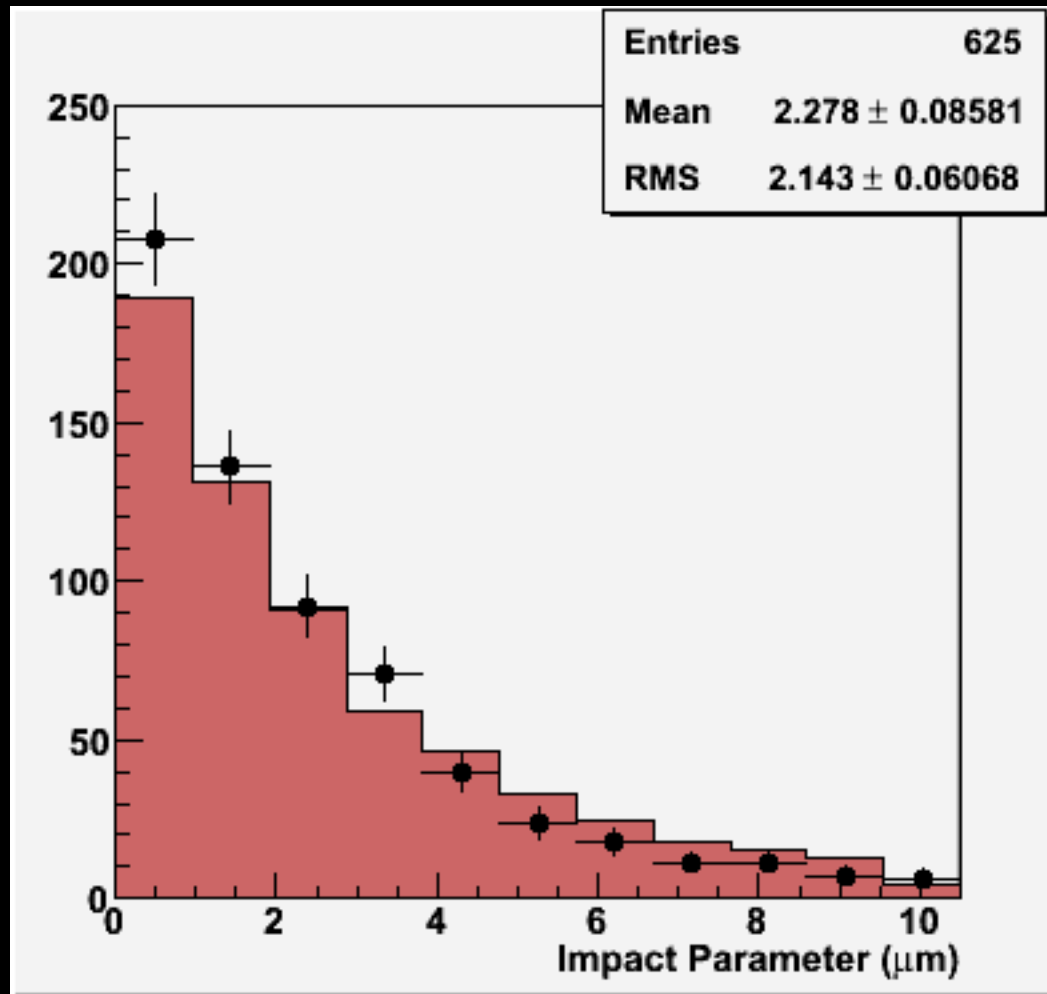


# Vertex



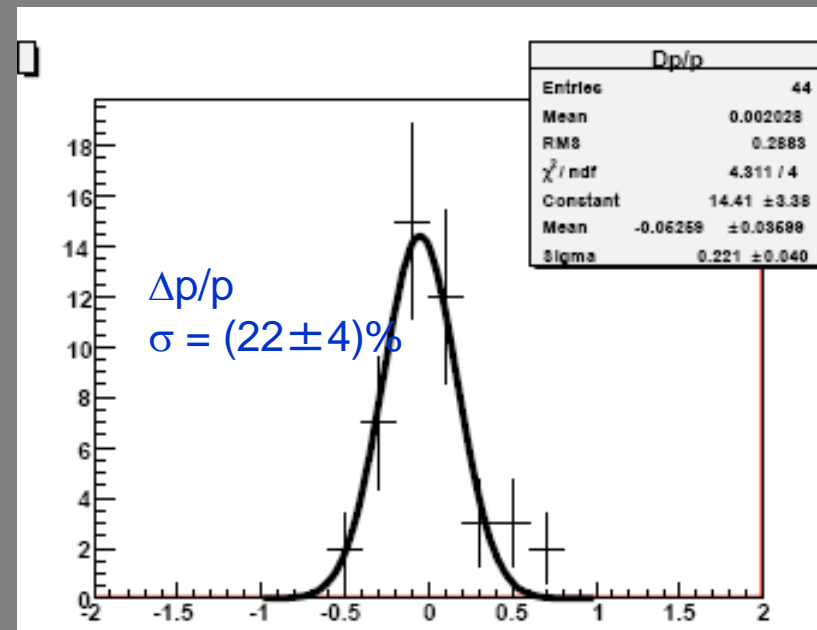
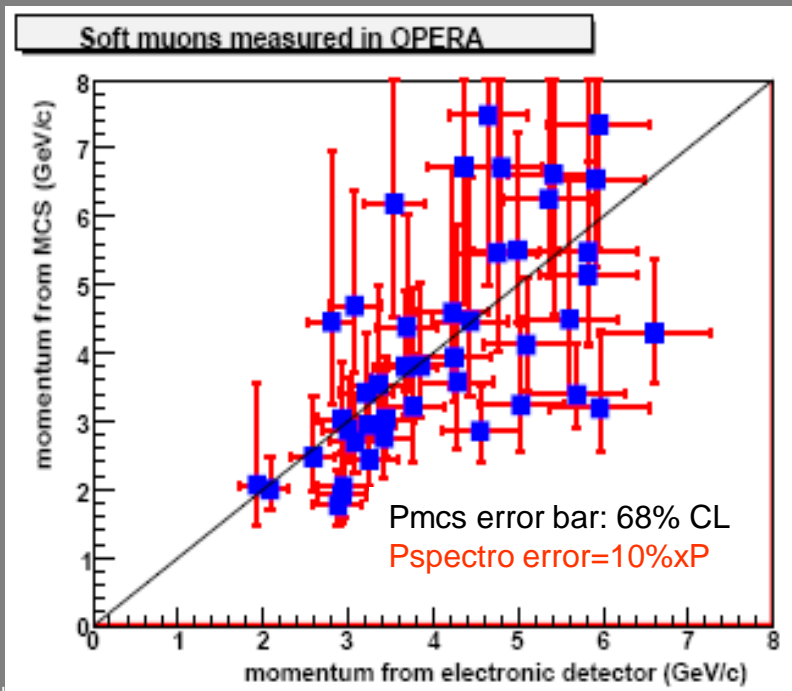
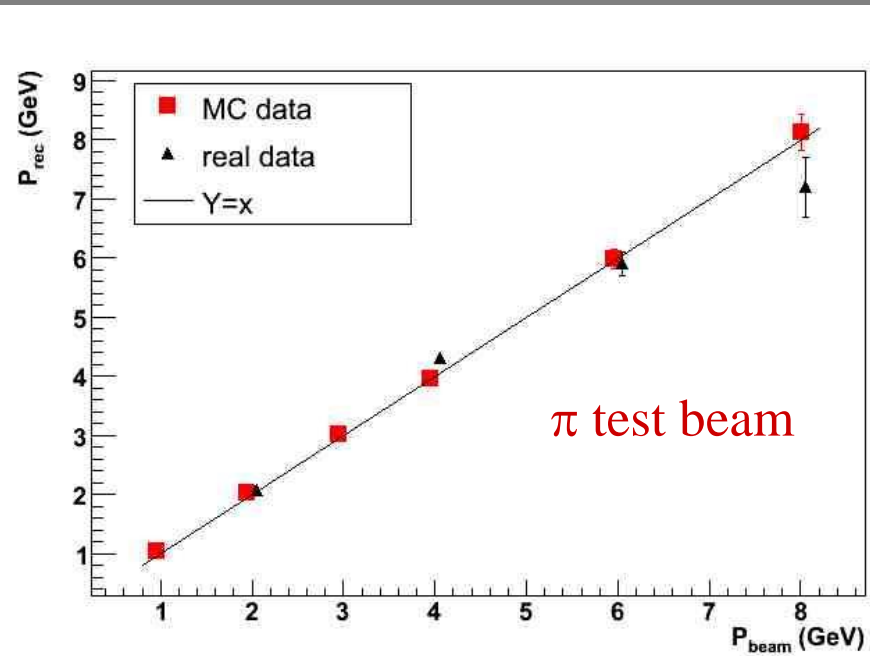
# Impact parameter measurement

NC+CC event tracks from primary vertices (DATA vs MC)



# Momentum measurement by Multiple Coulomb Scattering...

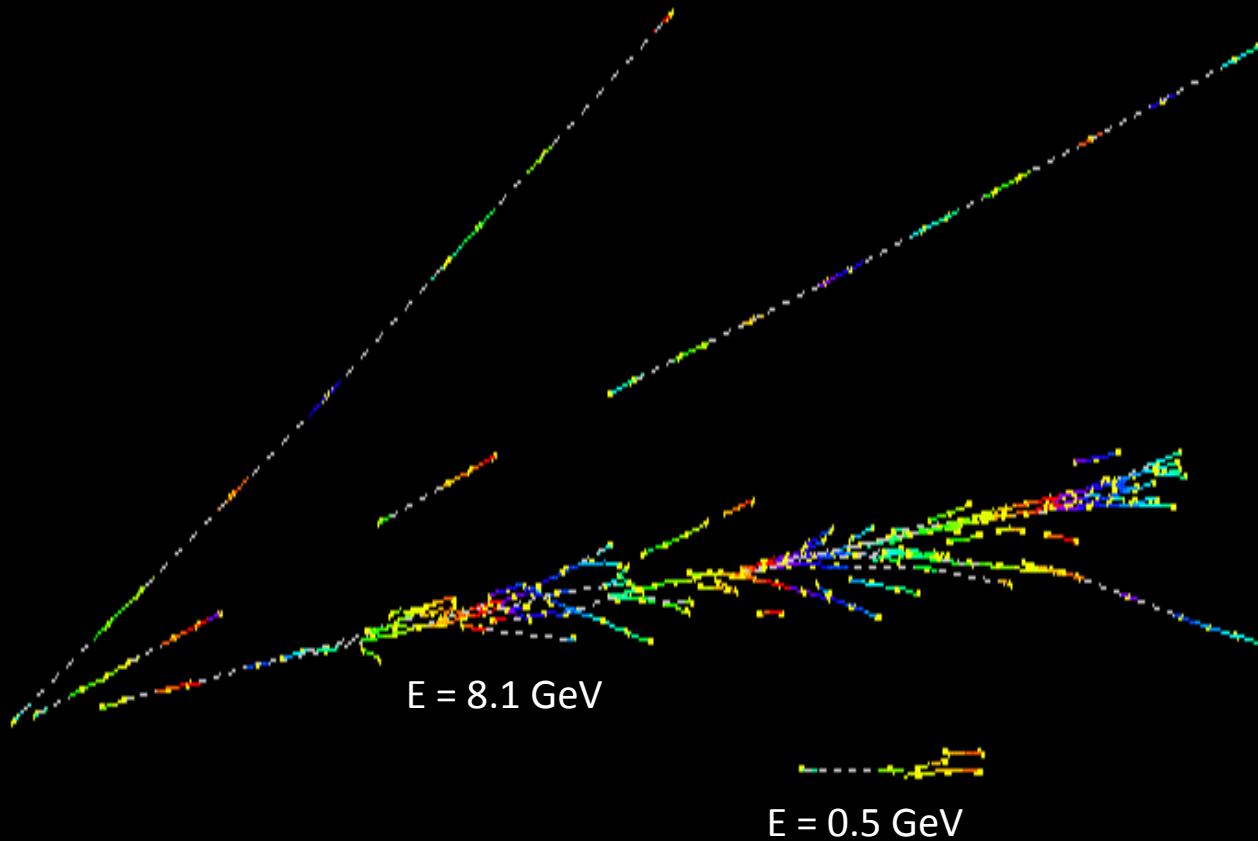
...in the lead/emulsion film sandwich and comparison with electronic detectors' measurements





# Gamma detection and $\pi^0$ mass reconstruction

2 EM showers give a reconstructed mass  $\sim 160 \text{ MeV}/c^2$



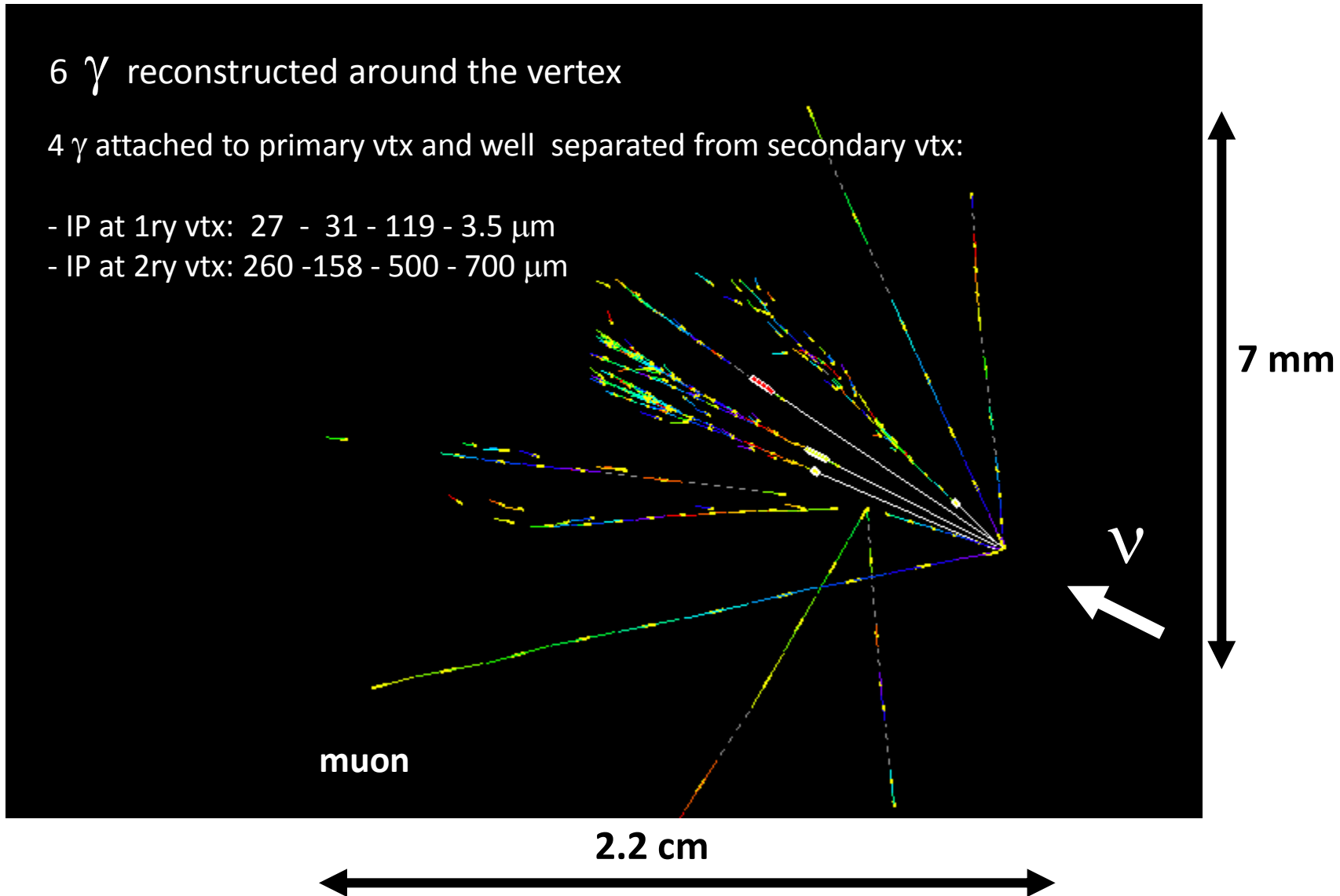
EM shower energy measured by a Neural Network algorithm

## *Example of Gamma to vertex attachment*

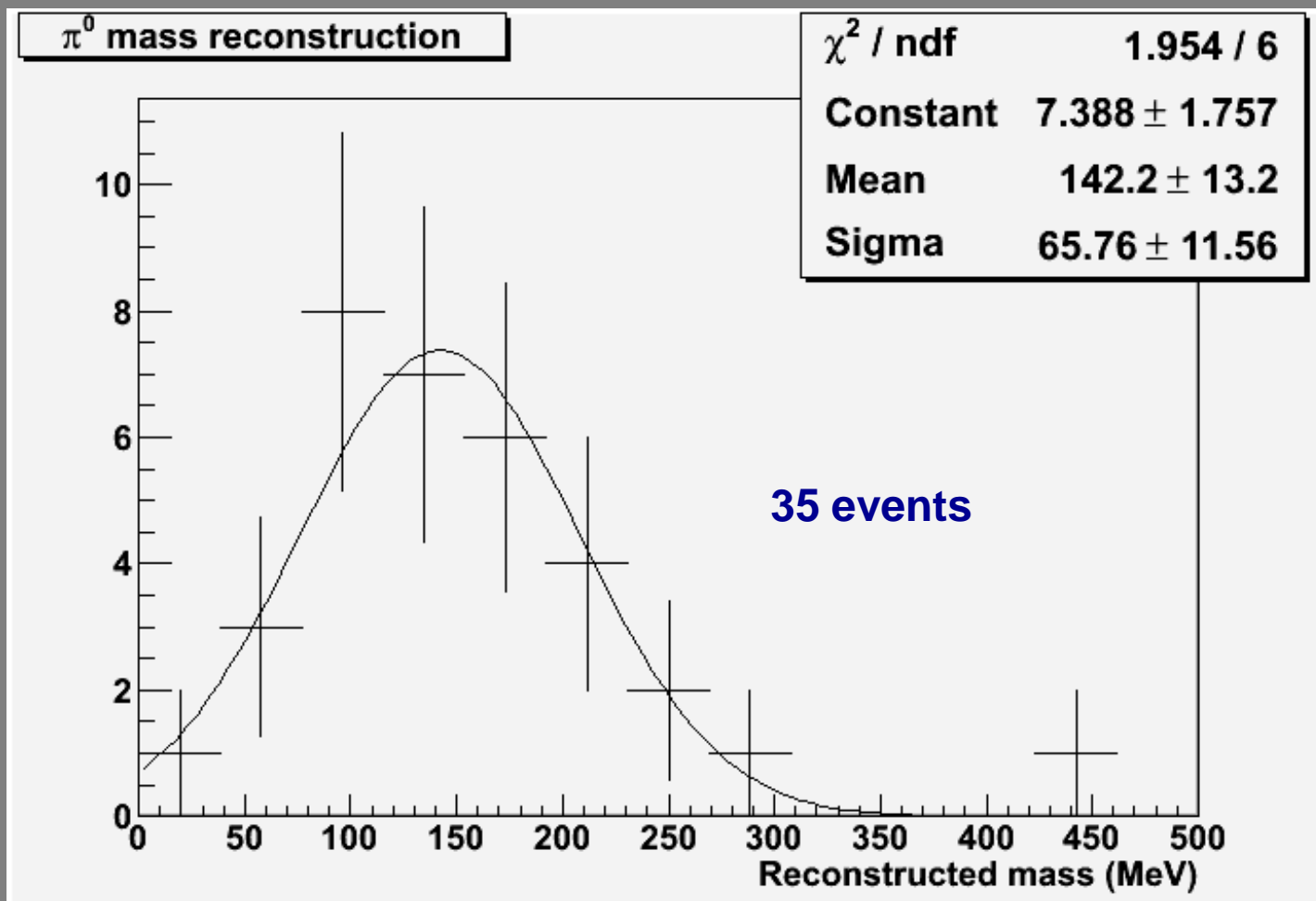
6  $\gamma$  reconstructed around the vertex

4  $\gamma$  attached to primary vtx and well separated from secondary vtx:

- IP at 1ry vtx: 27 - 31 - 119 - 3.5  $\mu\text{m}$
- IP at 2ry vtx: 260 - 158 - 500 - 700  $\mu\text{m}$



# $\pi^0$ mass resolution (real data)



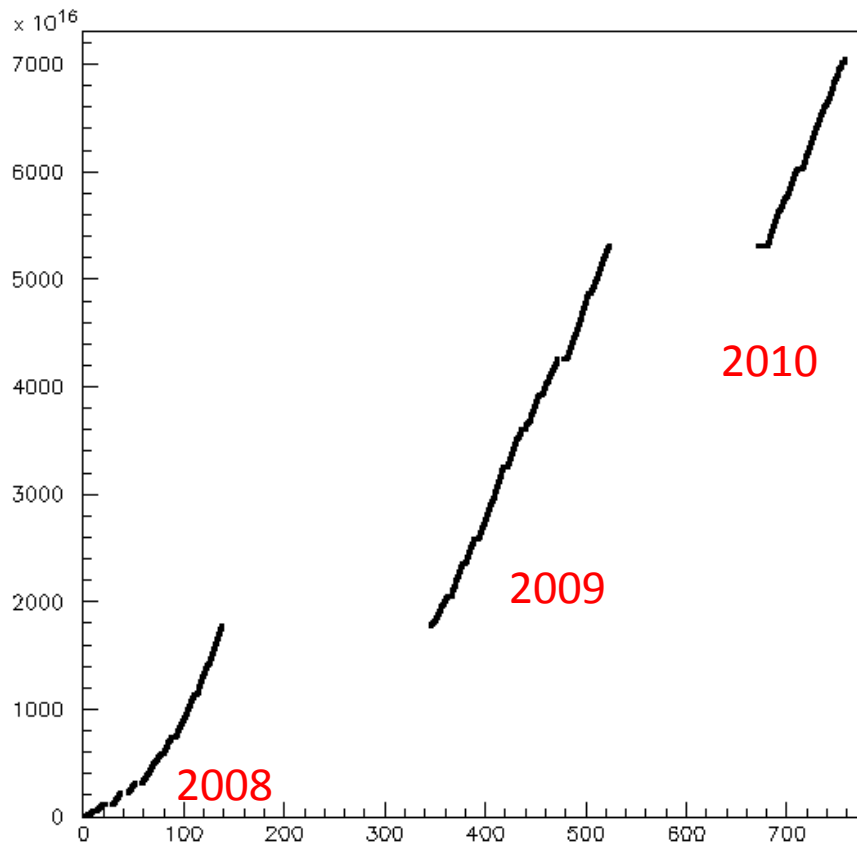
mass resolution: ~45%



# CNGS

## performance

2006	0.076x10 <sup>19</sup> pot	no bricks	Commissioning
2007	0.082x10 <sup>19</sup> pot	38 ev.	Commissioning
<b>2008</b>	<b>1.78x10<sup>19</sup> pot</b>	<b>1698 ev.</b>	<b>First physics run</b>
<b>2009</b>	<b>3.52x10<sup>19</sup> pot</b>	<b>3693 ev.</b>	<b>Physics run</b>
<b>2010</b>	<b>1.74x10<sup>19</sup> pot (19 July)</b>	<b>1856 ev.</b>	<b>Physics run</b>



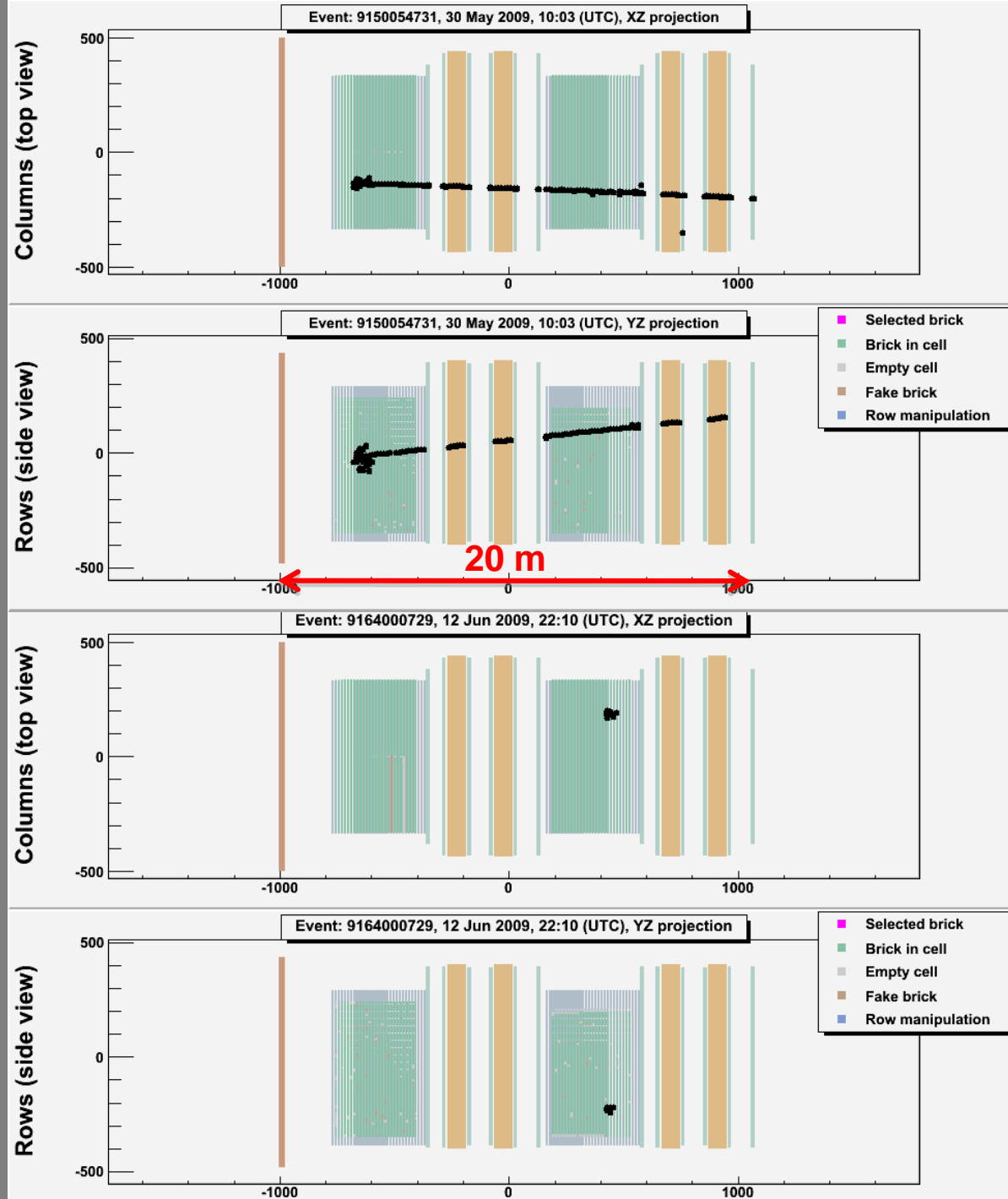
Improving features, high CNGS efficiency (97% in 2008-2009)

2010: close to nominal year;

Aim at high-intensity runs in 2011 and 2012

# Typical $\nu_\mu$ CC- and NC-like events

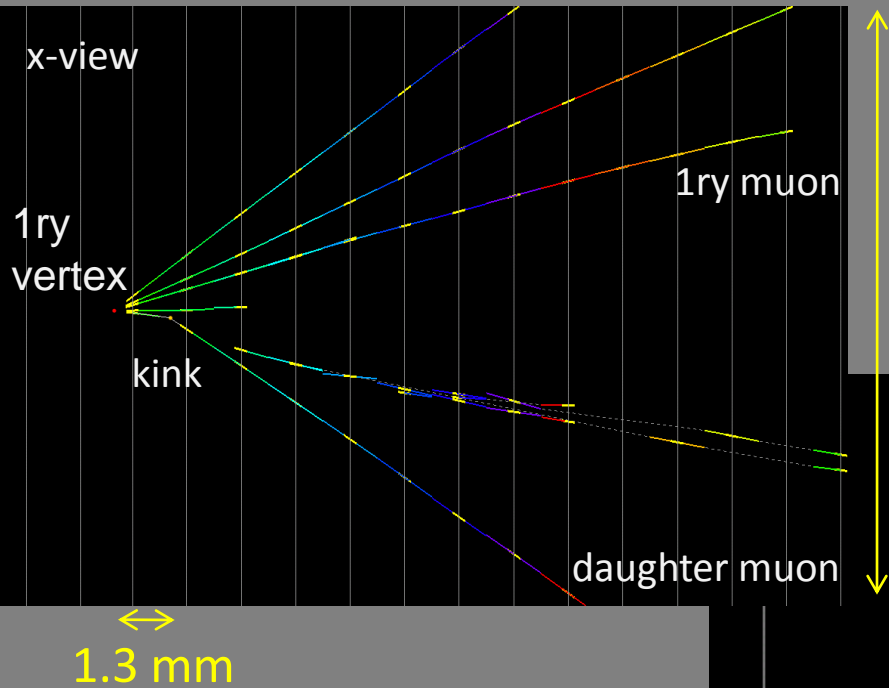
The measured ratio of NC-like/CC-like events after muon ID and event location is  $\sim 20\%$ , as expected from simulations



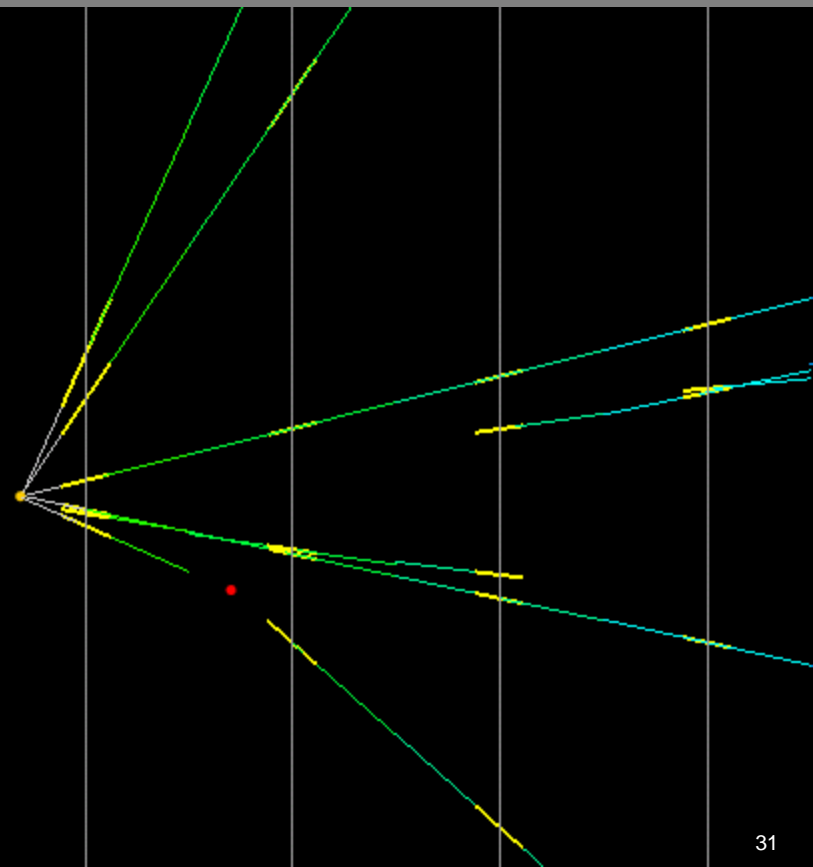
# *CHARM EVENTS*



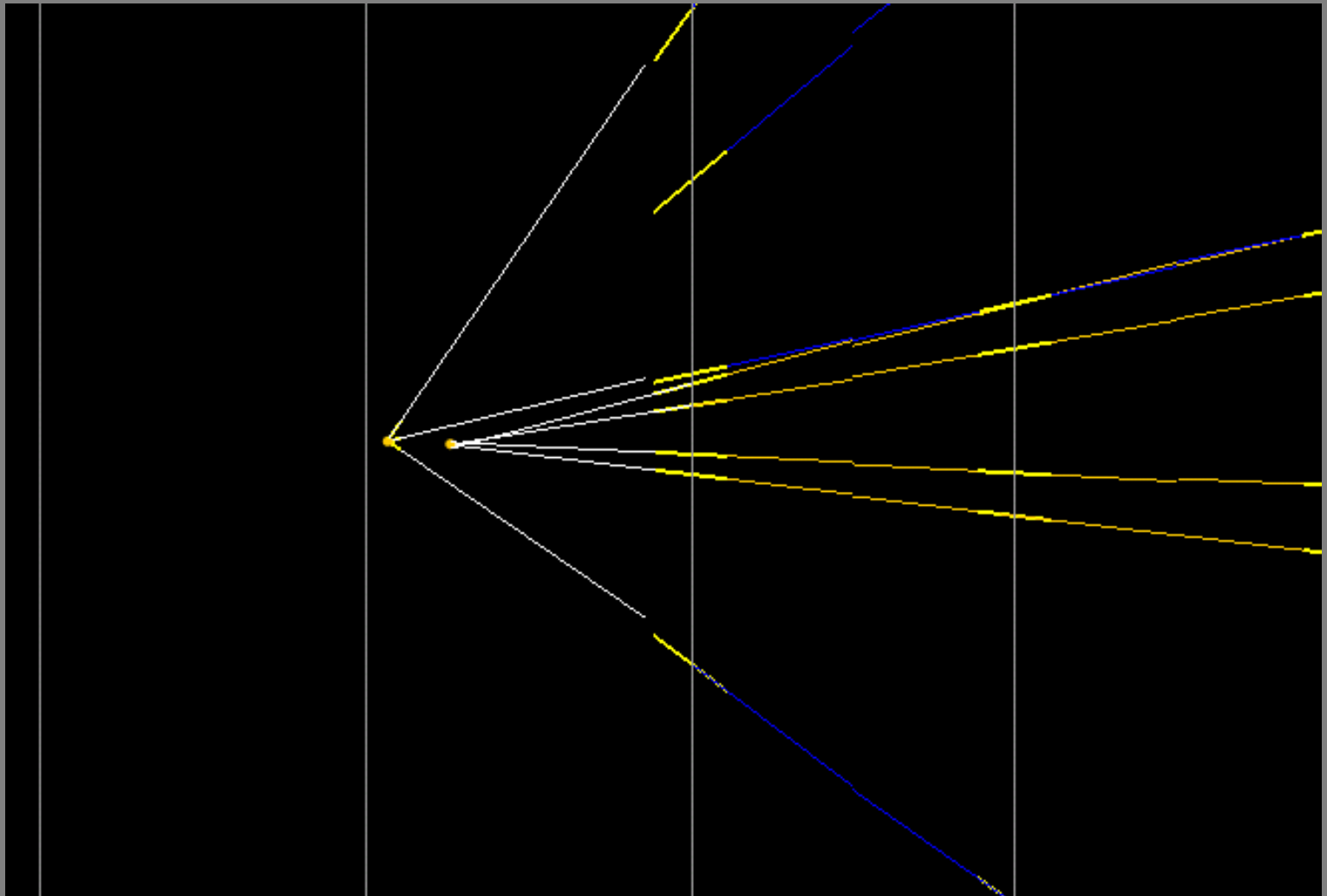
# Charm candidate event (dimuon)



flight length: 1330 microns  
kink angle: 209 mrad  
IP of daughter: 262 microns  
daughter muon: 2.2 GeV/c  
decay Pt: 0.46 GeV/c

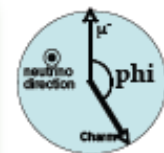


# Charm candidate event (4-prong)



$D^0$

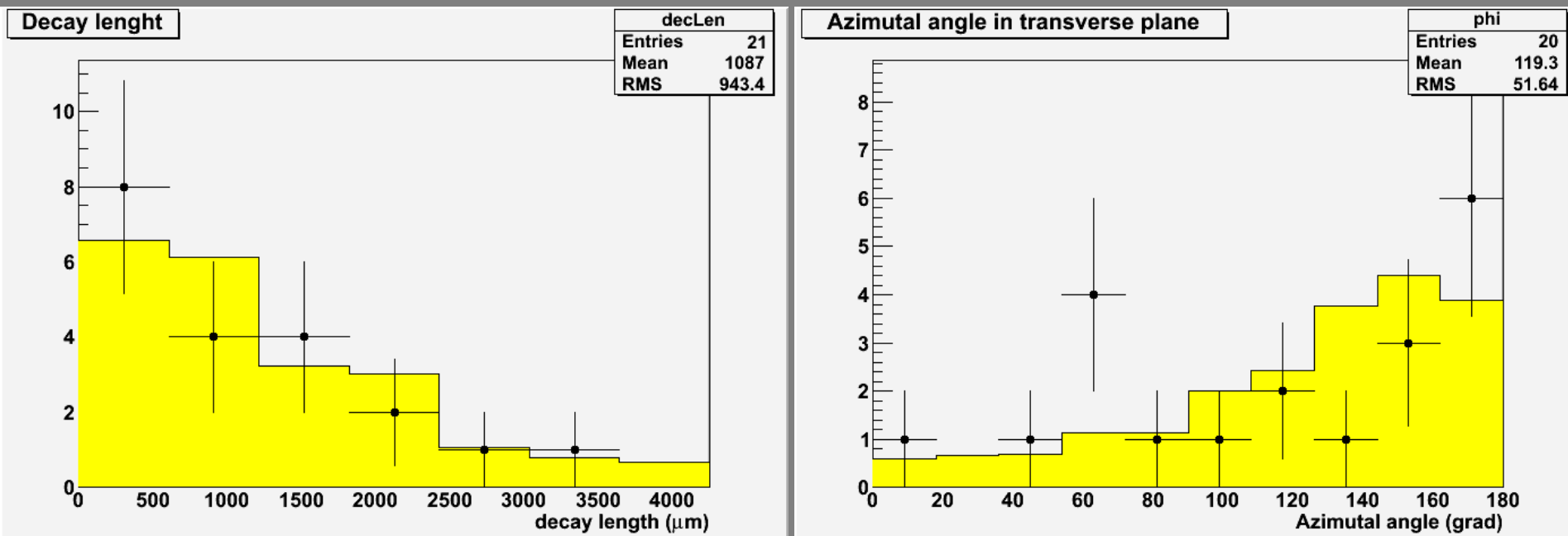
Tx	Ty	Flight Length ( $\mu\text{m}$ )	phi	minimum mass ( $\text{GeV}/c^2$ )
-0,0207	0,0198	313,1	173,2°	1,7



21 charm candidate events selected with kinematical cuts, 3 of them with 1-prong “kink” topology

Expected: 21.8 out of which 1.2 with kink topology

Examples of distributions:



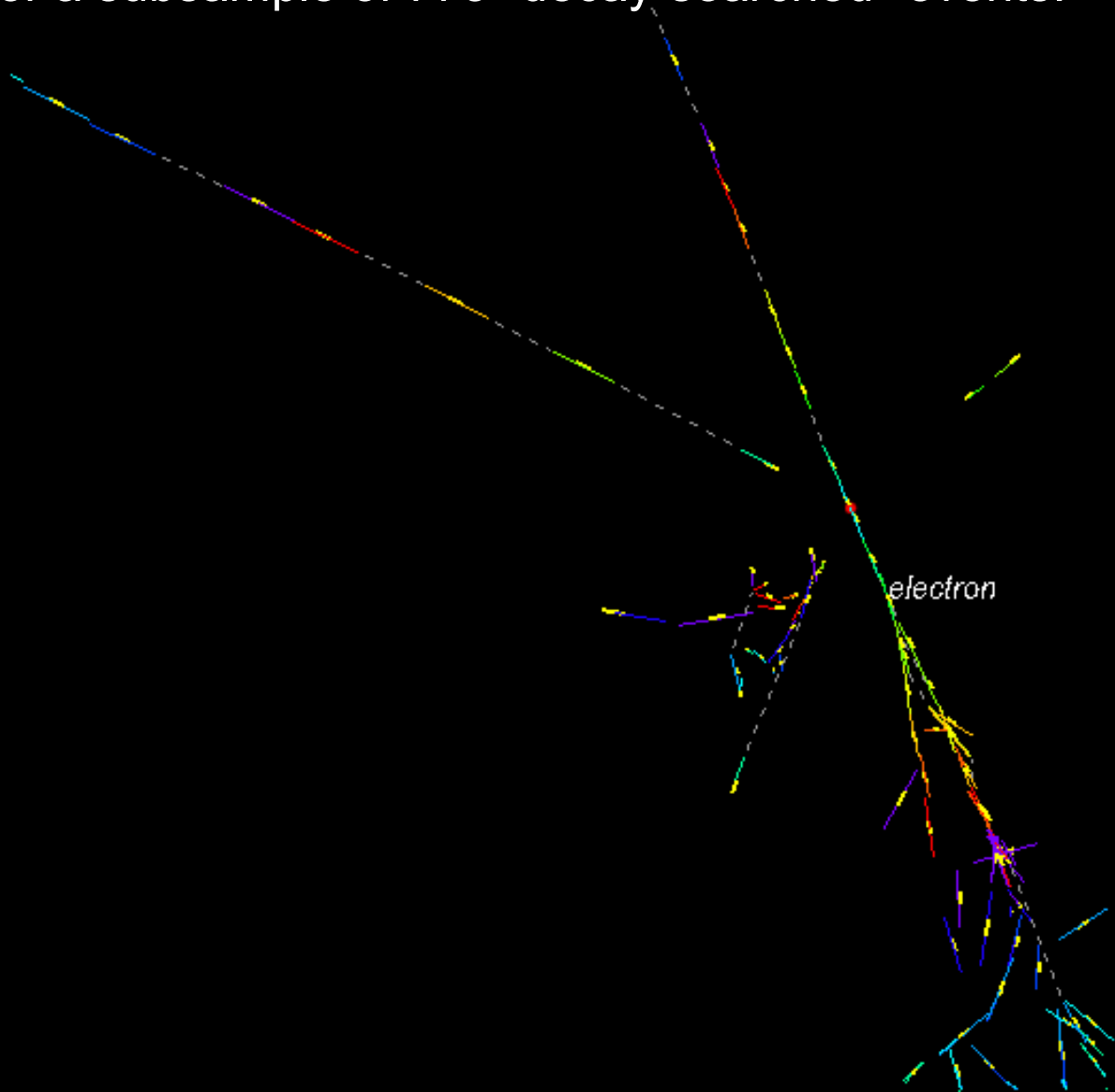
# *OTHER SPECIAL EVENTS*



# $\nu_e$ candidate event

For a subsample of 773 “decay-searched” events:

expected	6.2
detected	6



# Event statistics

Events collected by 2008-2009 run 5391

Brick tagging efficiency times vertex location efficiency:  $\sim 60\%$

Total found neutrino vertices: 1921

This is  $\sim 60\%$  of the total 2008-2009 run statistics .

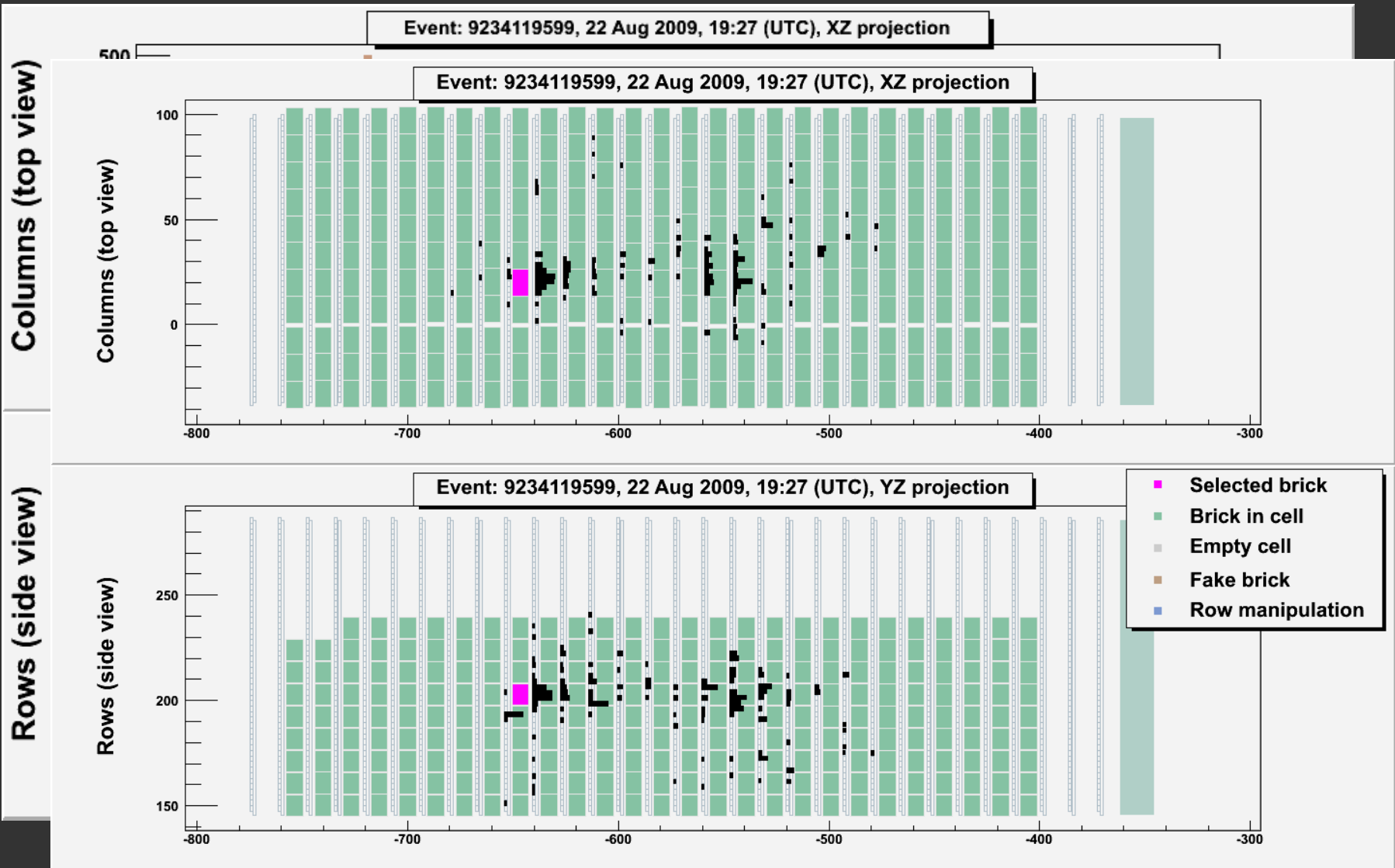
Events for which “decay search” was completed: 1088 (187 NC)

This is  $\sim 35\%$  of the total 2008-2009 run statistics,  
corresponding to  $1.85 \times 10^{19}$  pot

With the above statistics, and for  $\Delta m^2_{23} = 2.5 \times 10^{-3} \text{ eV}^2$  and full mixing,  
OPERA expects:

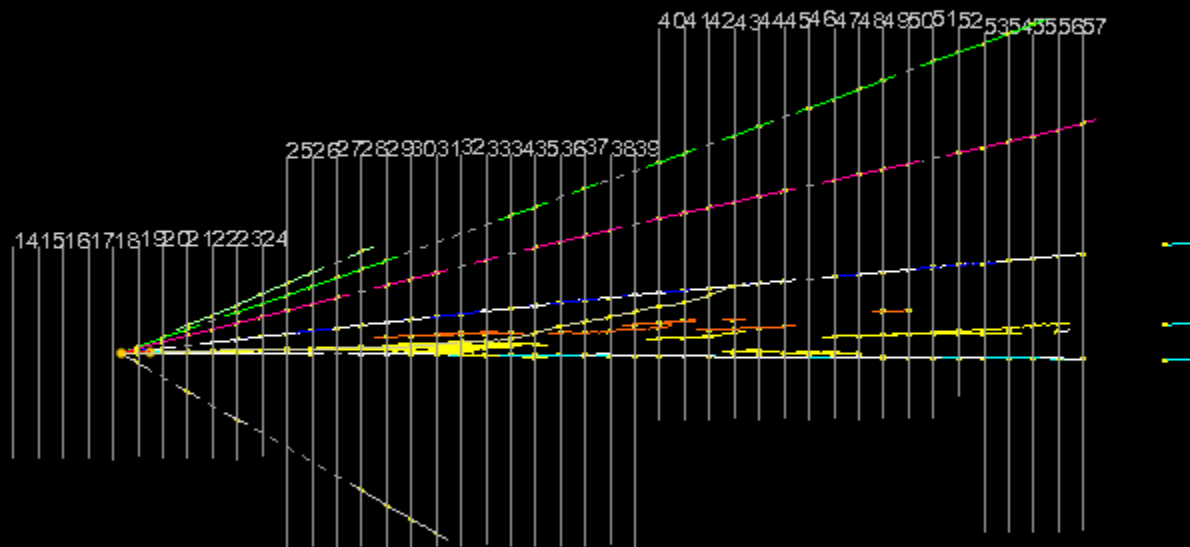
$\sim 0.5 \nu_\tau$  events

# Muonless event 9234119599, taken on 22 August 2009, 19:27 (as seen by the electronic detectors)



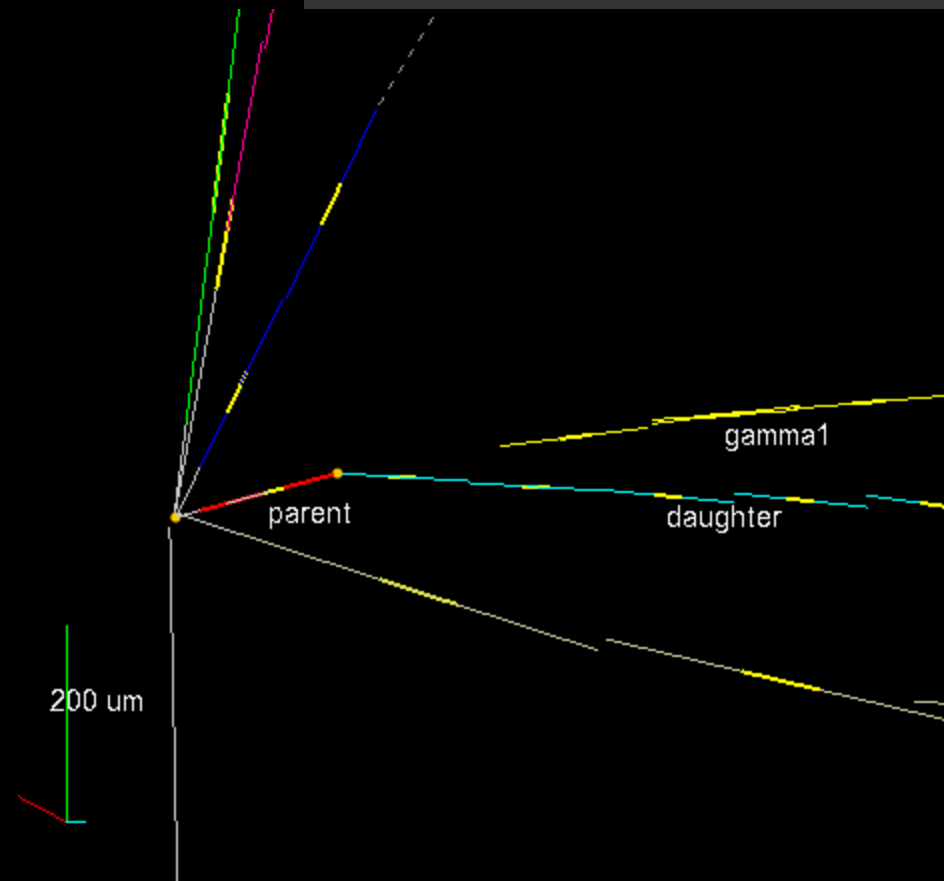
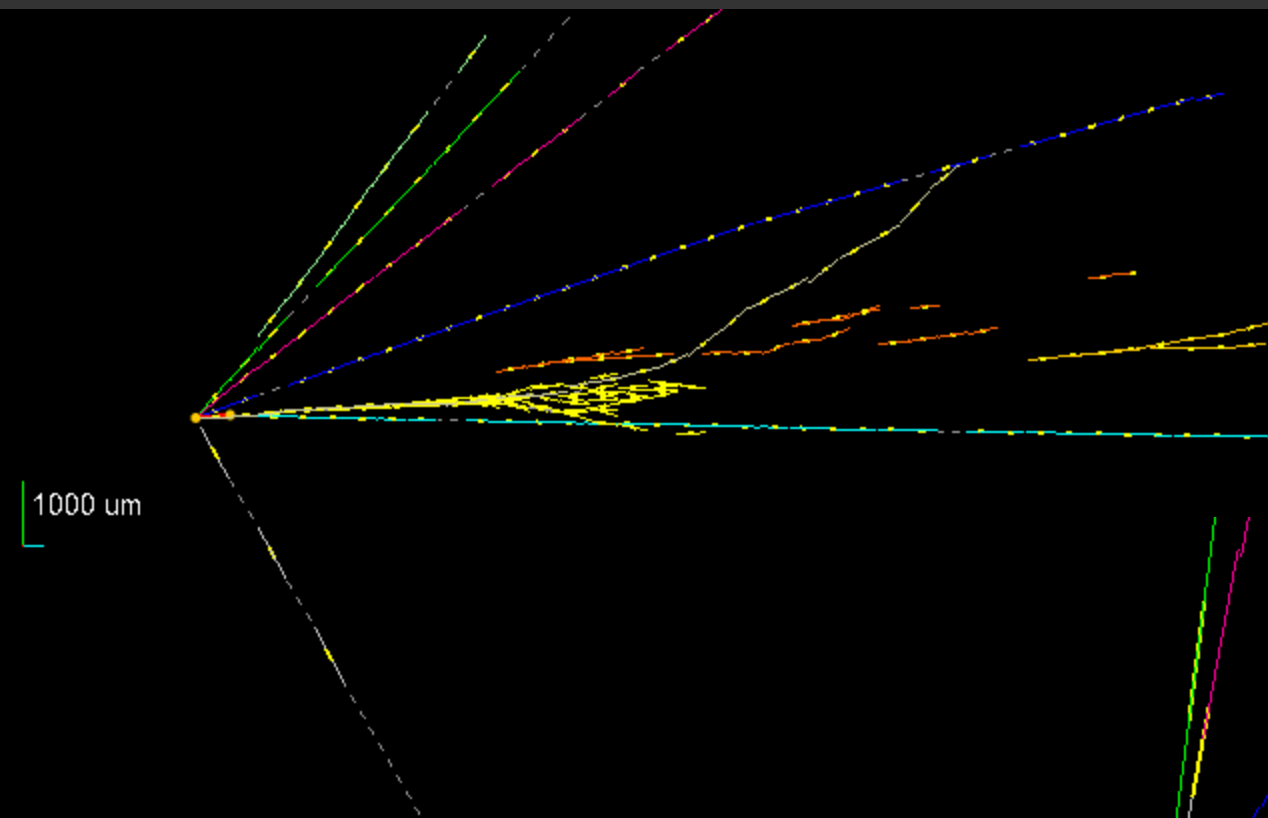
# FROM CS TO VERTEX LOCATION

Large area scanning  
Full reconstruction of vertices and gammas



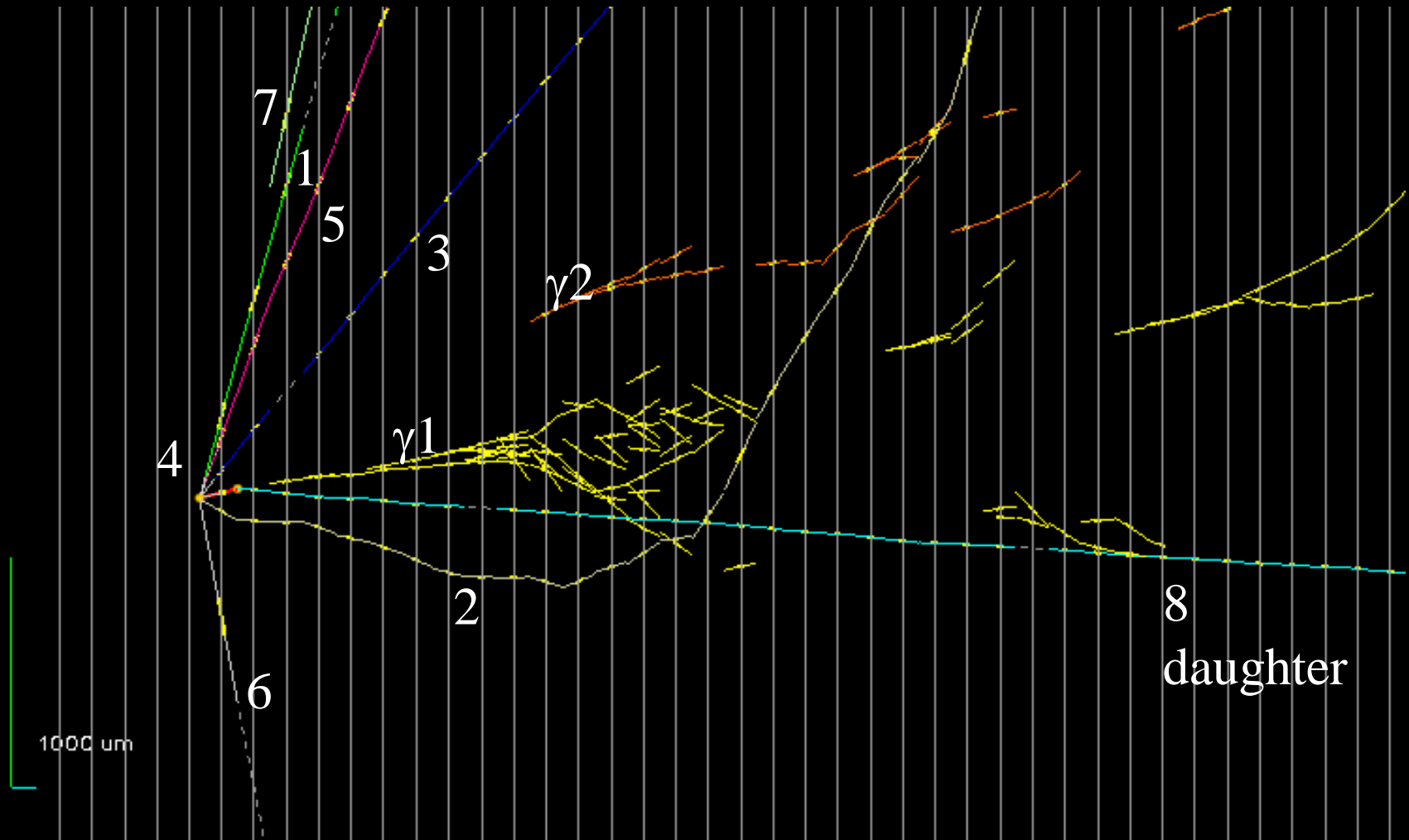


# Event reconstruction



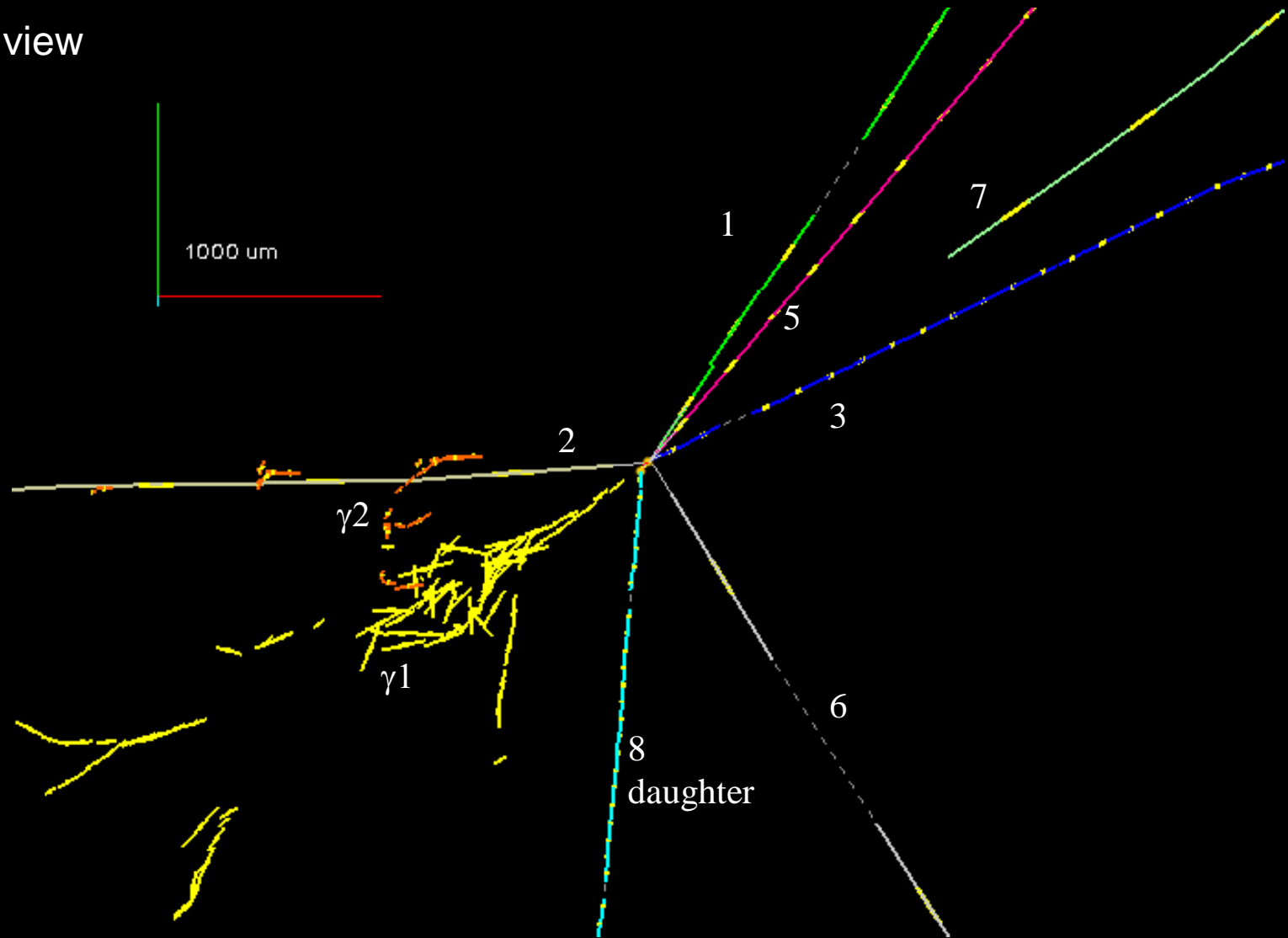
# Event topological features (side view)

Side view

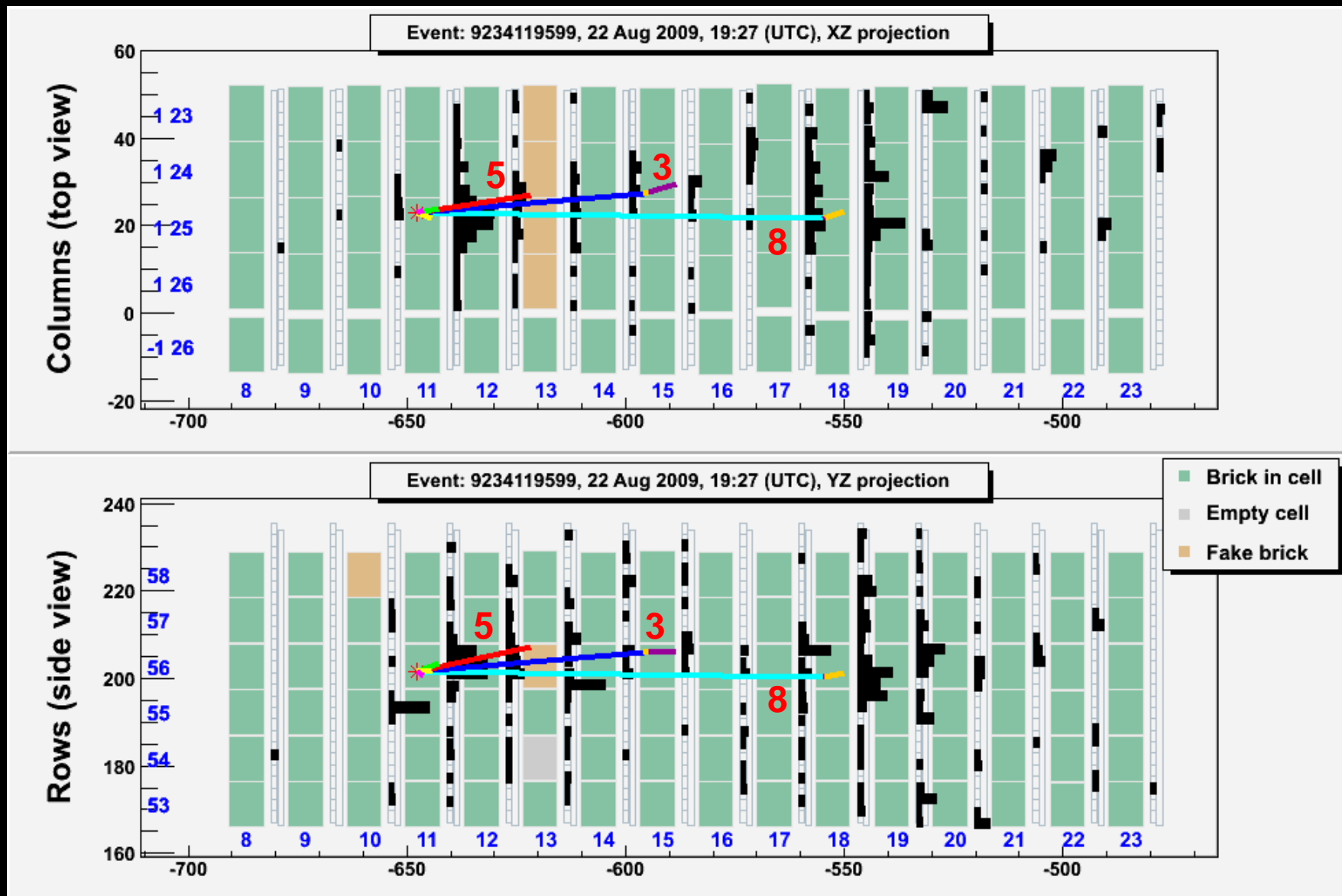


# Event topological features (Beam view)

Beam view



Vertex tracks followed down (through several bricks) to assess the **muonless nature of the event**. Residual probability of  $\nu_\mu$  CC event (due to a possibly undetected large angle muon)  $\sim 1\%$ . **“Nominal” value of 5% assumed**



# Event tracks' features

TRACK NUMBER	PID	Probability	MEASUREMENT 1			MEASUREMENT 2		
			$\Theta_x$ (RAD)	$\Theta_y$ (RAD)	P (GEV)	$\Theta_x$ (RAD)	$\Theta_y$ (RAD)	P (GEV)
1	HADRON range in Pb/emul=4.1/1. 2 cm	Prob( $\mu$ ) $\approx 10^{-3}$	0.177	0,368	0,77 [0.66,0.93]	0,175	0,357	0,80 [0.65,1.05]
2	PROTON	range, scattering and dE/dx	-0.646	-0,001	0,60 [0,55,0,65]	-0,653	0,001	
3	HADRON	interaction seen	0.105	0,113	2,16 [1.80,2.69]	0,110	0,113	1,71 [1.42,2.15]
4 (PARENT)			-0,023	0,026		-0,030	0,018	
5	HADRON: range in Pb/emul=9.5/2. 8 cm	Prob( $\mu$ ) $\approx 10^{-3}$	0.165	0.275	1,33 [1.13,1.61]	0,149	0,259	1,23 [0.98,1.64]
6	HADRON: range in Pb/emul=1.6/0. 5 cm	Prob( $\mu$ ) $\approx 10^{-3}$				0,334	-0,584	0,36 [0.27,0.54]
7	From a prompt neutral particle		0.430	0.419		0.445	0.419	0.48 [0.34,0.66]
8 (DAUGHTER)	HADRON	interaction seen	- 0.004	-0.008	12 [9,18]	-0,009	-0,020	



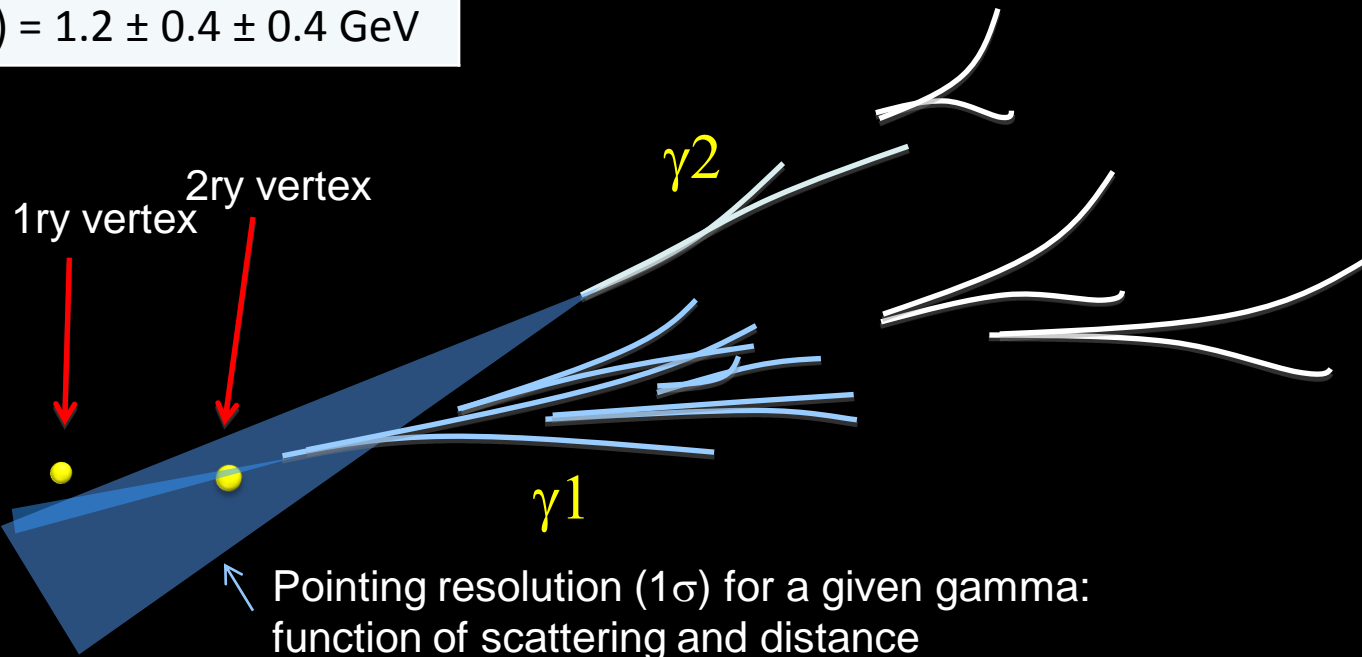
# $\gamma$ attachment to the vertices

	Distance from 2ry vertex (mm)	IP to 1ry vertex ( $\mu\text{m}$ ) <resolution>	IP to 2ry vertex ( $\mu\text{m}$ ) <resolution>	Prob. of attach. to 1ry vtx*	Prob. of attach. to 2ry vtx*	Attachment hypothesis
1 <sup>st</sup> $\gamma$	2.2	45.0 <11>	7.5 <7>	$<10^{-3}$	0.32	2ry vertex
2 <sup>nd</sup> $\gamma$	12.6	85.6 <56>	22 <50>	0.10	0.82	2ry vertex (favored)

$$E(\gamma 1) = 5.6 \pm 1.0 \pm 1.7 \text{ GeV}$$

$$E(\gamma 2) = 1.2 \pm 0.4 \pm 0.4 \text{ GeV}$$

\* probability to find an IP larger than the observed one



# Kinematical analysis

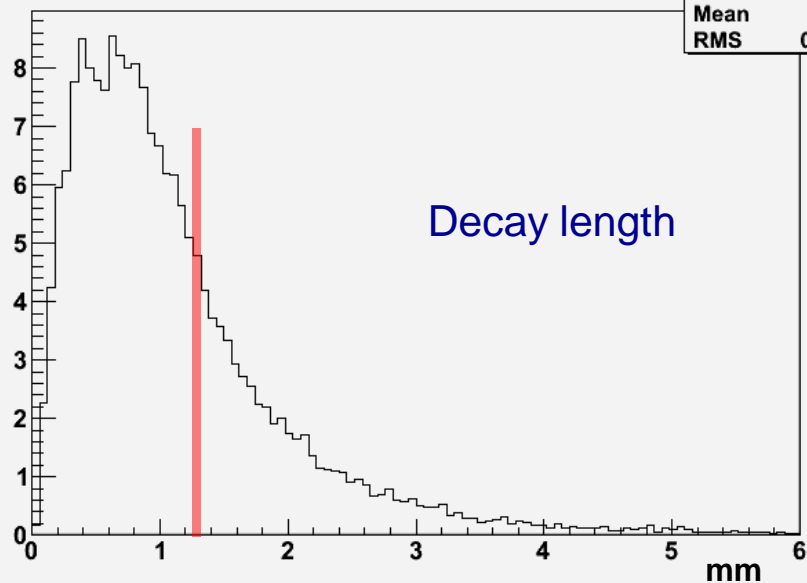
VARIABLE	AVERAGE	Selection criteria
kink (mrad)	$41 \pm 2$	$>20$
decay length ( $\mu\text{m}$ )	$1335 \pm 35$	within 2 lead plates
P daughter (GeV/c)	$12^{+6}_{-3}$	$>2$
Pt (MeV/c)	$470^{+230}_{-120}$	$>300$ ( $\gamma$ attached)
missing Pt (MeV/c)	$570^{+320}_{-170}$	$<1000$
$\lambda$ (deg)	$173 \pm 2$	$>90$

$\gamma_1$  and  $\gamma_2$  are both assumed as attached to  $2^{\text{nd}}$  vertex

The uncertainty on Pt due to the alternative  $\gamma_2$  attachment is  $< 50$  MeV

Tau Length for all long decays Weighted

longDecay2	
Entries	23755
Mean	1.123
RMS	0.8665

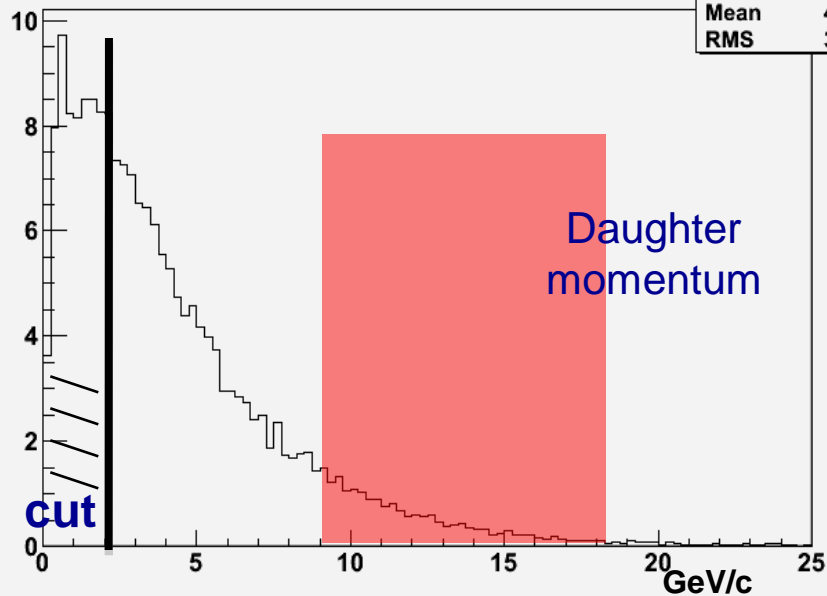


## Features of the decay topology

red bands: values for the “interesting” event with uncertainties

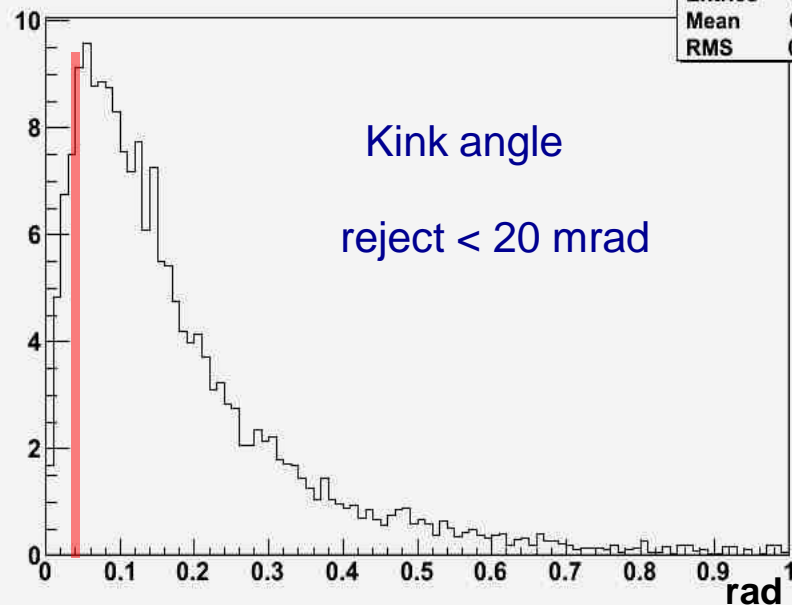
dgh Momentum Weighted(Long)

dghMom2L	
Entries	23755
Mean	4.237
RMS	3.649



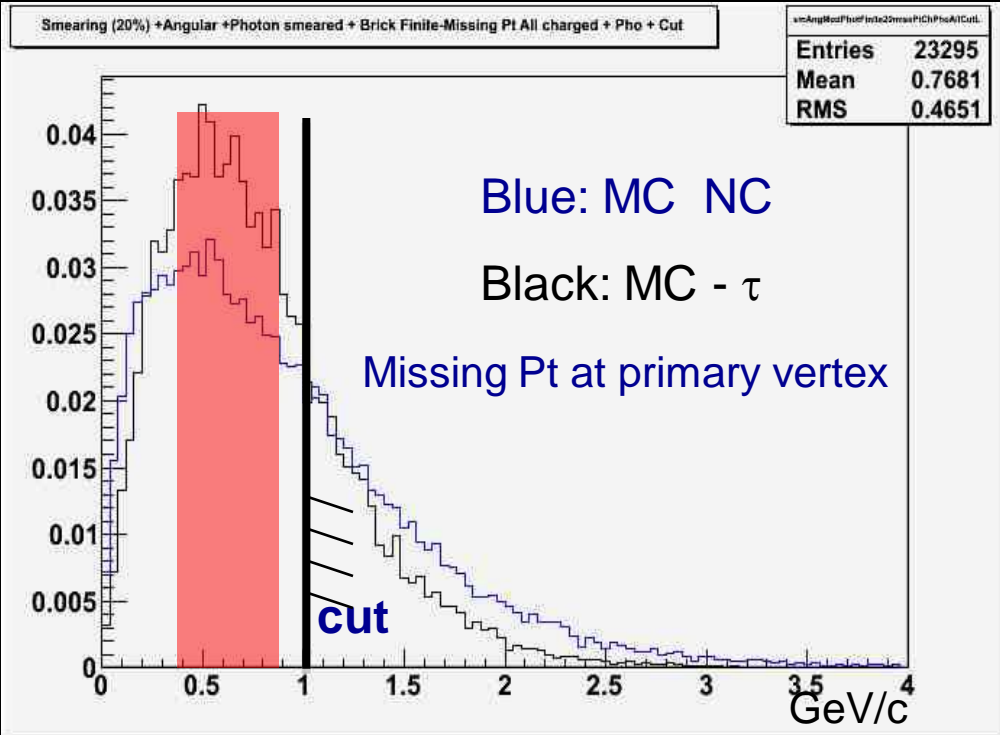
Kink Angle Weighted(Long)

kinkAngle2L	
Entries	23755
Mean	0.1828
RMS	0.1644

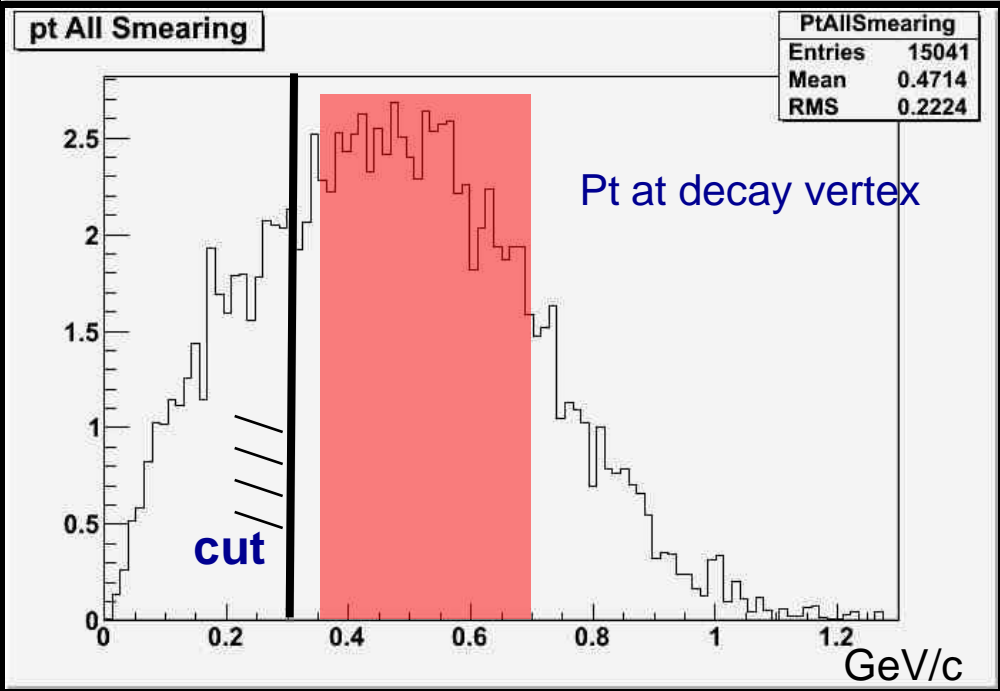


# Kinematical cuts to be passed

Reject NC events with larger missing Pt (neutrino) ➔



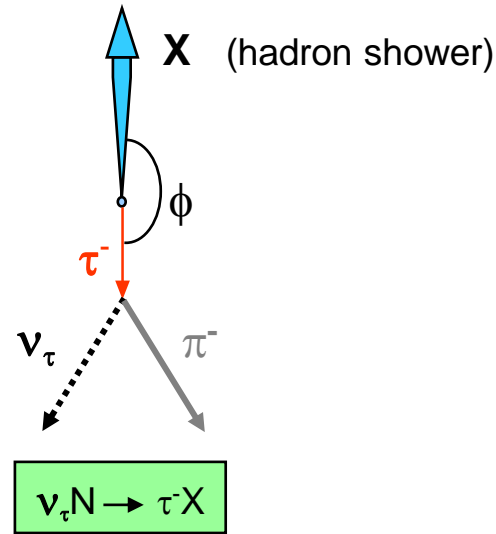
Reject hadron interactions ➔



Azimuthal angle between the resulting hadron momentum direction and the parent track direction

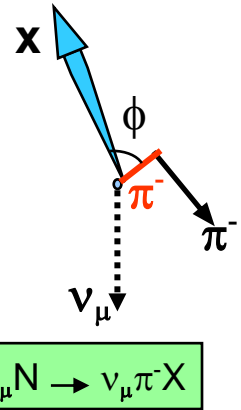
**Signal :**  
 $\phi = 180^\circ$

**$\tau$ -decay**

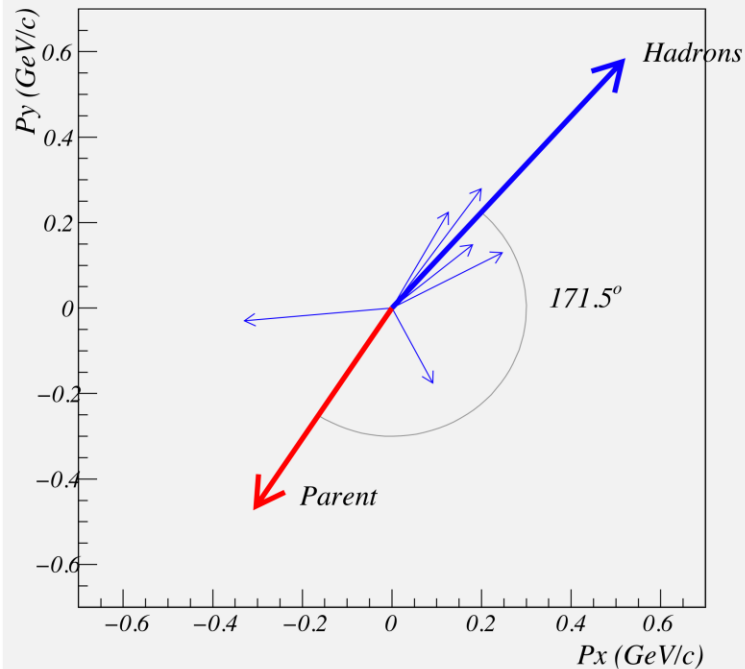


**BG:**  
small  $\phi$

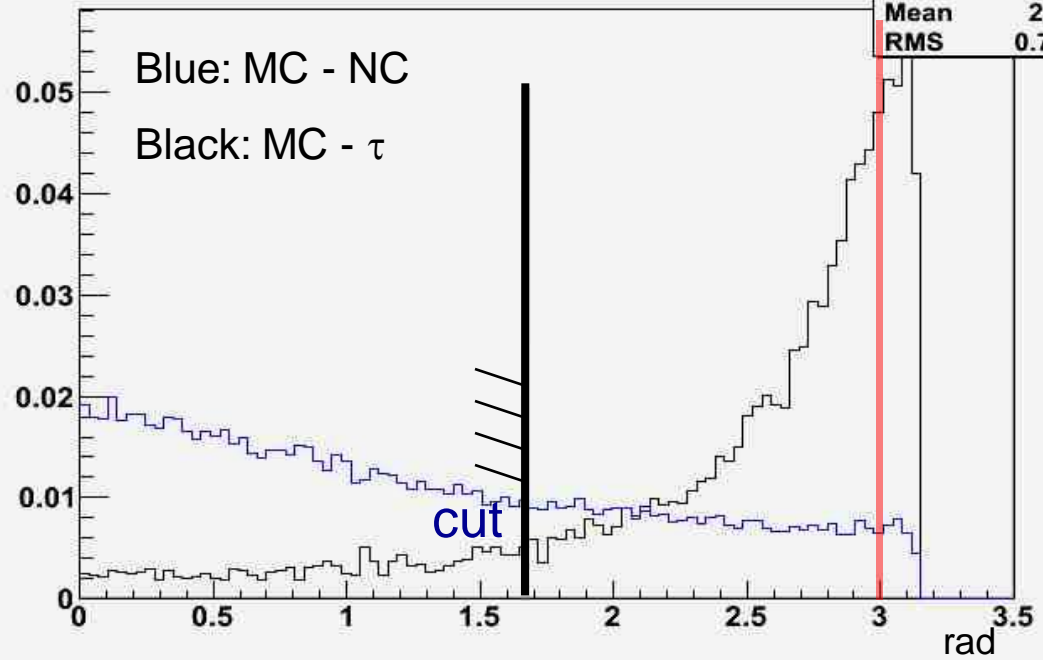
**kink**



Transverse momentum



Sm + Ang + Pho + Finite - Angle between MTH(All Charged +Pho+ cut) & Had



Entries	23074
Mean	2.445
RMS	0.7382



# Event nature and invariant mass reconstruction

- The event passes all cuts, with the presence of at least 1 gamma pointing to the secondary vertex, and is therefore a candidate to the  $\tau \rightarrow 1\text{-prong hadron decay mode}$ .
- A fit of the invariant mass of the two detected gammas yields a mass consistent with the  $\pi^0$  mass value (see table below).
- The invariant mass of the  $\pi^- \gamma \gamma$  system has a value (see below) compatible with that of the  $\rho$  (770). The  $\rho$  appears in about 25% of the  $\tau$  decays:  $\tau \rightarrow \rho (\pi^- \pi^0) \nu_\tau$ .

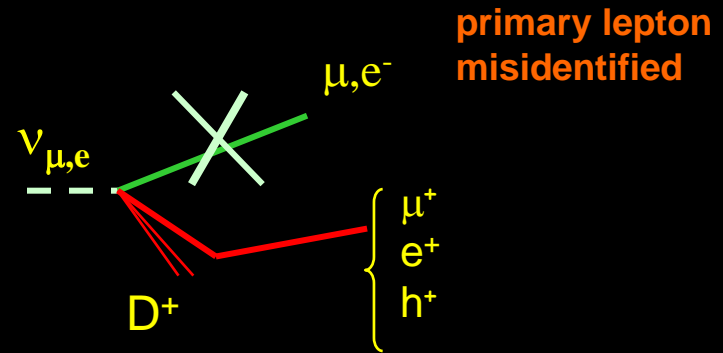
$\pi^0$ mass	$\rho$ mass
$120 \pm 20 \pm 35 \text{ MeV}$	$640^{+125}_{-80}{}^{+100}_{-90} \text{ MeV}$

# BACKGROUND SOURCES

- Prompt  $\nu_\tau$   $\sim 10^{-7}/\text{CC}$
- Decay of charmed particles produced in  $\nu_e$  interactions  $\sim 10^{-6}/\text{CC}$
- Double charm production  $\sim 10^{-6}/\text{CC}$
- Decay of charmed particles produced in  $\nu_\mu$  interactions  $\sim 10^{-5}/\text{CC}$
- Hadronic reinteractions  $\sim 10^{-5}/\text{CC}$

# Charm background

Charmed particles have similar decay topologies to the  $\tau$



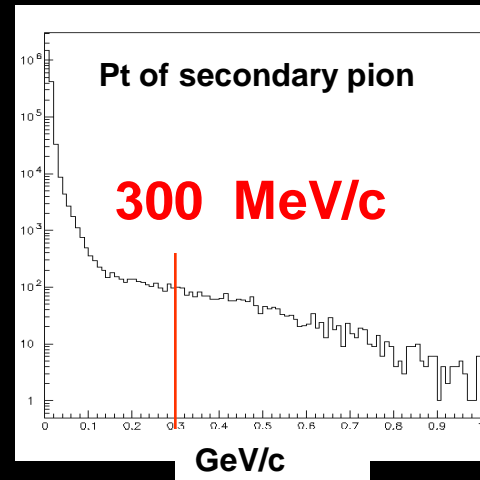
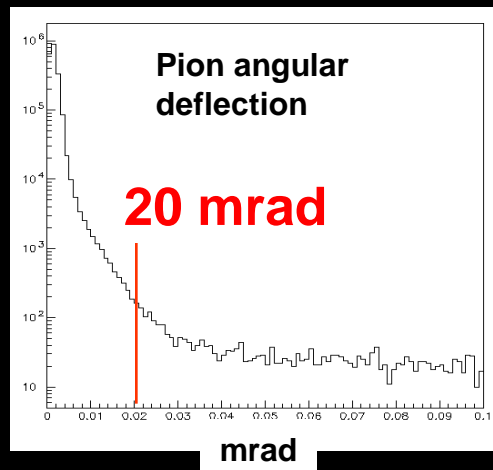
- charm production in CC events represents a background source to all tau decay channels
- this background can be suppressed by identifying the primary lepton  
→ ~ 95% muon ID
- for the 1-prong hadronic channel  $0.007 \pm 0.004$  (syst) background events are expected for the analyzed statistics
- further charm BG reduction is under evaluation by implementing the systematic follow-down of low energy tracks in the bricks and the inspection of their end-range, as done for the “interesting” event. For the latter we have 98-99% muon ID efficiency.

# Simulation of the reinteraction BG

- Background evaluation by using state-of-the-art FLUKA code, upgrade of the Proposal simulations.
- 160 million events (0.5-15 GeV/c) of  $\pi^+, \pi^-, K^+, K^-, p$  impinging 1 mm of lead, equivalent to 160 km of hadronic track length.
- Kink probabilities evaluated by applying the same cuts as for the tau analysis.

kink probabilities integrated over the  $\nu_\mu$  NC hadronic spectrum yield a BG probability of:  **$(1.9 \pm 0.1) \times 10^{-4}$  kinks/NC** (2 mm Pb)

## Typical scattering distributions for : 5 GeV $\pi^+$



# We observe 1 event in the 1-prong hadron $\tau$ decay channel,

with a background expectation (estimating a  $\sim 50\%$  error for each component) of:

0.011 events (reinteraction)

0.007 events (charm)



$0.018 \pm 0.007$  (syst) events 1-prong hadron

all decay modes: 1-prong hadron, 3-prongs + 1-prong  $\mu$  + 1-prong  $e$  :

$0.045 \pm 0.020$  (syst) events total BG

By considering the 1-prong hadron channel only, the probability to observe 1 event due to a background fluctuation is **1.8%**, for a statistical significance of **2.36  $\sigma$**  on the measurement of a first  $\nu_\tau$  candidate event in OPERA.

If one considers all  $\tau$  decay modes which were included in the search, the probability to observe 1 event for a background fluctuation is **4.5%**.

This corresponds to a significance of **2.01  $\sigma$** .





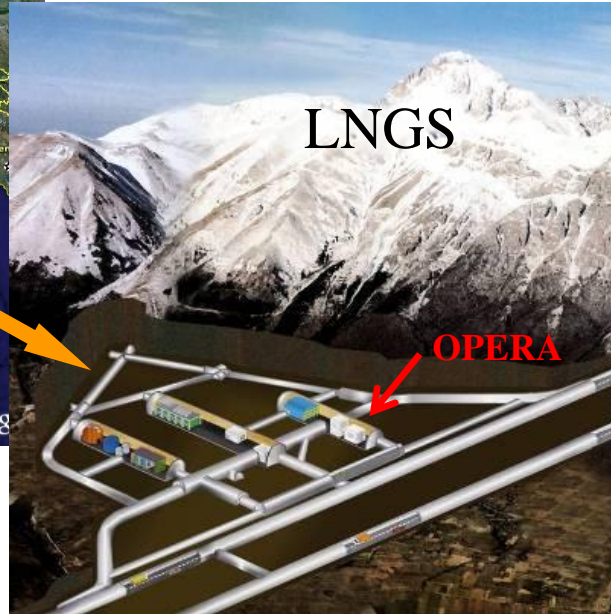
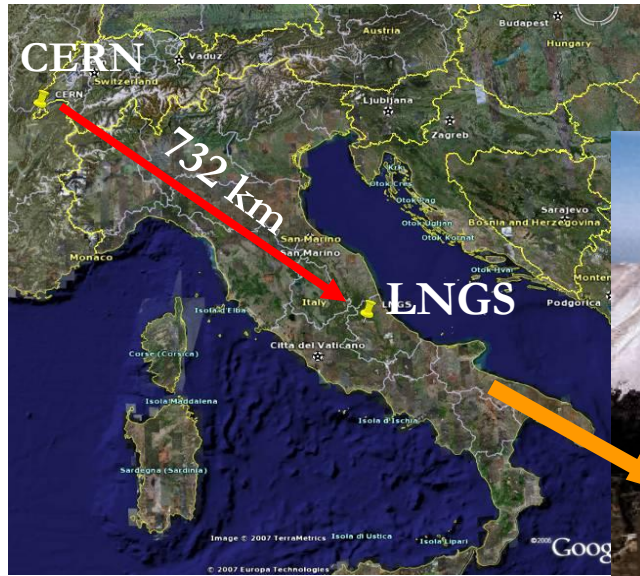
## CONCLUSIONS AND OUTLOOK

The Collaboration has conducted the analysis of a sub-sample of the neutrino data taken in the CERN CNGS beam in the 2008-2009 runs.

- One muonless event showing a  $\tau \rightarrow 1$ -prong hadron decay topology has been detected and studied in details. It passes all kinematical cuts required to reduce the physics background. It is the first  $\nu_\tau$  candidate event in OPERA.  
(Phys.Lett.B691(2010), 138-145)
- By considering the 1-prong hadron channel only, the probability to observe 1 event due to a background fluctuation is 1.8%, for a statistical significance of 2.36 s on the measurement of a first nt candidate event in OPERA.
- This result is an important step towards the long awaited discovery of neutrino oscillations in direct appearance mode.
- To meet this goal we will require to successfully complete data taking in the CNGS beam and perform the analysis of the full data sample

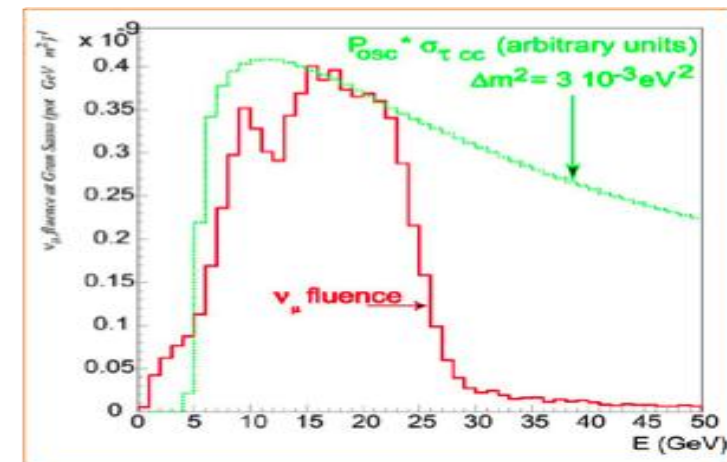
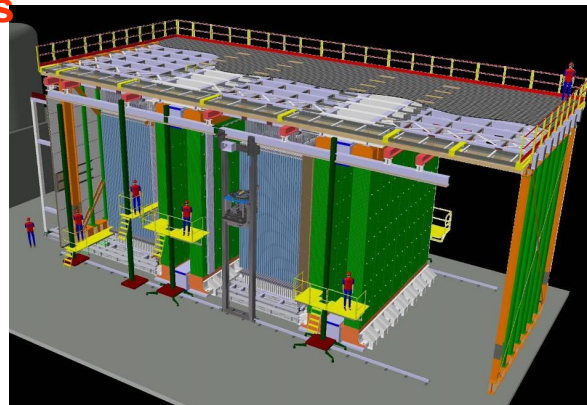
Back up

# CNGS neutrino beam: long baseline, high energy to maximize number of $\nu_\tau$ CC events

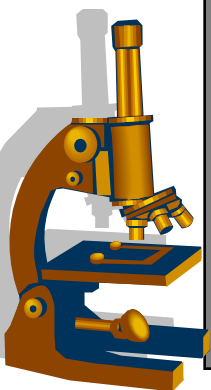


$\langle E_{\nu_\mu} \rangle$	17 GeV
$\nu_\mu(\text{CC} + \text{NC})/\text{year}$	~4700
$\nu_\tau \text{ CC}/\text{year}$	~20
$(\nu_e + \bar{\nu}_e) / \nu_\mu$	0.87%
$\bar{\nu}_\mu / \nu_\mu$	4%
$\nu_\tau \text{ prompt}$	negligible

**OPERA:** large mass/high spatial resolution  
able to detect short lived  $\tau$ s



## Decay Search Procedure



# emulsion

# emulsion

emulsion

## emulsion

## emulsion

# emulsion

## emulsion

CS

TT

# Point Scan

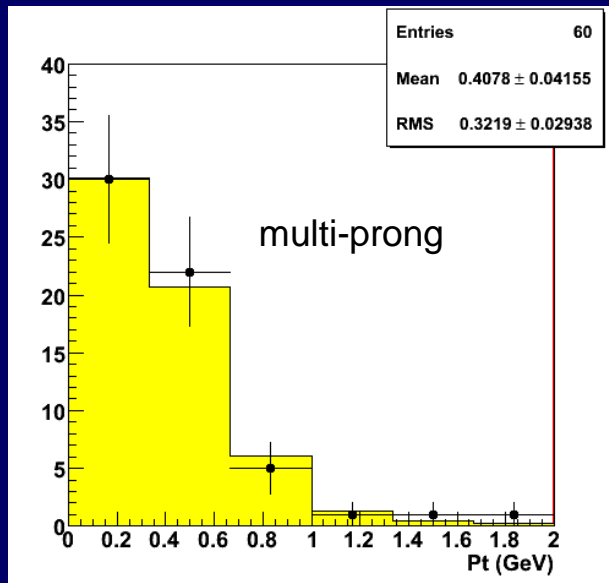
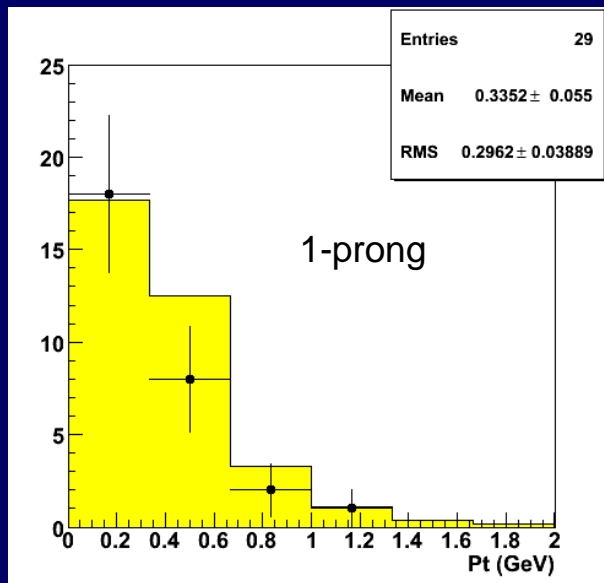
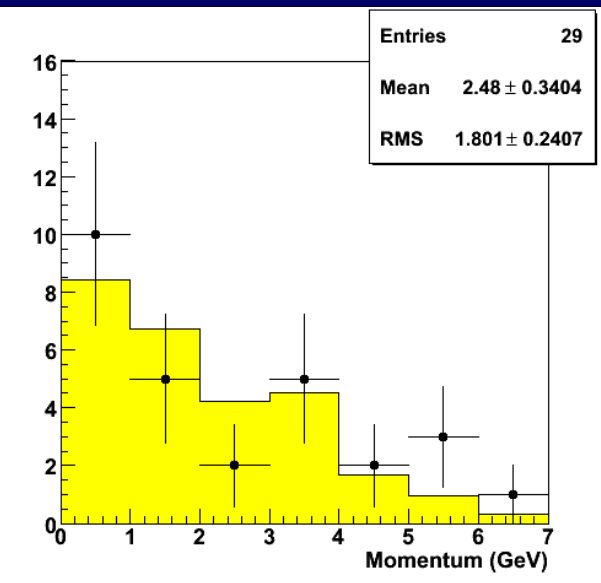
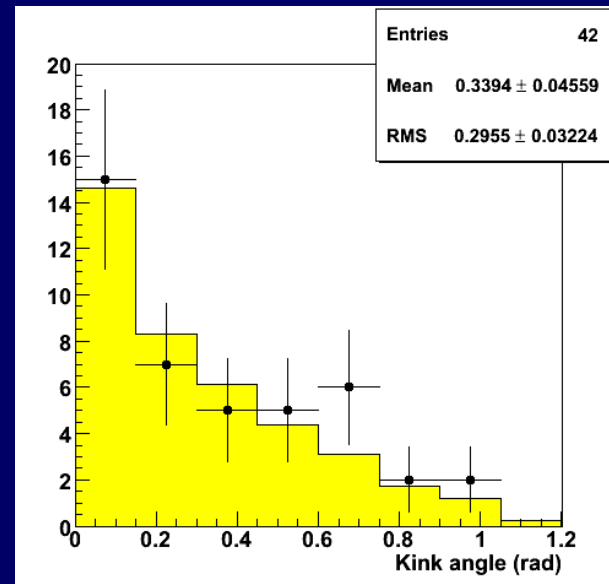
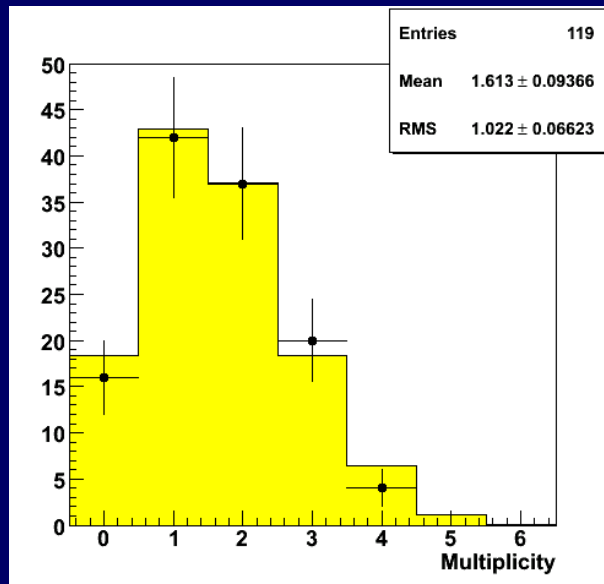
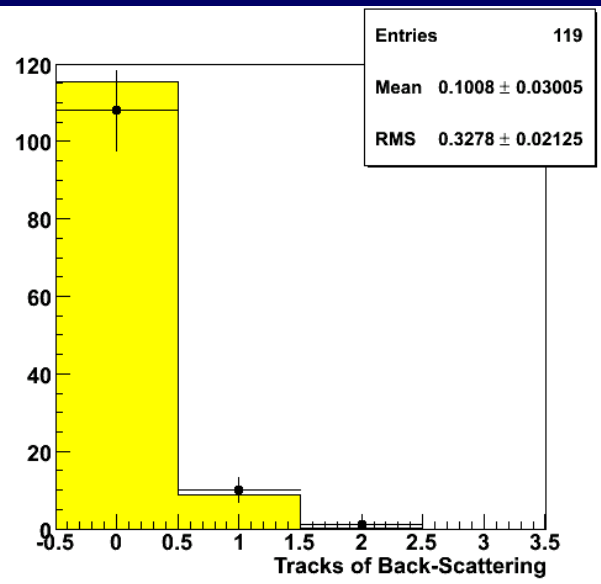
~100x100 $\mu\text{m}^2$

**Large area  
scan  $\sim 100\text{cm}^2$**

# emulsion

DATA/MC comparison: good agreement in **normalization and shape**

**Beam Test 4GeV pion 18 times track length(20m) of tau search.**



## Main kinematical cuts for charm events:

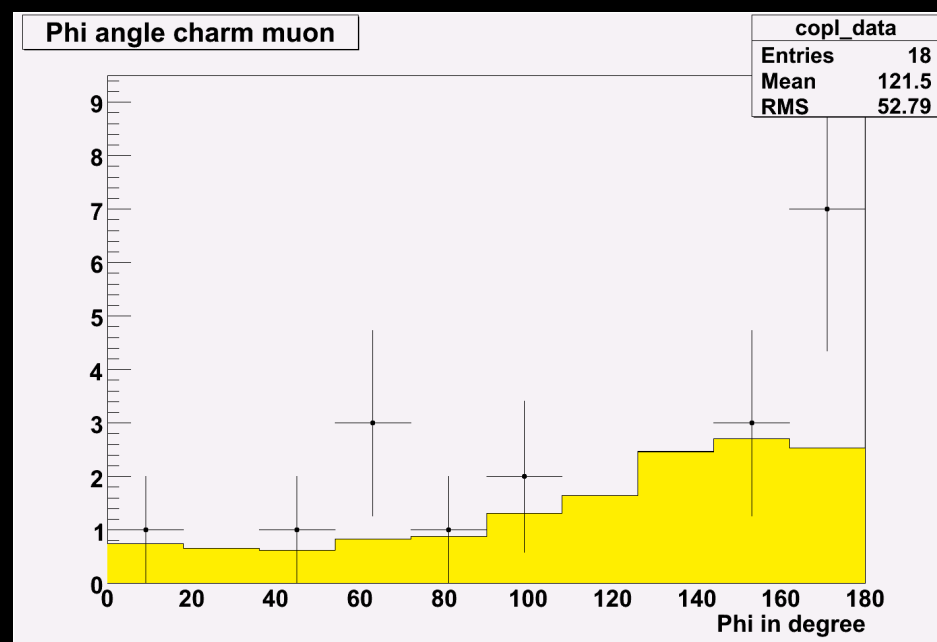
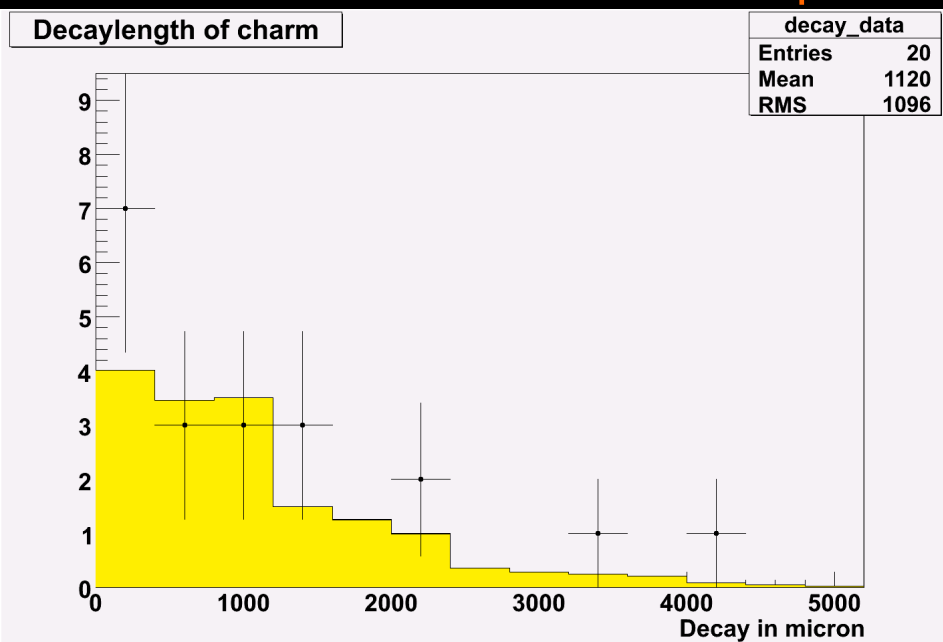
- P daughter  $> 2.5$  GeV/c, kink Pt  $> 0.5$  GeV/c (for kink events)
- looser cuts for multi-prong events

20 charm candidate events selected by the kinematical cuts,  
3 of them with 1-prong kink topology.

Expected:  $16.0 \pm 2.9$  out of which  $0.80 \pm 0.22$  with kink topology

Expected BG:  $\sim 2$  events (loose cuts: work in progress to reduce BG)

## Examples of distributions:





# Charm candidate event (dimuon)

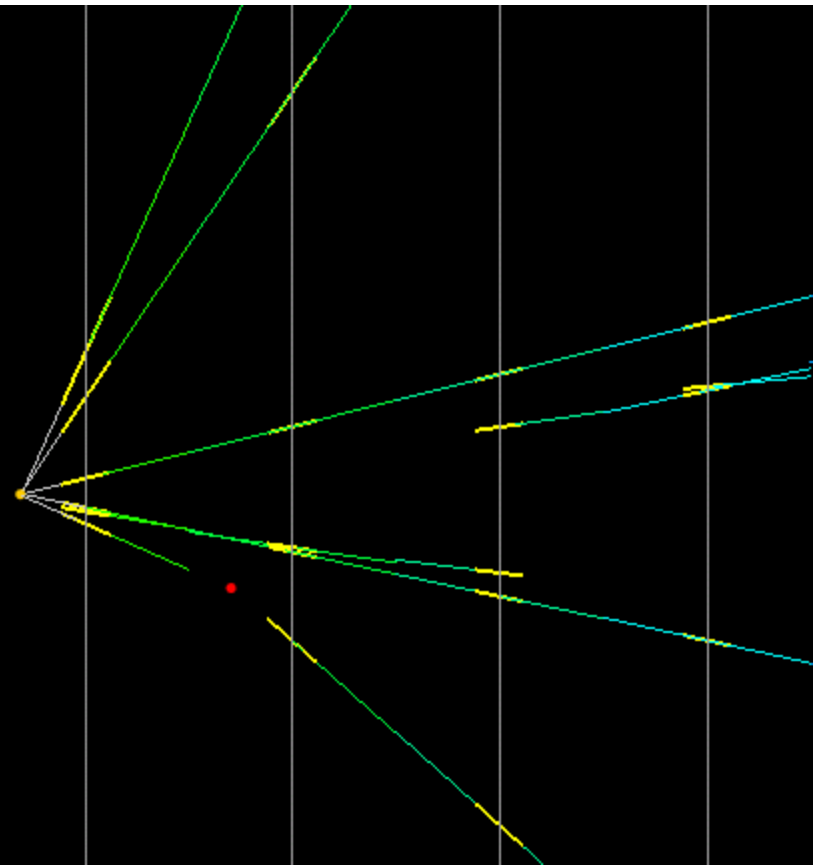
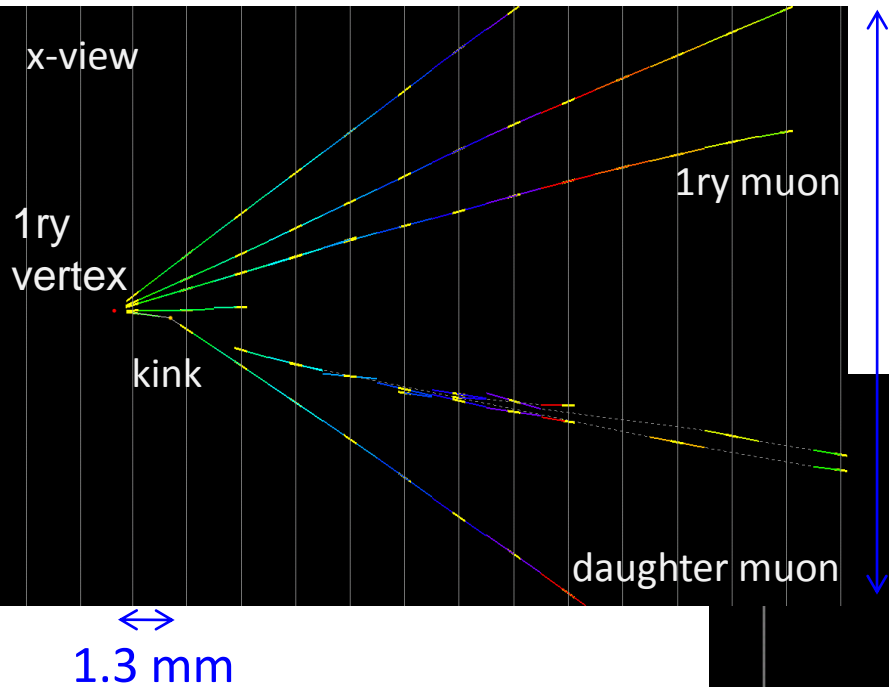
flight length: 1330 microns

kink angle: 209 mrad

IP of daughter: 262 microns

daughter muon: 2.2 GeV/c

decay Pt: 0.46 GeV/c

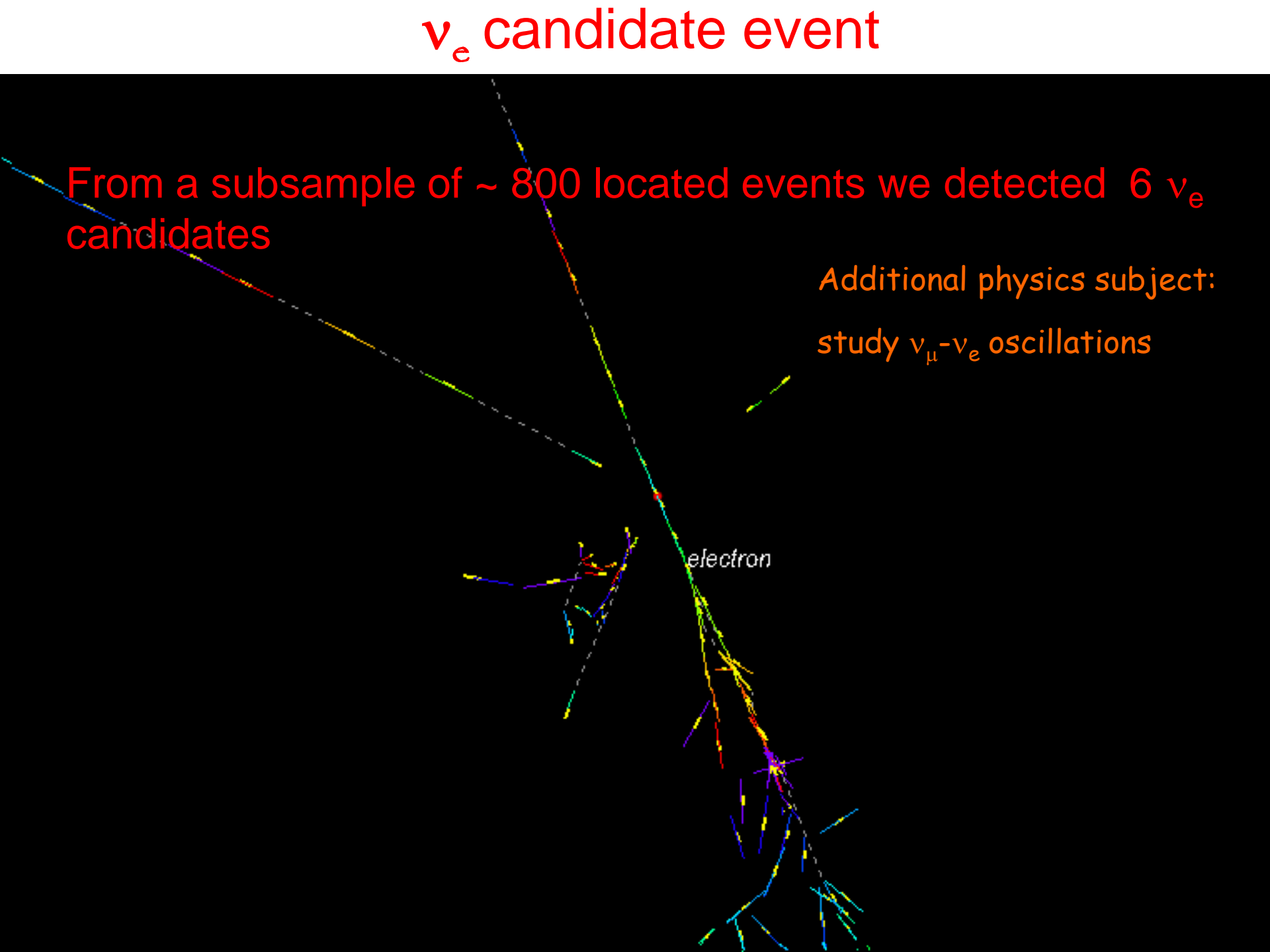


# $\nu_e$ candidate event

From a subsample of  $\sim 800$  located events we detected 6  $\nu_e$  candidates

Additional physics subject:  
study  $\nu_\mu - \nu_e$  oscillations

electron

The image shows a complex network of tracks on a black background. A primary track, composed of small colored dots (yellow, green, blue, red), enters from the top left and extends towards the bottom right. From this main track, numerous other tracks branch out in various directions, also composed of small colored dots. The overall pattern resembles a particle shower or a complex interaction event. The word 'electron' is written in white text near the middle of the main track.