

The background of the slide is an abstract, artistic representation. It features a central point from which numerous bright, multi-colored light trails (in shades of blue, purple, and white) radiate outwards, creating a sense of depth and movement. Interspersed among these light trails are several rows of small, golden, reflective spheres. These spheres are arranged in a way that suggests they are part of a larger, complex structure, possibly a detector or a particle accelerator component. The overall effect is one of high-tech, scientific exploration.

# Reactor Neutrinos I

Karsten M. Heeger

University of Wisconsin

2012 Pontecorvo Neutrino Summer School  
Alushta, Ukraine

# Outline

- Lecture 1
  - observation of the neutrino
  - reactors as an antineutrino source
  - prediction of the antineutrino flux from reactors
  - detection of reactor antineutrinos
  - oscillation searches with reactors
  - observation of reactor antineutrino disappearance at KamLAND
  - precision oscillation physics with reactor antineutrinos

# Outline

- Lecture 2

- precision oscillation physics:  $\theta_{13}$  and beyond
- the reactor anomaly
- future reactor experiments
  - $\theta_{12}$
  - mass hierarchy
  - sterile neutrino searches
- searches for new physics
  - magnetic moments
  - coherent scattering
  - NSI
- experiments with antineutrino sources
- applications of reactor antineutrinos: monitoring & communication

# Neutrino Energies

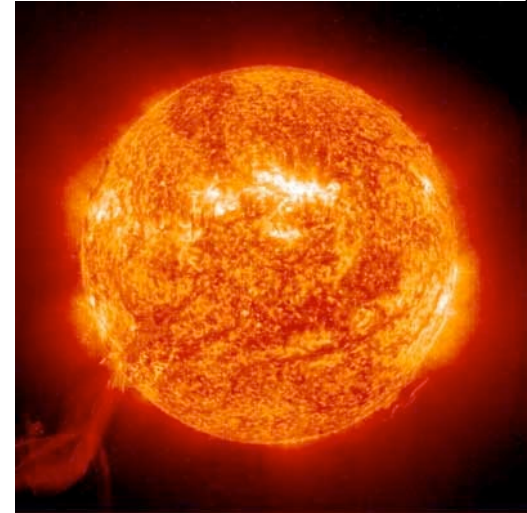
Big-Bang neutrinos  $\sim 0.0004$  eV

Neutrinos from the Sun  $< 20$  MeV  
depending of their origin.

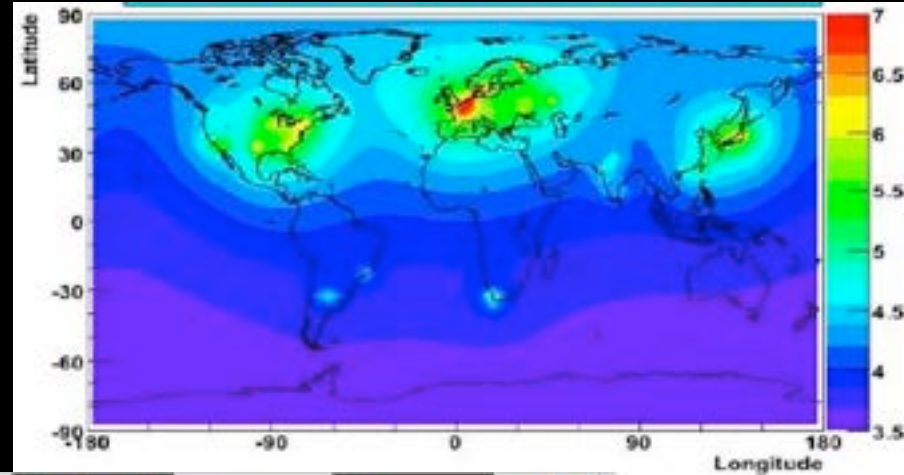
Atmospheric neutrinos  $\sim$  GeV

Antineutrinos from nuclear  
reactors  $< 10.0$  MeV

Neutrinos from accelerators up to GeV ( $10^9$  eV)







# Neutrino Flux on Earth

**Solar neutrinos**

?



**Primordial neutrinos**  
from the Big Bang

?



What produces the largest  
neutrino flux on Earth?

The Sun, the Big Bang, or  
a nuclear reactor?

**Reactor neutrinos**

?



at a distance of 1 km



# Neutrino Flux on Earth

**Solar neutrinos**

$$7 \times 10^{10}$$



**Primordial neutrinos**  
from the Big Bang

$$3 \times 10^{12}$$



What produces the largest  
neutrino flux on Earth?

The Sun, the Big Bang, or  
a nuclear reactor?

**Reactor neutrinos**

$$1 \times 10^{10}$$

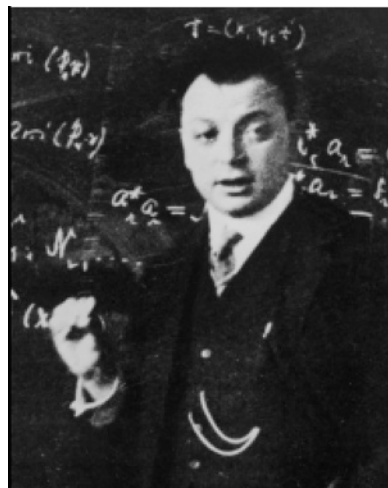


at a distance of 1 km

# **Discovery of the Antineutrino**



# History of the Neutrino



Pauli, 1930

Mythos: Photographie von Pauli 1930  
Abschrift/15.12.30

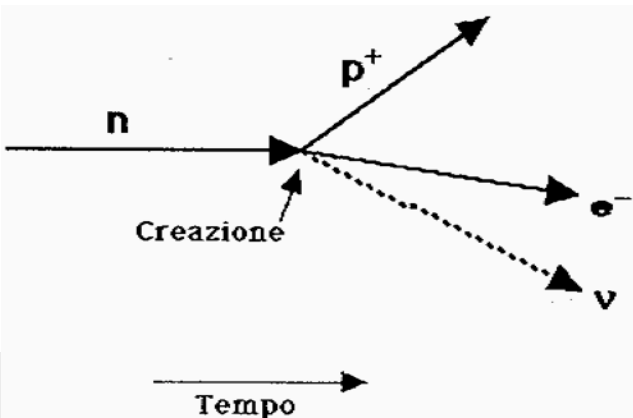
Gruppe der Radioaktiven bei der  
Lingen.

chschule

Zürich, 4. Dez. 1930  
Gloriastrasse

Damen und Herren,

ger dieser Zeilen, den ich baldvollet  
es näheren auseinandersetzen wird, bin ich  
Statistik der N- und Li-6 Kerne, sowie  
Spektrums auf einen verweifelten Ausweg  
verfallen um den "Wechselstet" (1) der Statistik und den Energienatz  
zu retten. Mählich die Möglichkeit, es könnten elektrisch neutrale  
Teilchen, die ich Neutronen nennen will, in den Kernen existieren,  
welche den Spin 1/2 haben und das Ausschliessungsprinzip befolgen und  
sich von Lichtquanten ausserdem noch dadurch unterscheiden, dass sie  
sich mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen  
musste von derselben Grössenordnung wie die Elektronenmasse sein und  
jedenfalls nicht grösser als 0,01 Protonenmasse. Das kontinuierliche  
beta-Spektrum wäre dann verständlich unter der Annahme, dass beim  
beta-Zerfall mit dem Elektron jeweils noch ein Neutron emittiert  
wird, derart, dass die Summe der Energien von Neutron und Elektron  
konstant ist.



$N \rightarrow N' + e^-$  some nuclei emit  
electrons!

Chadwick, 1914

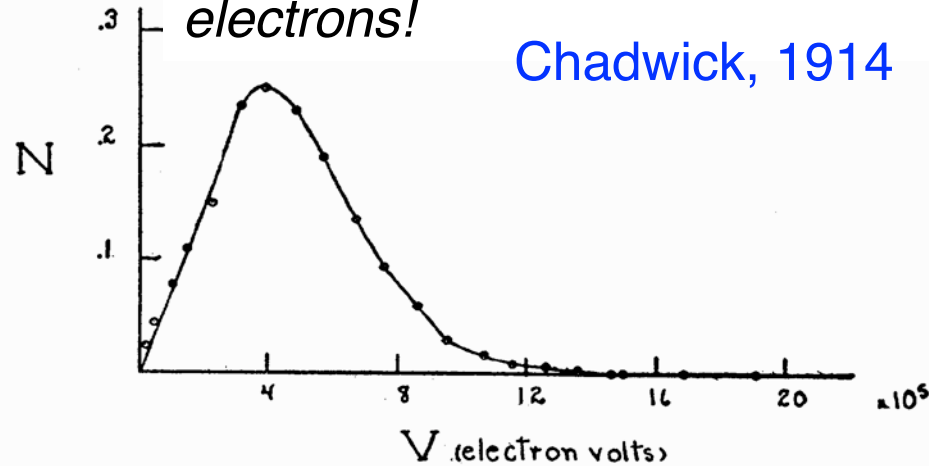
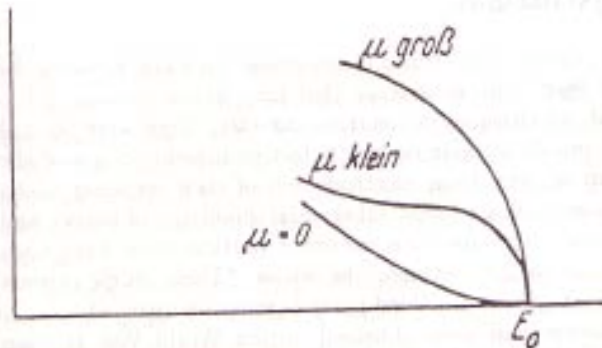


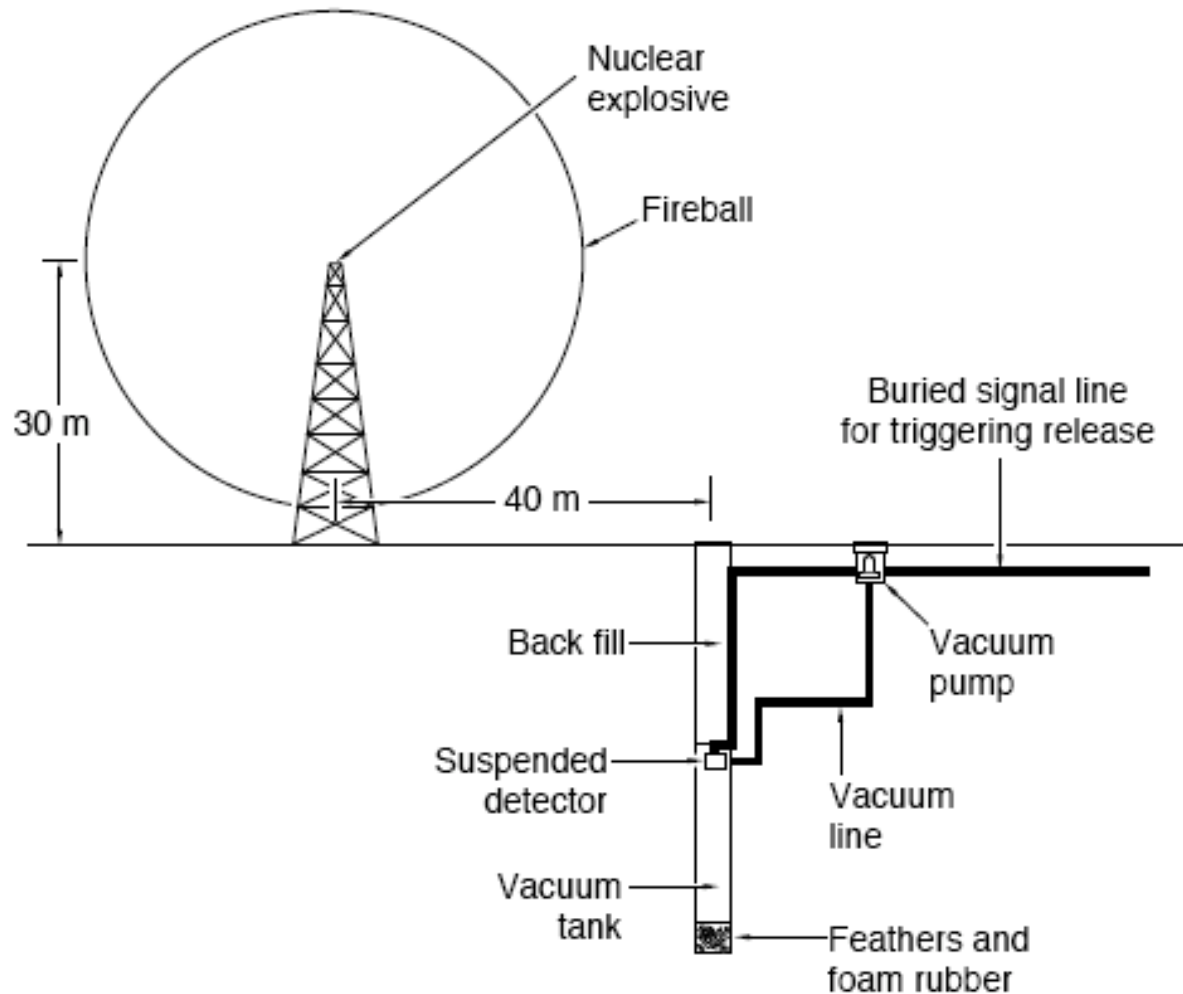
FIG. 5. Energy distribution curve of the beta-rays.

Fermi, 1934



onte... .., September 19, 1934

# First Proposal For Direct Detection of Neutrino



# Nuclear Reactors as a Neutrino Source



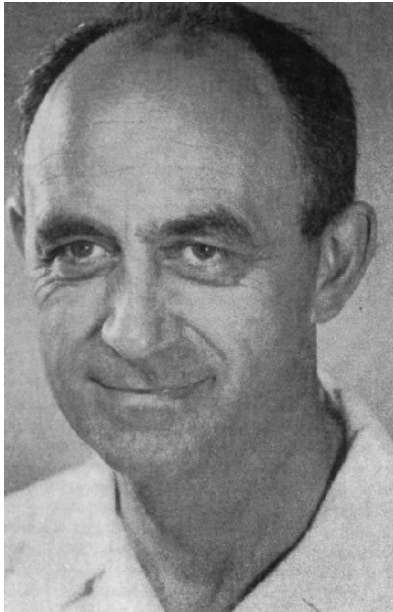
Бруно Понтекорво

Reactors are intense and pure sources of  $\bar{\nu}_e$

*B. Pontecorvo Natl.Res.Council Canada Rep. (1946) 205  
Helv.Phys.Acta.Suppl. 3 (1950) 97*

Good for systematic studies of neutrinos.

# Enrico Fermi and the Neutrino



Enrico Fermi proposes "neutrino" as the name for Pauli's postulated particle.

He formulates a quantitative theory of weak particle interactions in which the neutrino plays an integral part.

THE UNIVERSITY OF CHICAGO  
CHICAGO 37 - ILLINOIS  
INSTITUTE FOR NUCLEAR STUDIES

October 8, 1952

Dr. Fred Reines  
Los Alamos Scientific Laboratory  
P.O. Box 1663  
Los Alamos, New Mexico

Dear Fred:

Thank you for your letter of October 4th by Clyde Cowan and yourself. I was very much interested in your new plan for the detection of the neutrino. Certainly your new method should be much simpler to carry out and have the great advantage that the measurement can be repeated any number of times. I shall be very interested in seeing how your 10 cubic foot scintillation counter is going to work, but I do not know of any reason why it should not.

Good luck.

Sincerely yours,



Enrico Fermi



# 1953: Project Poltergeist

## Experiment at Hanford

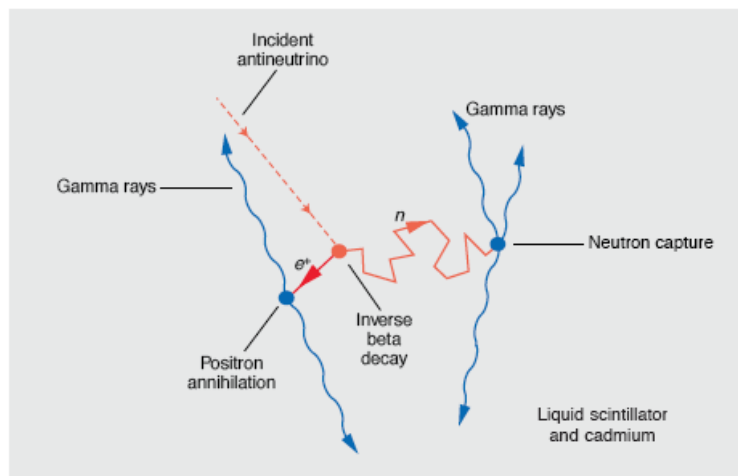


# Hanford Experiment

inverse beta decay



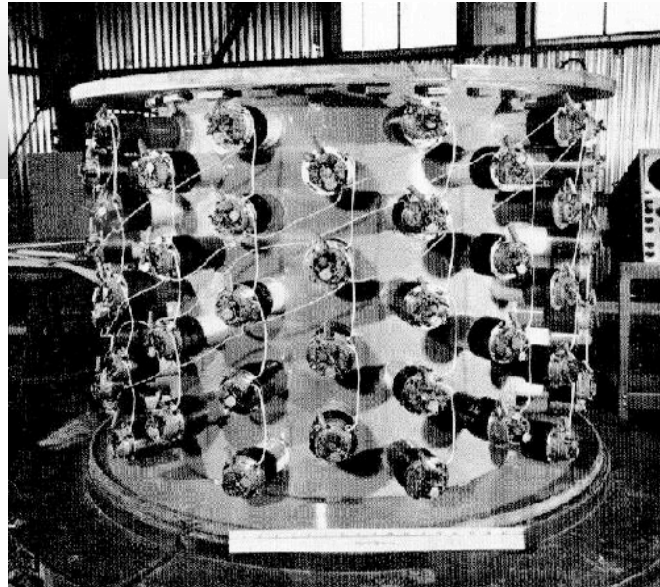
Reines, Cowan



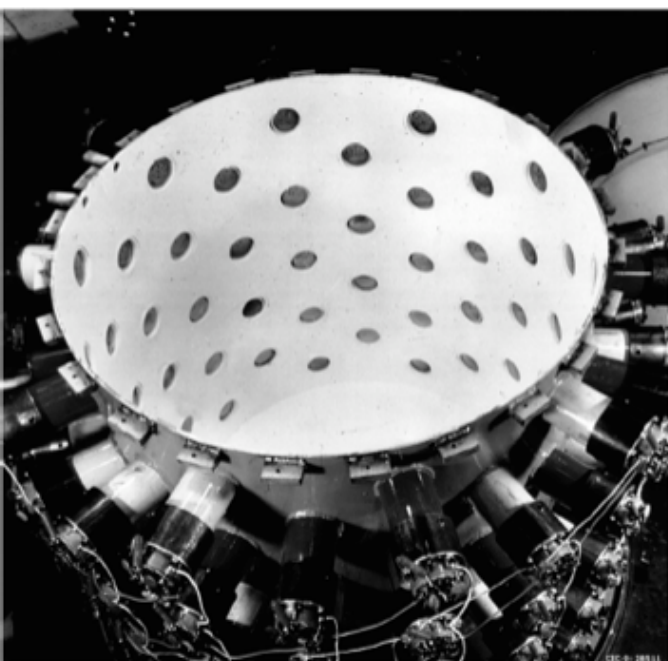
signal: delayed coincidence between positron and neutron capture on cadmium

high background (S/N  $\sim 1/20$ ) made the experiment inconclusive

0.41 $\pm$  0.20 events/minute



300 liters of liquid scintillator loaded with cadmium



# 1956: First Direct Detection of the Antineutrino



Clyde Cowan Jr.



Frederick Reines



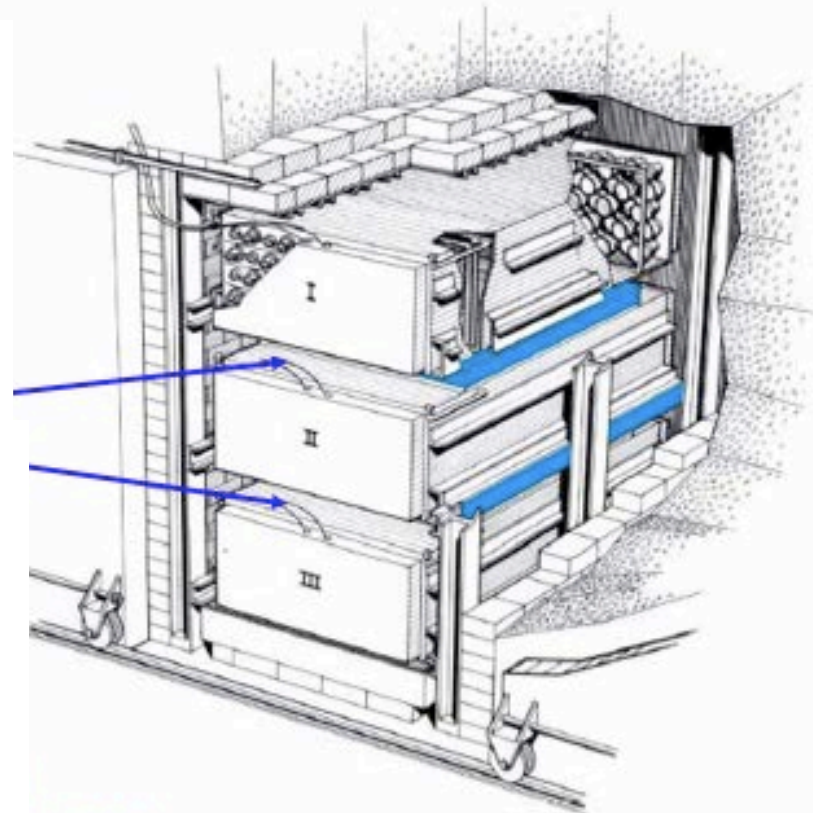
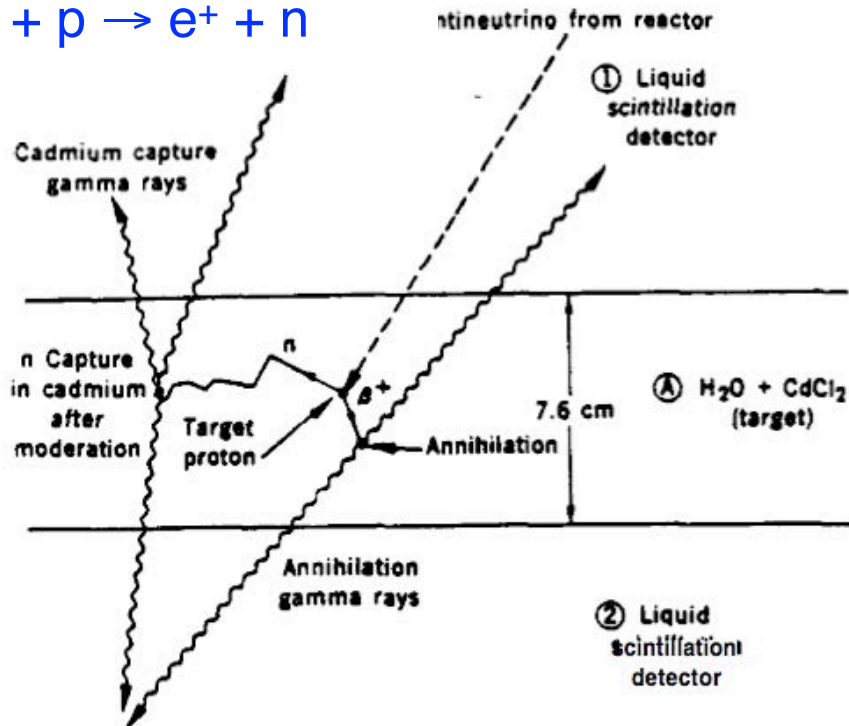
# The Savannah River Detector

## A new design (1959)

tanks I, II, and III were filled with liquid scintillator and instrumented with 5" PMTs

target tanks (blue) were filled with water+cadmium chloride

## inverse beta decay



inverse beta decay would produce prompt and delayed signal in neighboring tanks

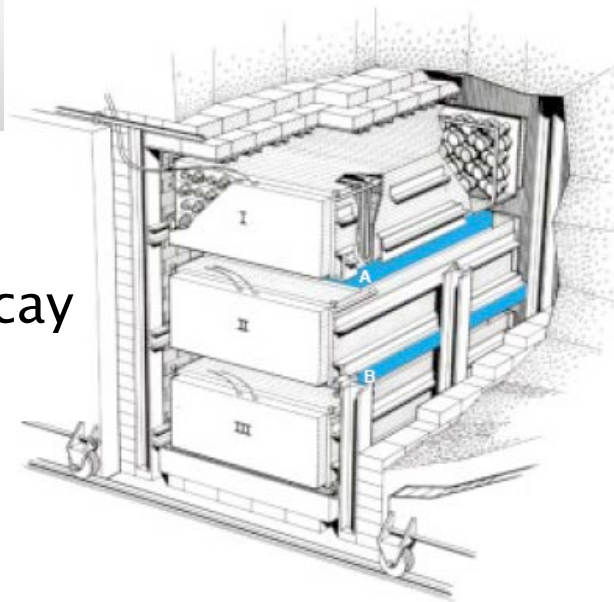


# Observation of the Free Antineutrino

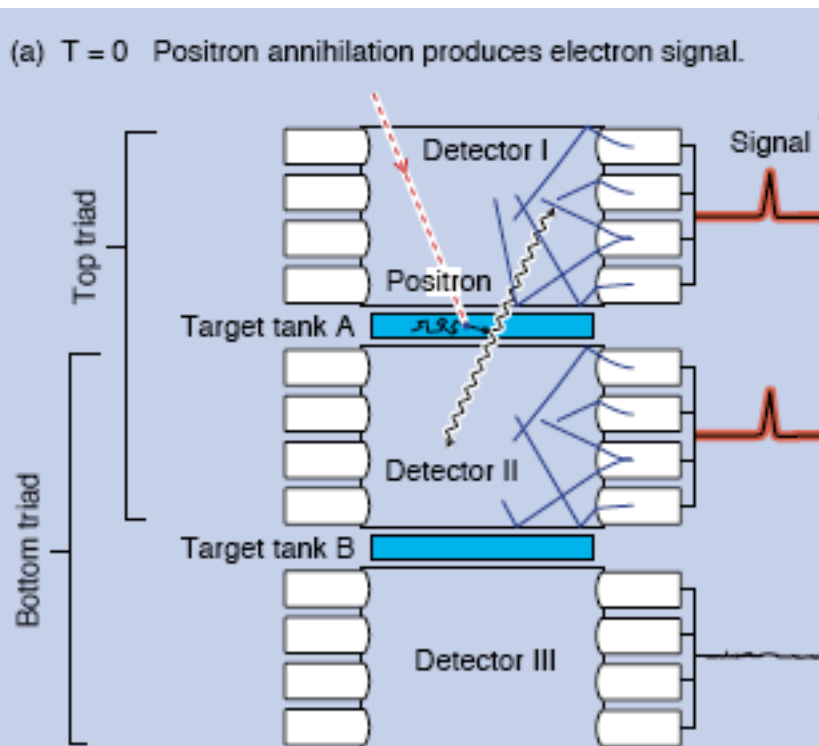
1959 The Savannah River Detector - A new design

*Second version of  
Reines' experiment  
worked!*

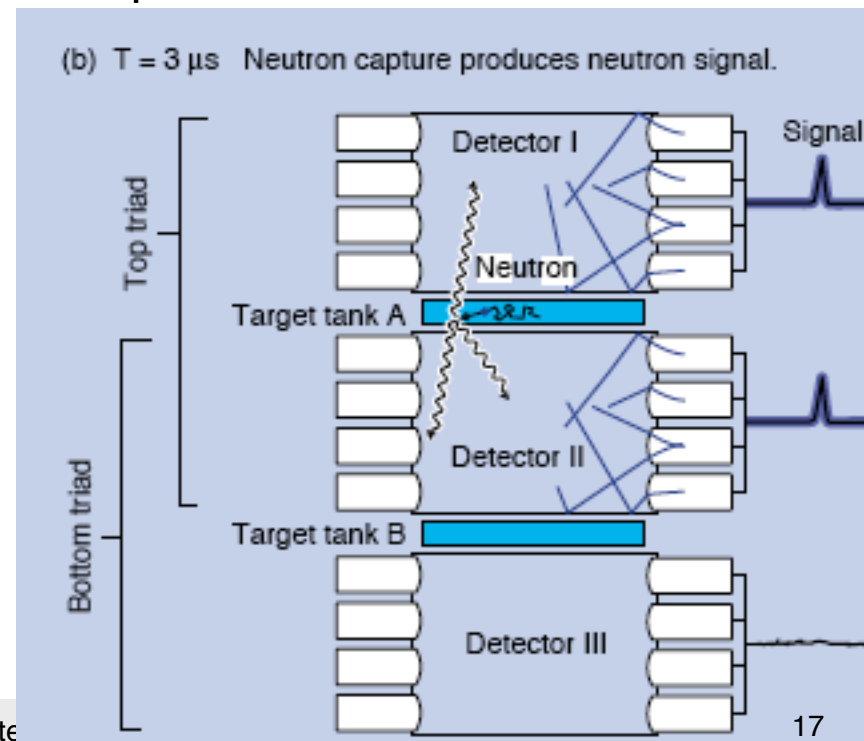
inverse beta decay  
 $\bar{\nu}_e + p \rightarrow e^+ + n$



positron annihilation



n capture



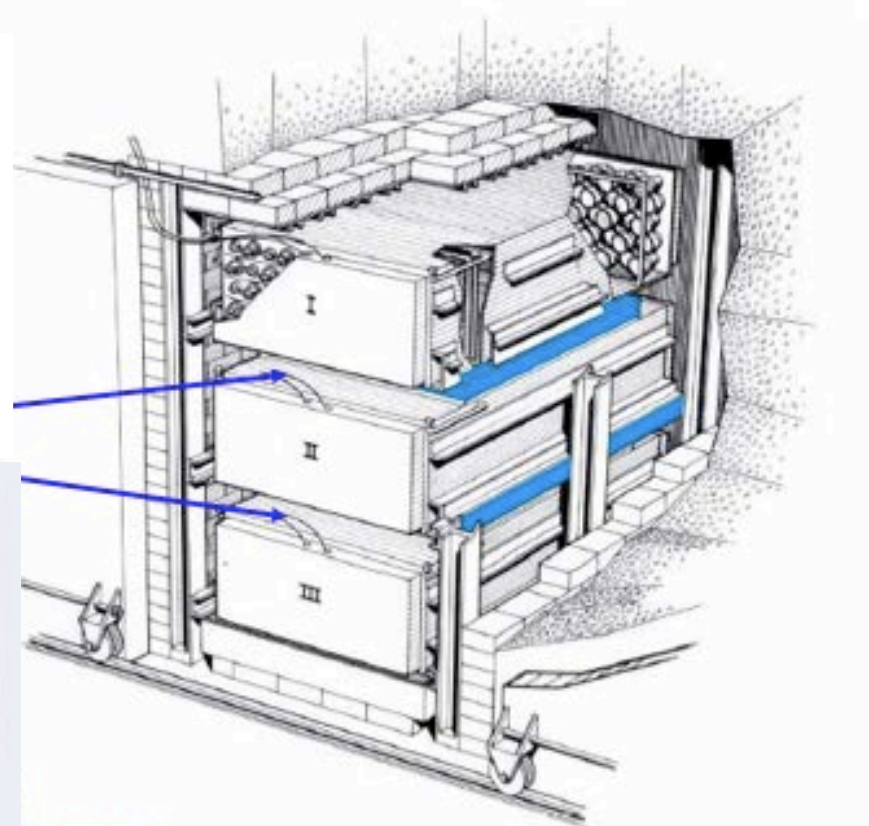
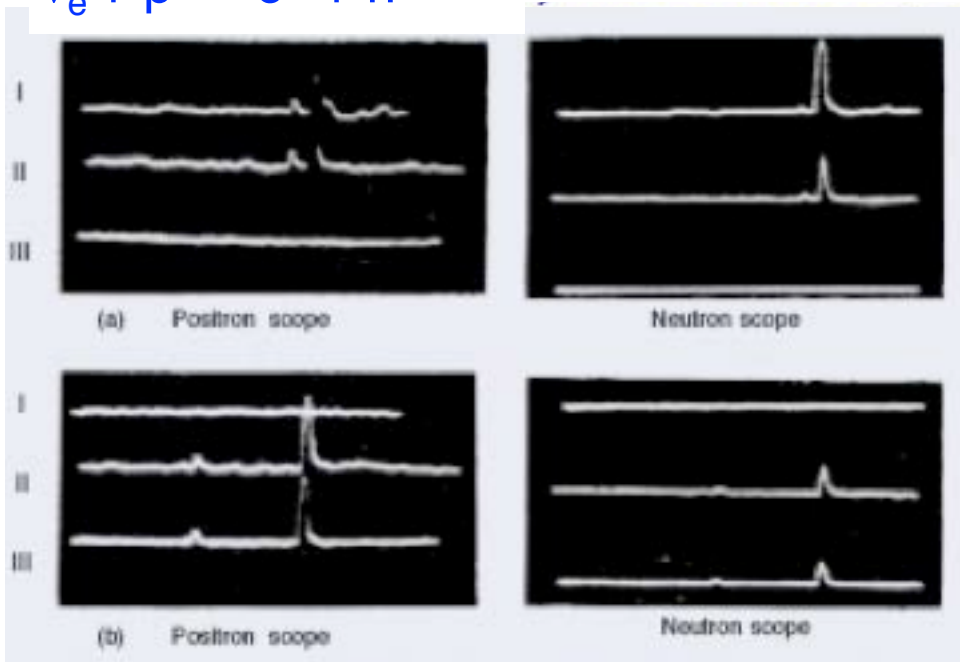
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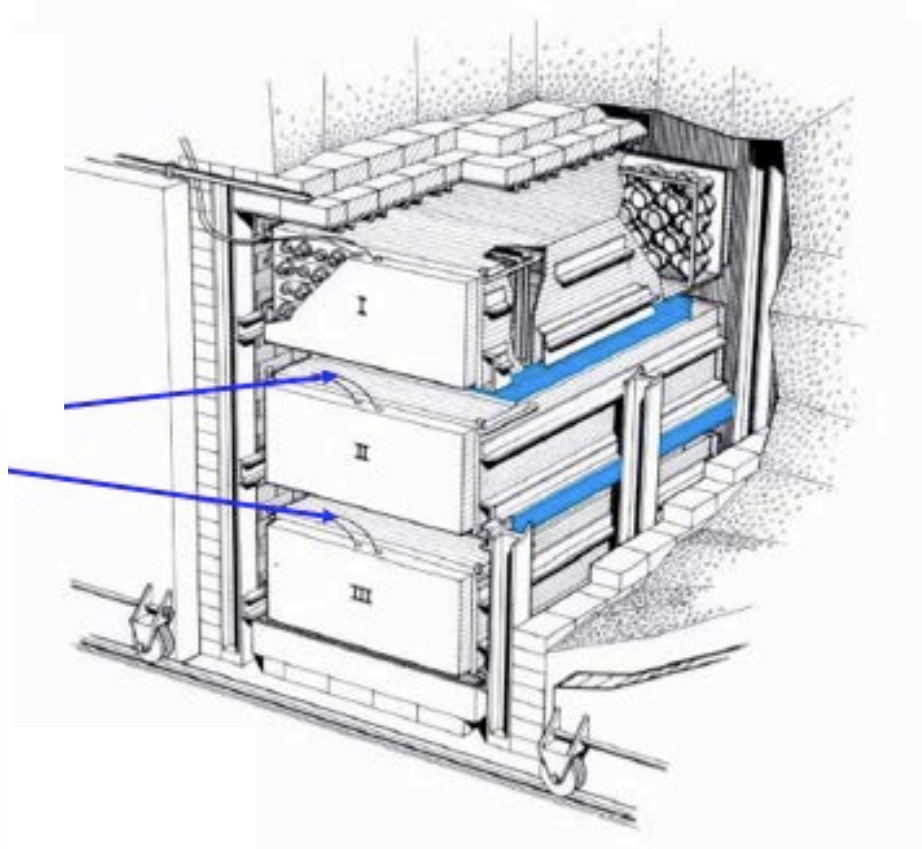
# The Savannah River Detector

## A new design (1959)

tanks I, II, and III were filled with liquid scintillator and instrumented with 5" PMTs

target tanks (blue) were filled with water+cadmium chloride

Shielding: 4 ft of soaked sawdust



shielding and background reduction is important

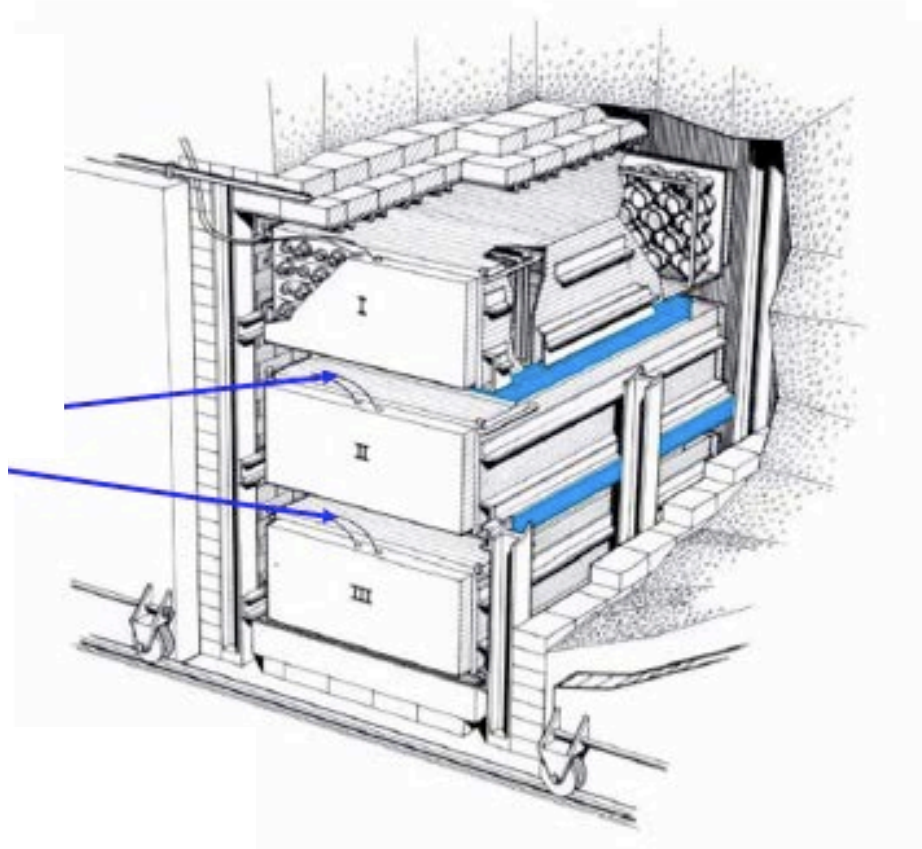
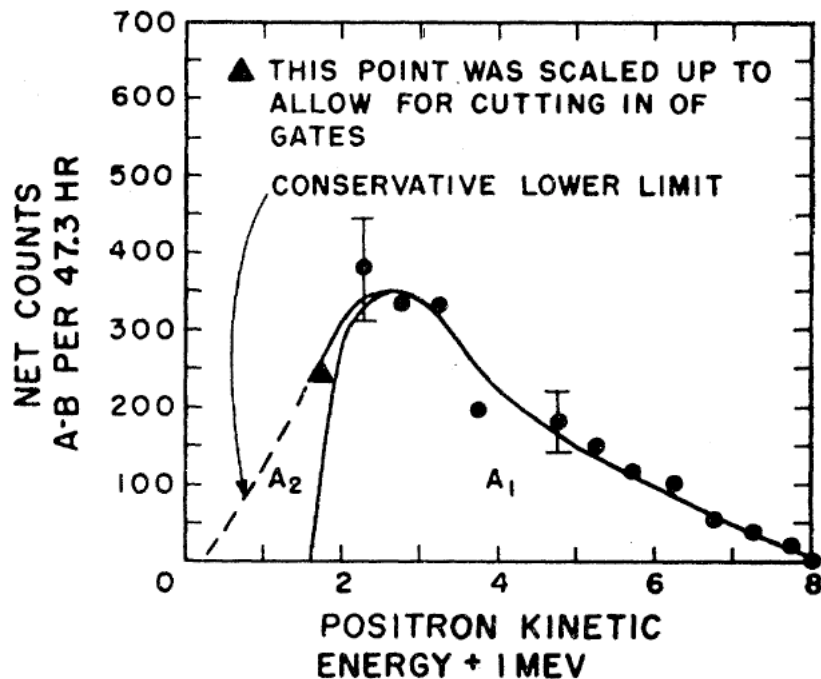


# The Savannah River Detector

## A new design (1959)

tanks I, II, and III were filled with liquid scintillator and instrumented with 5" PMTs

target tanks (blue) were filled with water+cadmium chloride



first reactor  $\bar{\nu}_e$  spectrum

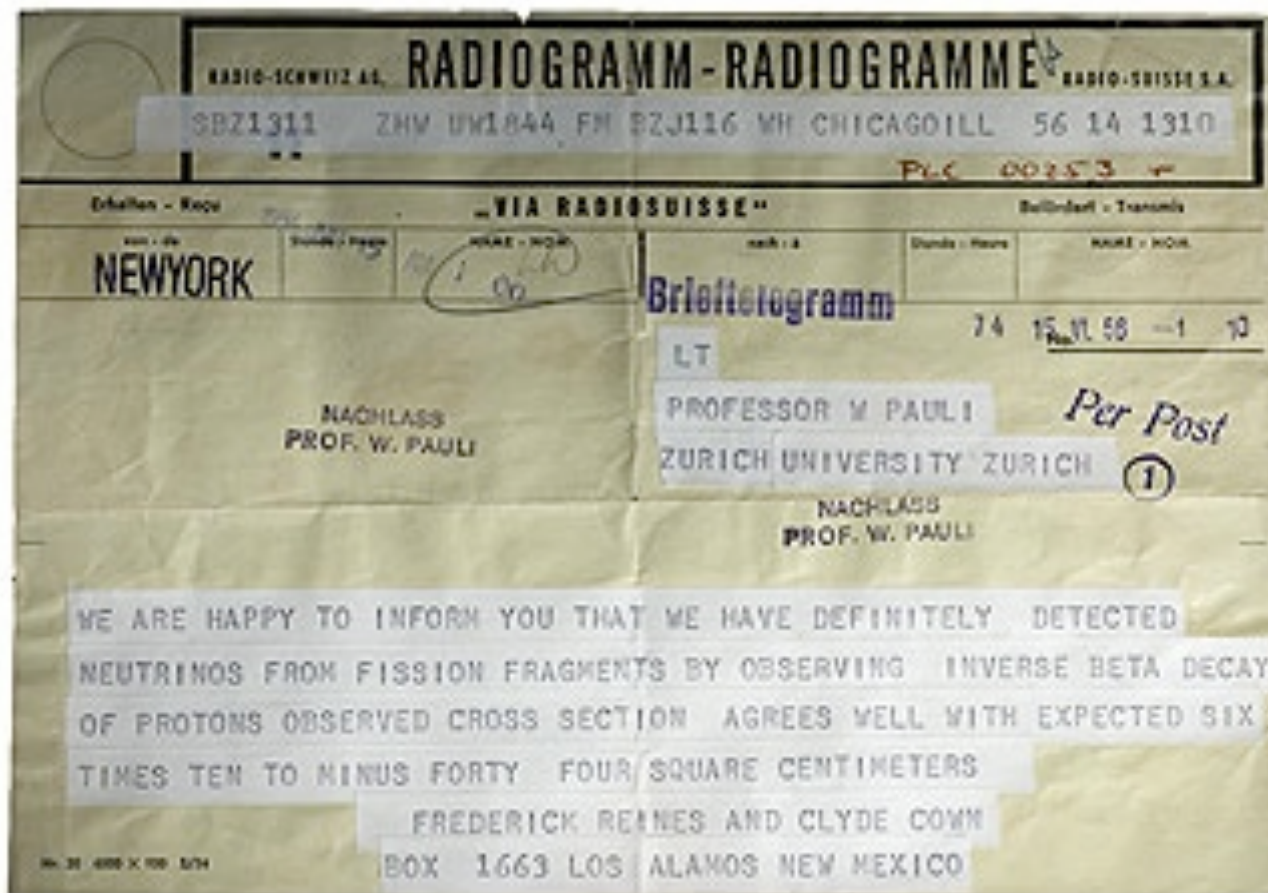
Reines, Cowan, Phys Rev 113, (1959)273



# 1956: First Observation Observation of the Antineutrino

by April 1956, a reactor-dependent signal had been observed:  
signal/reactor independent background  $\sim 3:1$

in June 1956, they sent a telegram to Pauli



# A Lesson from History

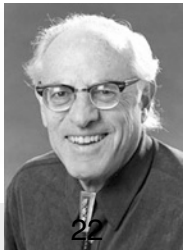
- A Science article reported that the observed cross section was within 5% of the  $6.3 \times 10^{-44} \text{ cm}^2$  expected (although the predicted cross section has a 25% uncertainty).
- In 1959, following the discovery of parity violation in 1956, the theoretical cross section was increased by  $\times 2$  to  $(10 \pm 1.7) \times 10^{-44} \text{ cm}^2$
- In 1960, Reines and Cowan reported a reanalysis of the 1956 experiment and quoted  $\sigma = (12^{+7}_{-4}) \times 10^{-44} \text{ cm}^2$

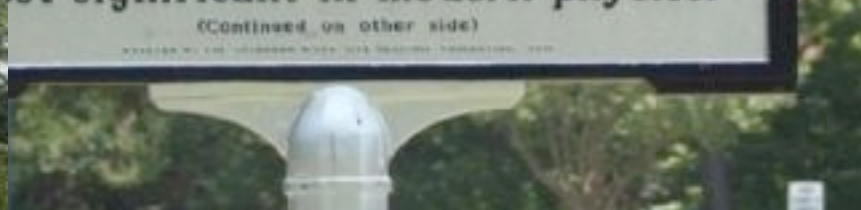
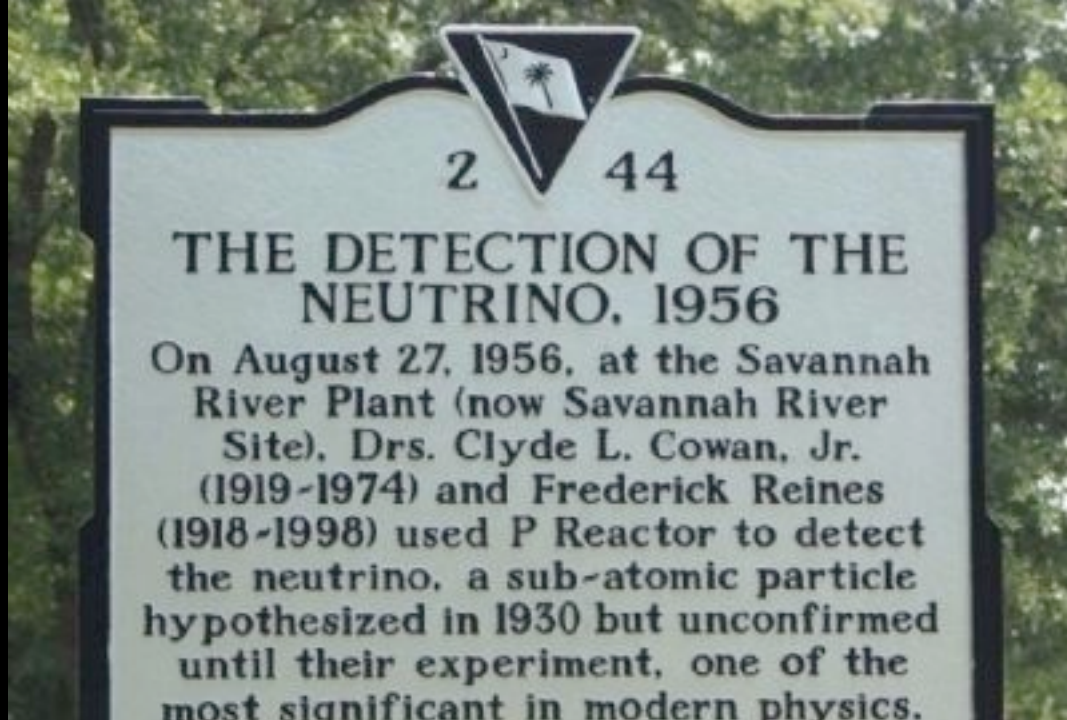
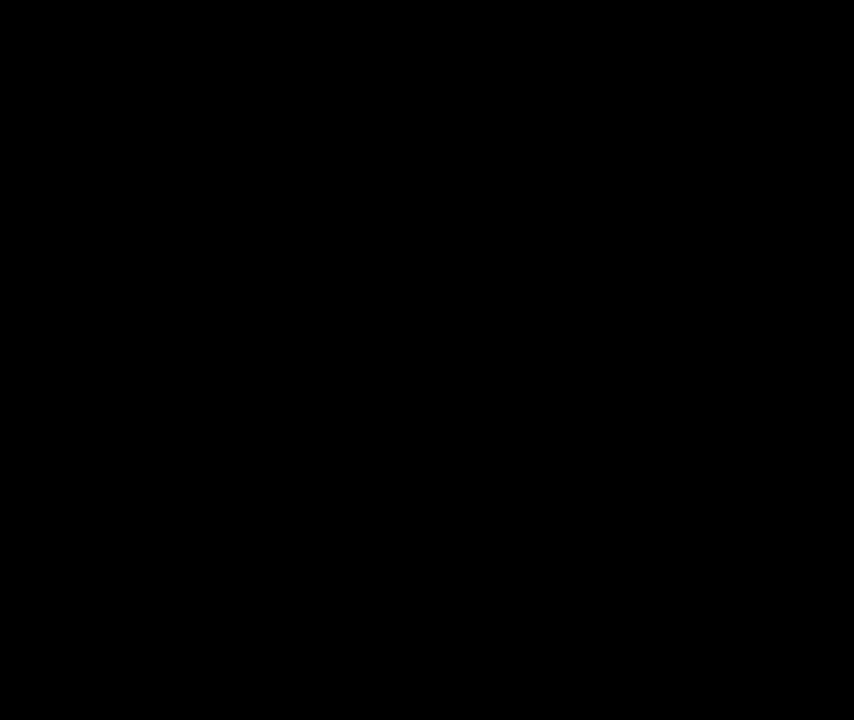
*Ref:*

*R.G. Arms, "Detecting the Neutrino", Physics in Perspectives, 3, 314 (2001)*



The Nobel Prize in Physics 1995





# **Reactors as Antineutrino Source**



# Energy Release in Fission and Self-Fusion

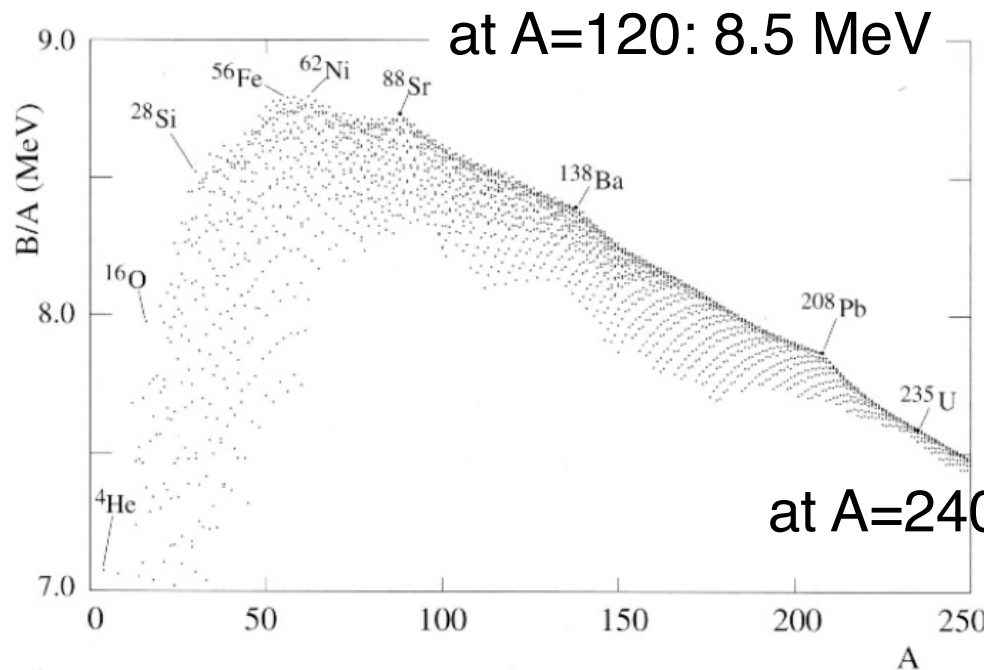
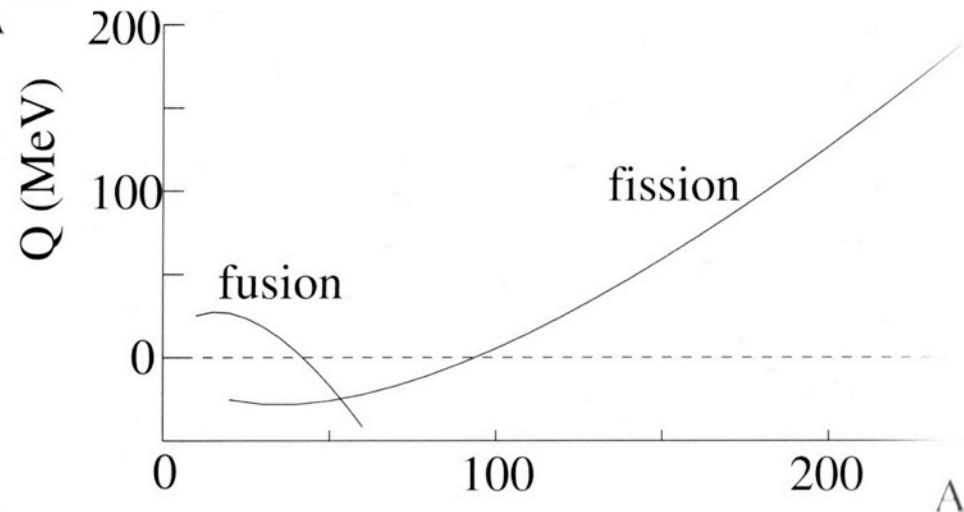
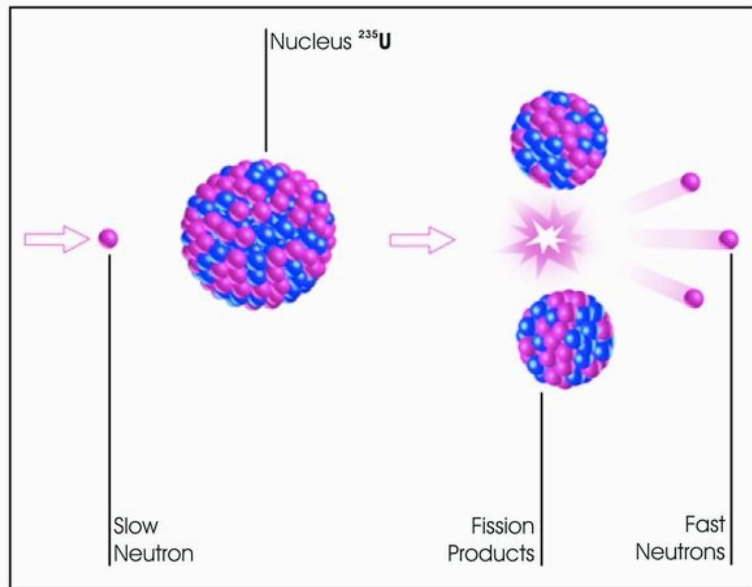


Fig: Basdevant et al.

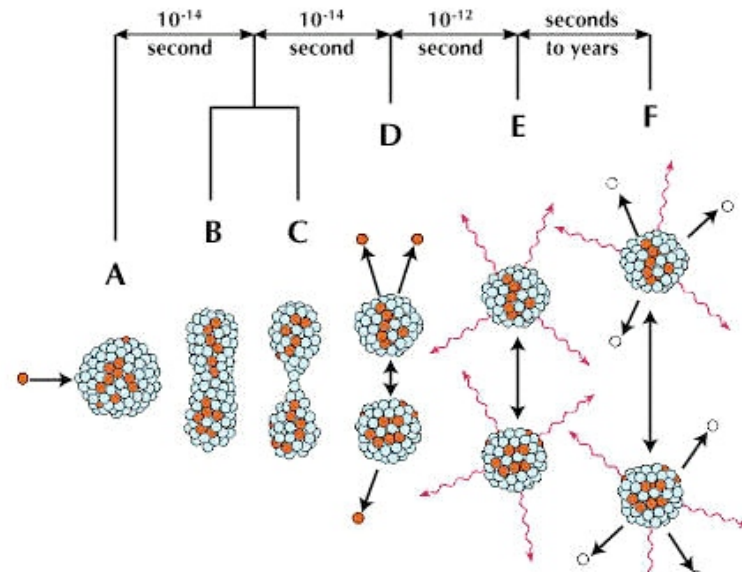
- only nuclei with  $40 < A < 95$  are stable against both fission and self-fusion
- $Q_{\text{fis}}$  calculated for symmetric fission



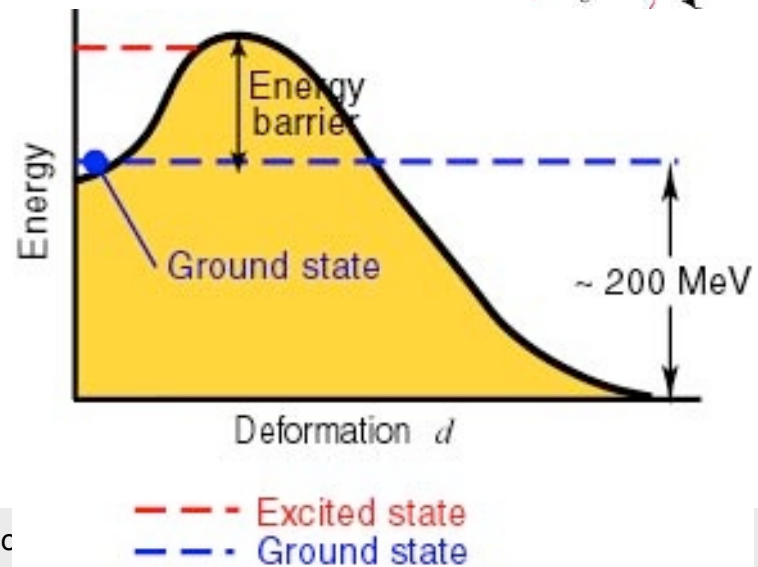
# Fission and Nuclear Deformation



Sequence of Events in the Fission of a Uranium Nucleus by a Neutron

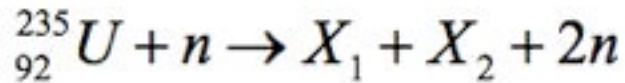


variation of energy as a function of distortion  
 $E_A$  = fission barrier

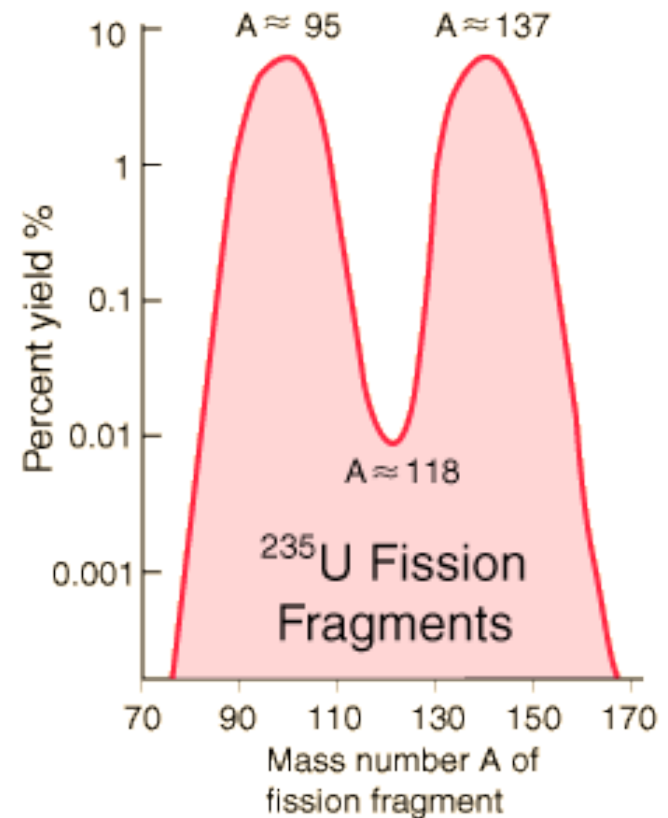
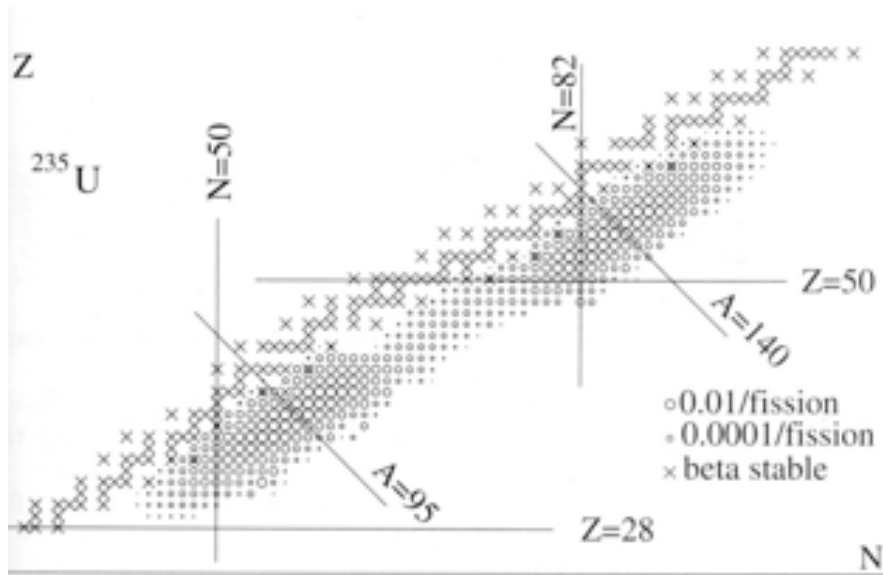


# $^{235}\text{U}$ Fission

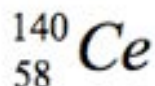
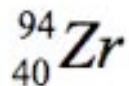
## distribution of fission fragments



asymmetric fission into lighter



nuclei with most likely A from  $^{235}\text{U}$  fission

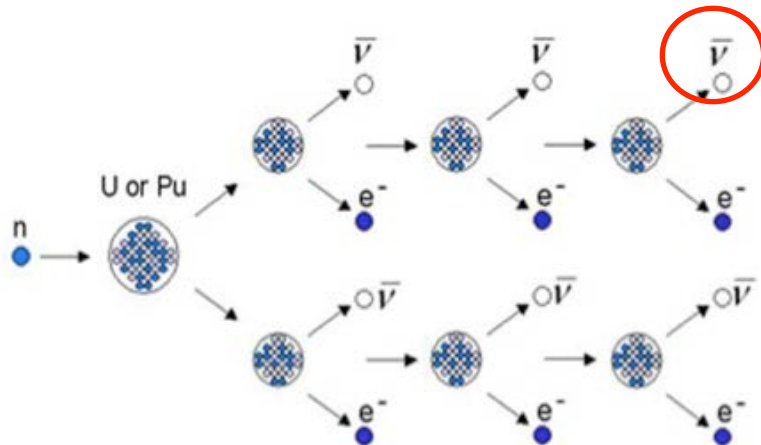


together these have 98 p and 136 n while fission fragments ( $X_1 + X_2$ ) have 92 p and 144 n

on average 6n have to beta-decay to 6p to reach stable matter  $\rightarrow \bar{\nu}_e$

# Reactors as Antineutrino Sources

## $\beta^-$ decay of neutron rich fission fragments

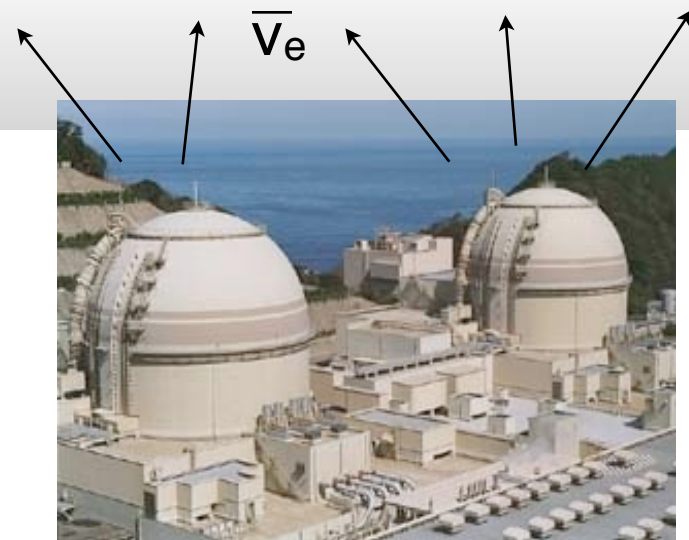


## energy per fission

	MeV
Kinetic energy of fragments	$165 \pm 5$
Energy of prompt photons	$7 \pm 1$
Kinetic energy of neutrons	$5 \pm 0.5$
Energy of $\beta$ decay electrons	$7 \pm 1$
Energy of $\beta$ decay antineutrinos	10
Energy of $\gamma$ decay photons	$6 \pm 1$
Total	$200 \pm 6$

$\sim 200$  MeV/fission and  $6 \bar{\nu}_e$ /fission

3 GWth reactor produces  $\sim 6 \times 10^{20} \bar{\nu}_e/\text{sec}$

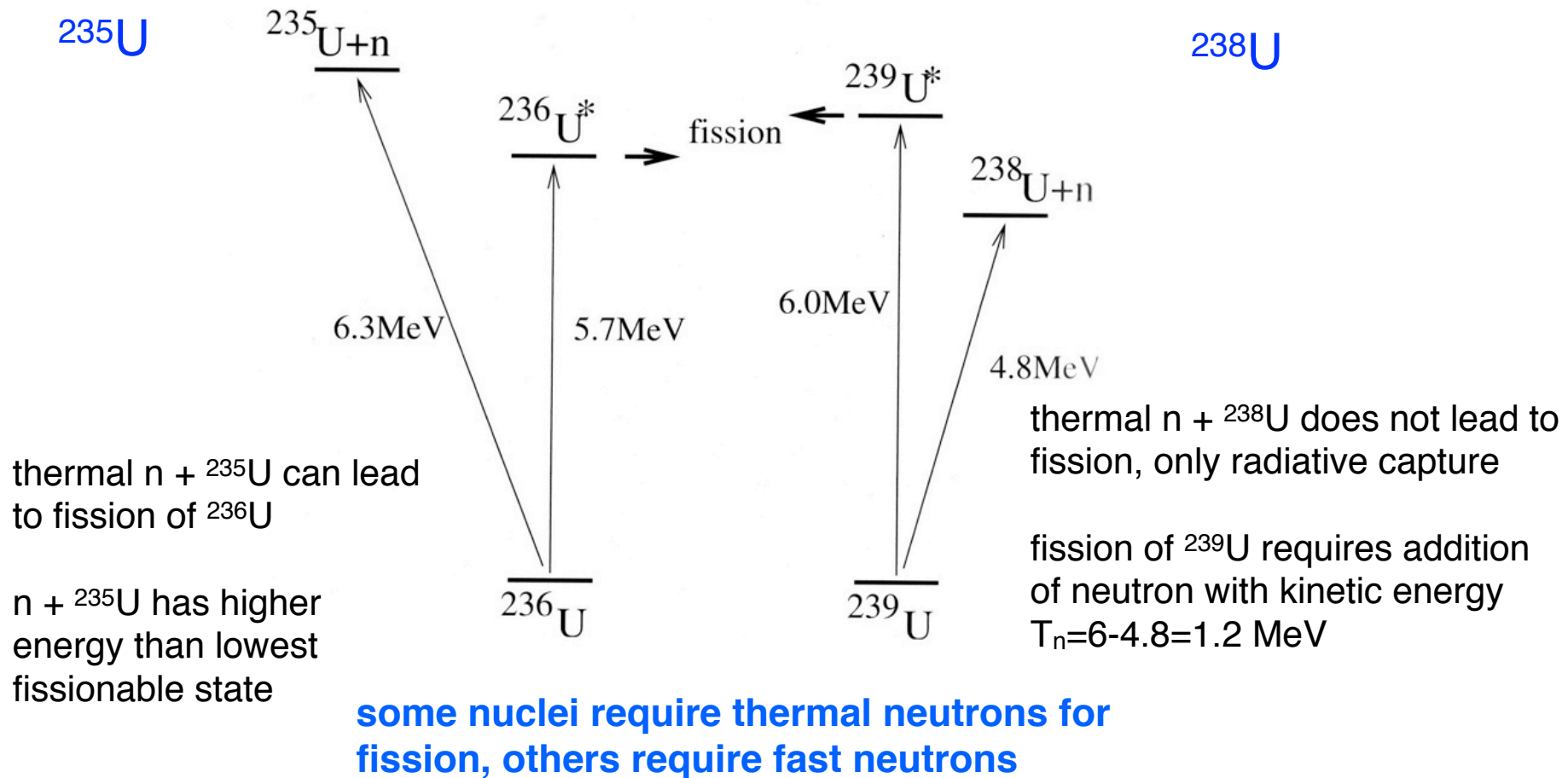


pure source of  $\bar{\nu}_e$

some energy taken away by  
neutrinos, neutrons etc



# Fission with thermal and fast neutrons

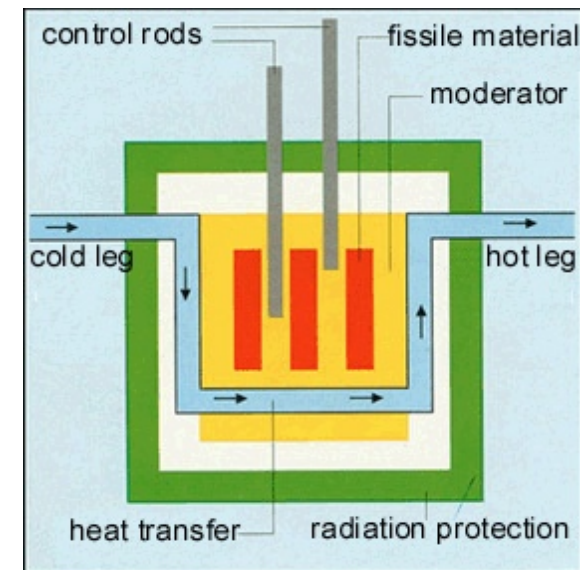
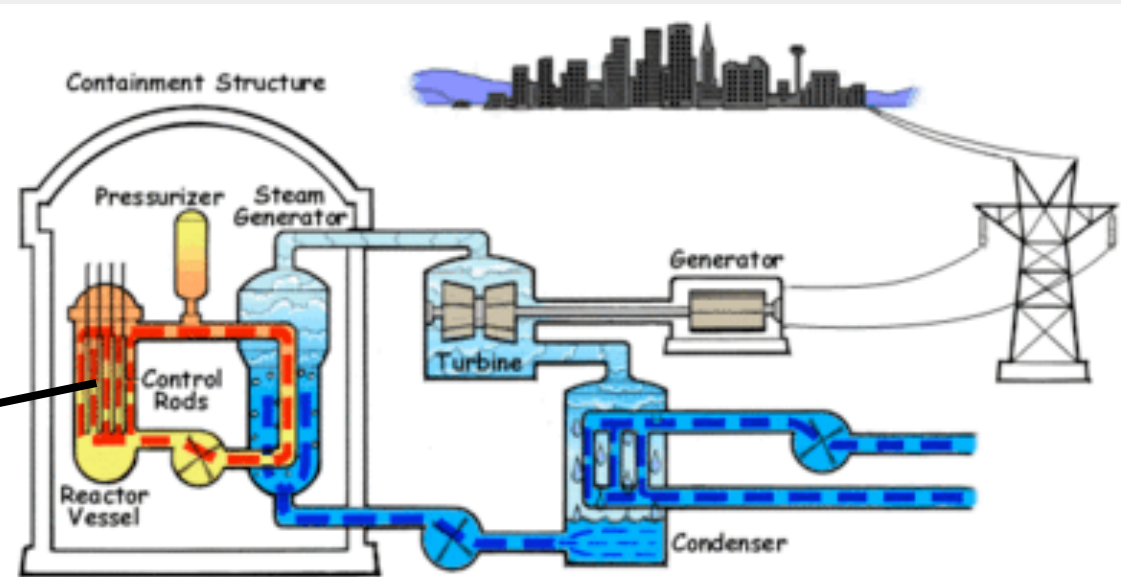
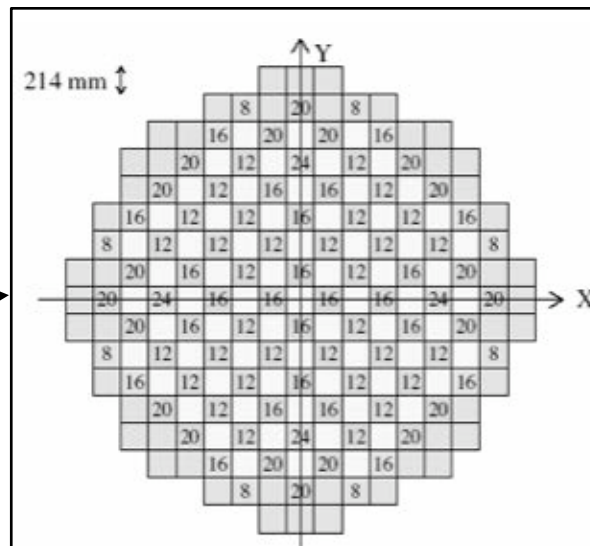
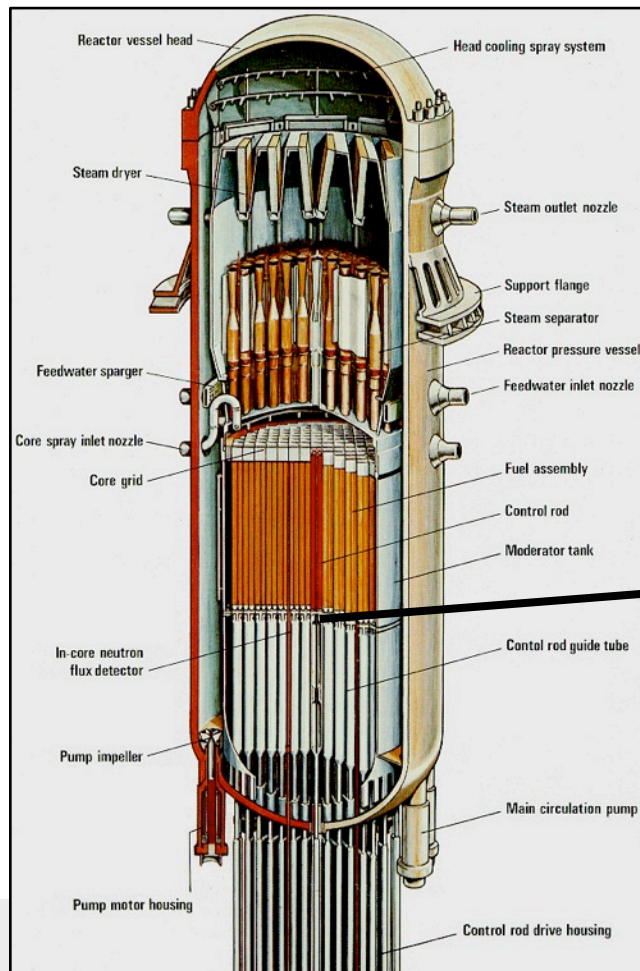


Nuclei which are used most easily as fuel  
(fission rapidly by thermal neutron capture):  
 $^{233}\text{U}$ ,  $^{235}\text{U}$ ,  $^{239}\text{Pu}$

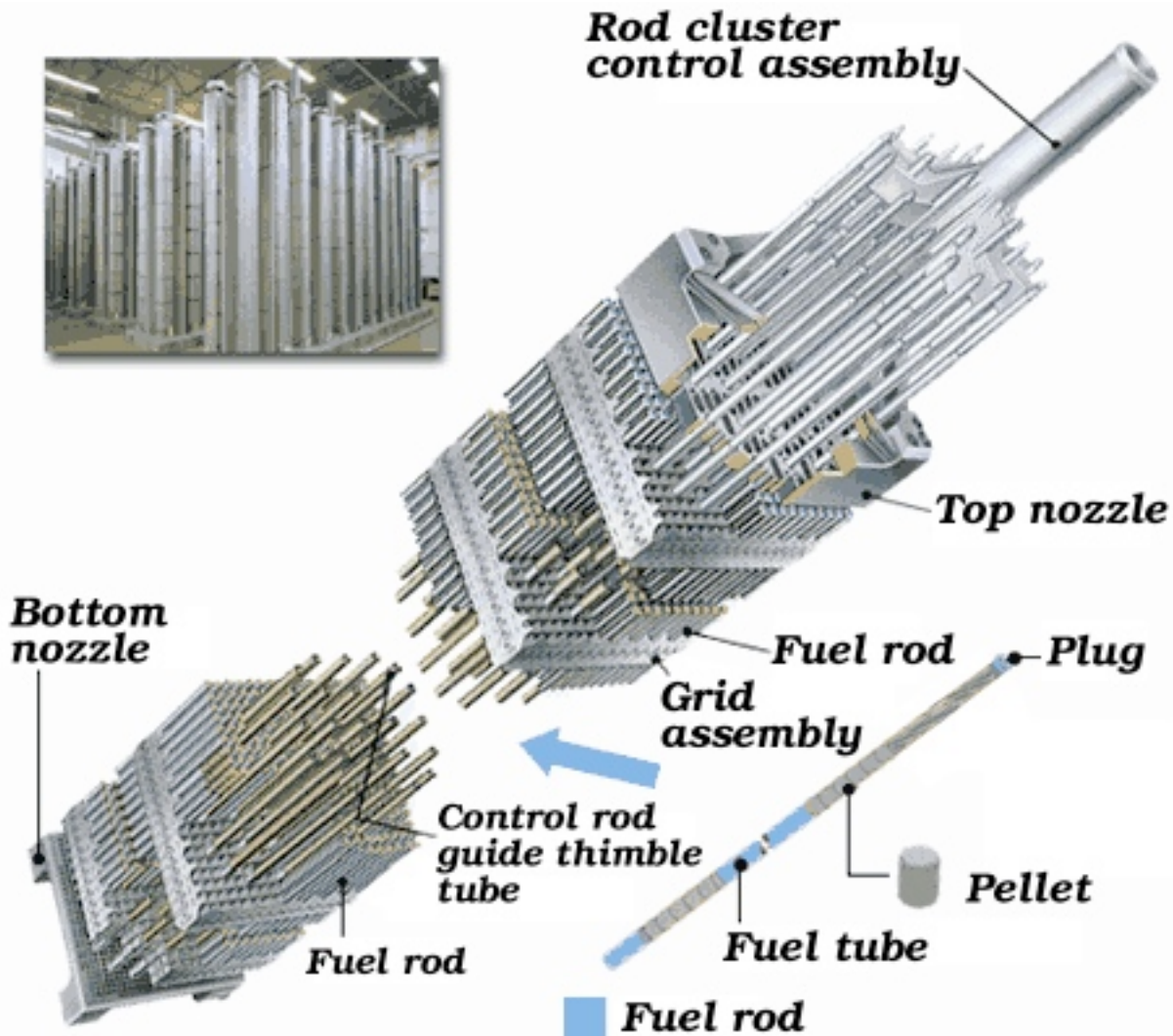
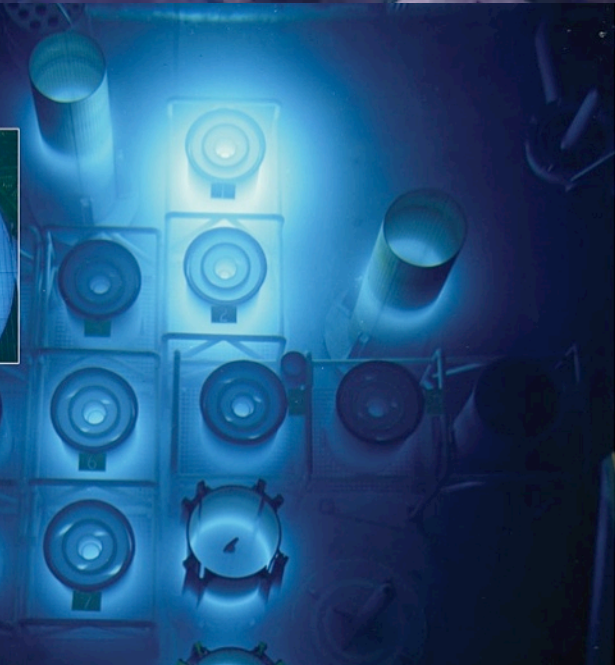
reactors which burn  $^{239}\text{Pu}$  and which  
contains  $^{238}\text{U}$  can produce more Pu  
than it needs  $\rightarrow$  breeder reactor

# Nuclear Reactors

reactors are an extended  
neutrino source:  
3-4m diameter, 4m high



# Fuel Element for a PWR Reactor





# Reactor Antineutrinos

## Source

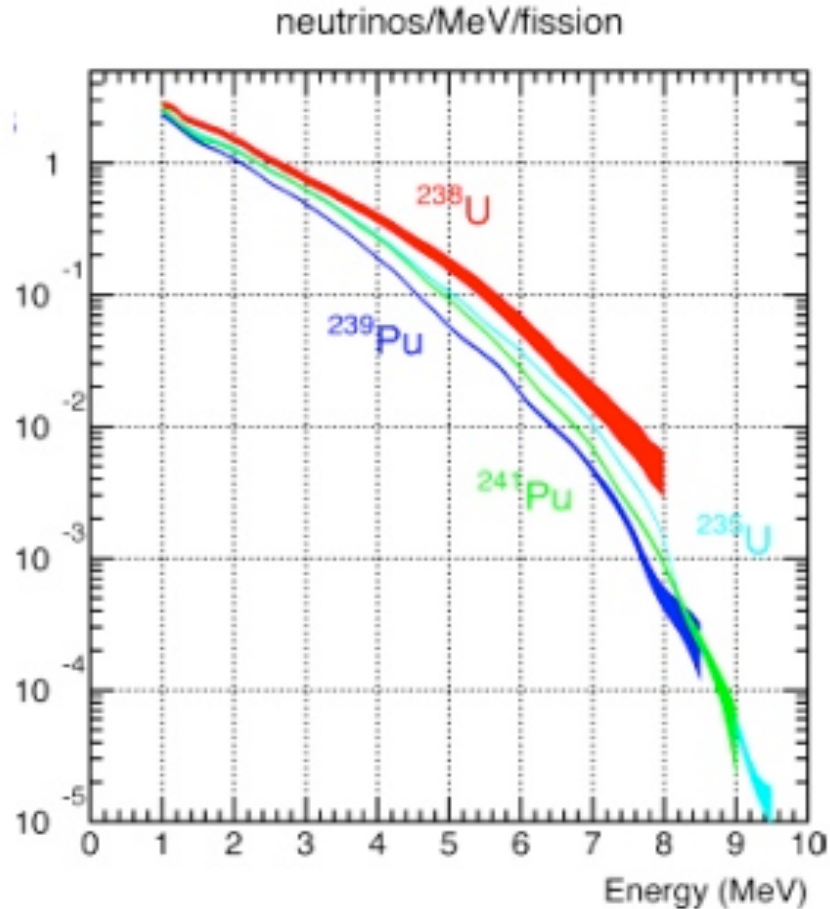
$\bar{\nu}_e$  from  $\beta$ -decays

of n-rich fission products

pure  $\bar{\nu}_e$  source

typical fuel composition

$^{235}\text{U} : ^{238}\text{U} : ^{239}\text{Pu} : ^{241}\text{Pu} =$   
 $0.570 : 0.078 : 0.0295 : 0.057$



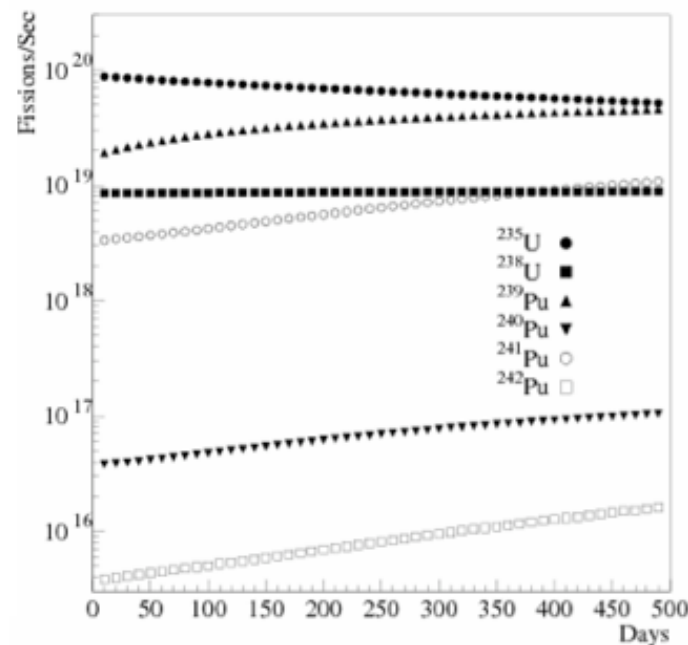
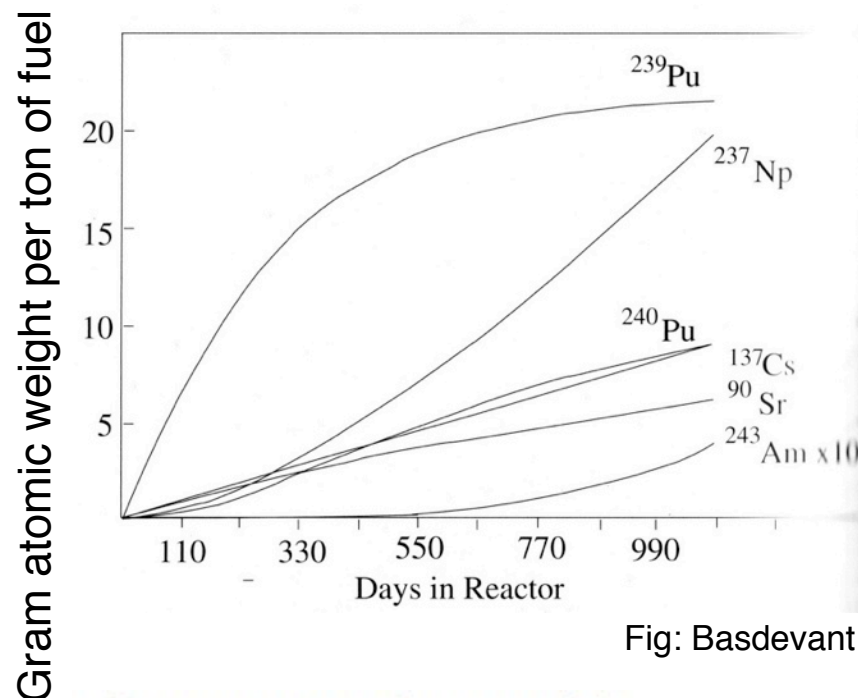
> 99.9% of  $\bar{\nu}_e$  are produced by fissions in  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$

~ 90% of  $\bar{\nu}_e$  are produced by fissions in  $^{235}\text{U}$ ,  $^{239}\text{Pu}$

Plutonium breeding over fuel cycle (~250 kg) changes antineutrino rate (by 5-10%) and spectrum



# Build-Up of Fission Products & Burn-Up Corrections



- Burn-up correction needed
  - The percentage of the different primary isotopes change with time
  - Different fuel components yield different spectra

isotope uncertainties of 4-6% for most  
0.1% for  $^{238}\text{U}$ , correlated

- Experiments receive information from reactor company who understand this very well
  - Use information to calculate a time dependent rate of neutrinos vs energy

~5% isotope uncertainty yields  
~0.5% uncertainty in neutrino flux

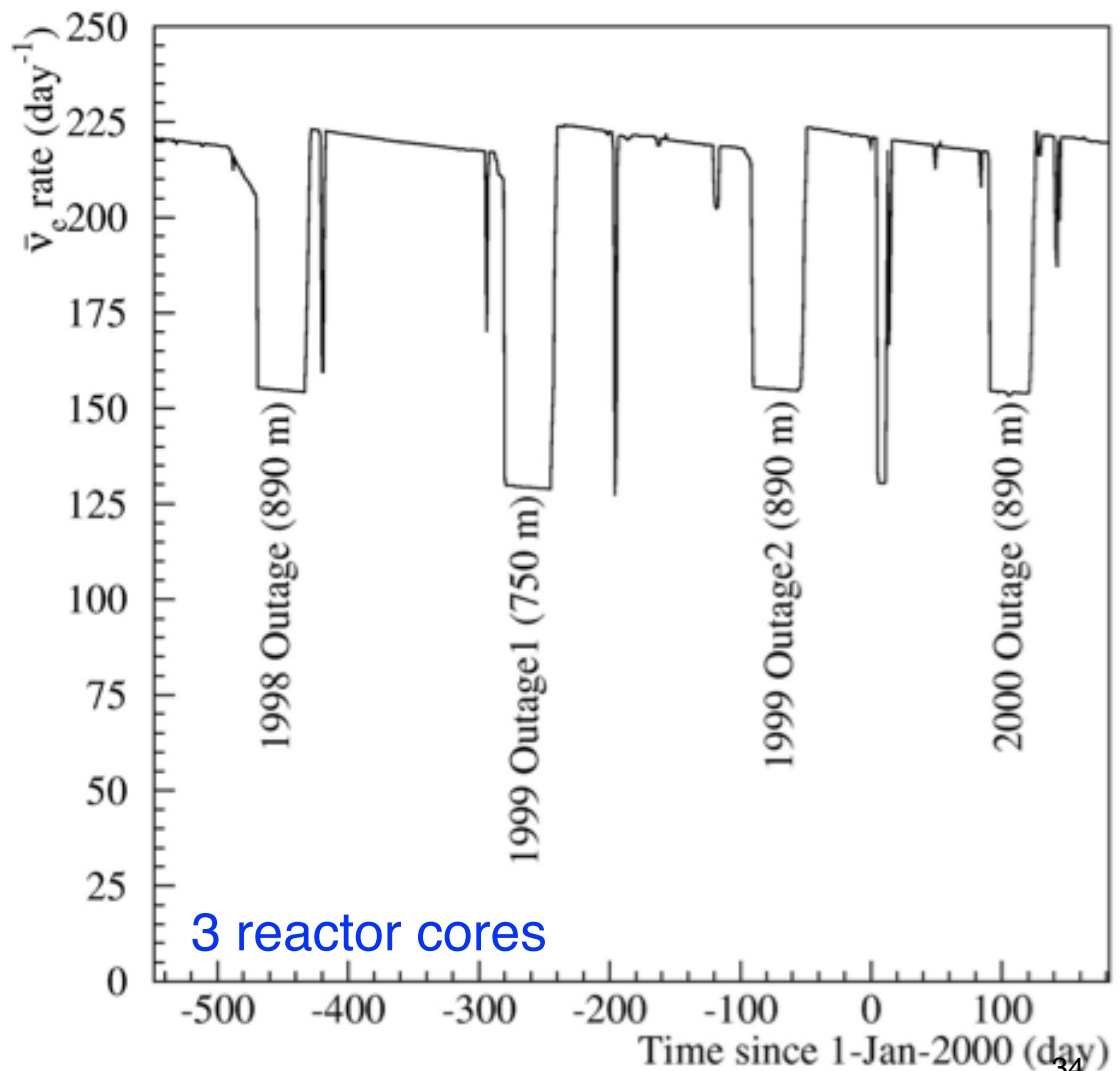
# Reactor Refueling and Time Variation

$\bar{\nu}_e$  flux from reactor has time variation

3-6 week shutdown every 12-18 months

1/4-1/3 of fuel assemblies are replaced, remaining fuel repositioned

refueling at Palo Verde reactors and predicted antineutrino rate



# Thermal Power → Fission → Antineutrinos

## 1. Power Measurement

most accurate measurement is  
secondary heat balance method  
offline,  
done weekly,  
uncertainty ~0.5-0.7%

Origin of uncertainty	Contribution [MWth]	Relative fraction [%] of the 17.2 MWth
Discharge coefficient	15.33	79.57
Differential pressure	6.33	13.57
Steam gen. inlet temp.	2.81	2.68
Primary input	2.00	1.35
Others uncertainties	2.98	3.00
Uncertainty at 95% C.L.	4250±17.2 MW (0.40%)	

## 2. Core Simulation

fission fraction of fuel isotopes are obtained by core simulation

## 3. Energy release per fission in MeV

Isotopes	James	Kopeikin
<sup>235</sup> U	201.7±0.6	201.92±0.46
<sup>238</sup> U	205.0±0.9	205.52±0.96
<sup>239</sup> Pu	210.0±0.9	209.99±0.60
<sup>241</sup> Pu	212.4±1.0	213.60±0.65

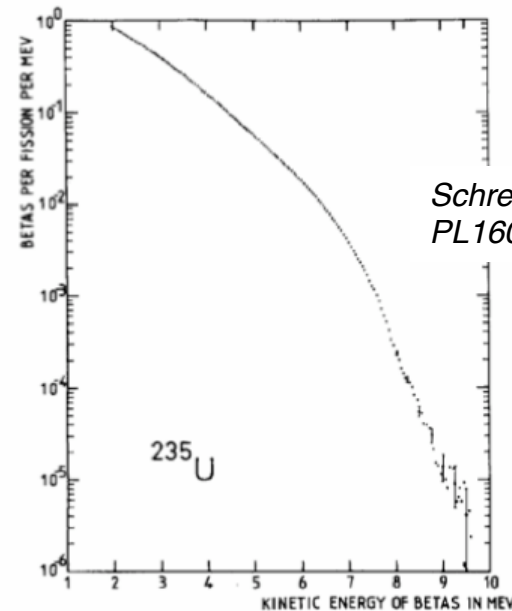
## 4. Neutrino Spectra

# Fission Products, $\beta$ -spectra, $\bar{\nu}$

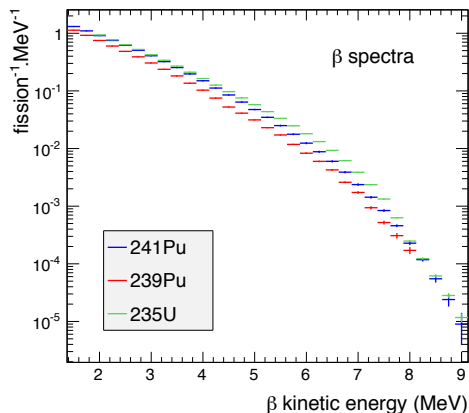
## Measurements

- $\beta$ -spectra resulting from fission of  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$  have been experimentally measured
- use thin layer of fissile material in beam of thermal neutrons, e.g. Schreckenbach et al., Hahn et al.
- can be converted to  $\bar{\nu}_e$  spectra

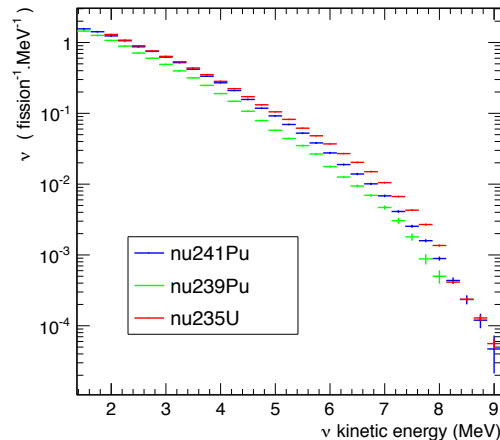
reference spectra from ILL over last 25 years



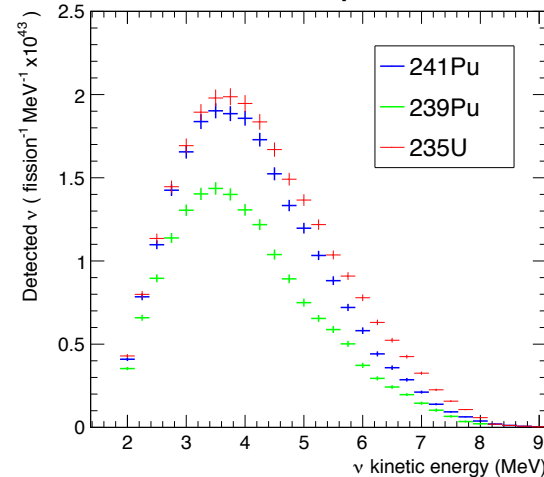
$\beta$ -spectra



emitted  $\bar{\nu}$ -spectra



detected  $\bar{\nu}$ -spectra



## Calculations

$^{238}\text{U}$  beta spectra not available, fast neutrons required for fission

→ determined from theory (+/-10%), contributes 7-10% of fissions in a PWR



# Neutrino Flux Predictions

**Reactor data**  
Thermal power,  $\delta P_{th} < 1\%$

**Reactor evolution codes**  
Fraction of fissions from isotope  $k$ ,  $\delta \alpha_k = \text{few } \%$

$$\Phi_\nu(E, t) = \frac{P_{th}(t)}{\sum_k \alpha_k(t) E_k} \times \sum_k \alpha_k(t) S_k(E)$$

**Nuclear databases**  
E released per fissions of isotope  $k$ ,  $\delta E_k \approx 0.3\%$

$\nu$  spectrum per fission

$$S_k(E) = \sum \text{all fission products}$$

# $S_k(E)$

**Sum of all fission products' activities**

$$S_k(E) = \sum_{fp=1}^{N_{fp}} \mathcal{A}_{fp}(T) \times S_{fp}(E)$$

**Sum of all  $\beta$ -branches of each fission product**

$$S_{fp}(E) = \sum_{b=1}^{N_b} BR_{fp}^b \times S_{fp}^b(Z_{fp}, A_{fp}, E_{0fp}^b, E)$$

**Theory of  $\beta$ -decay**

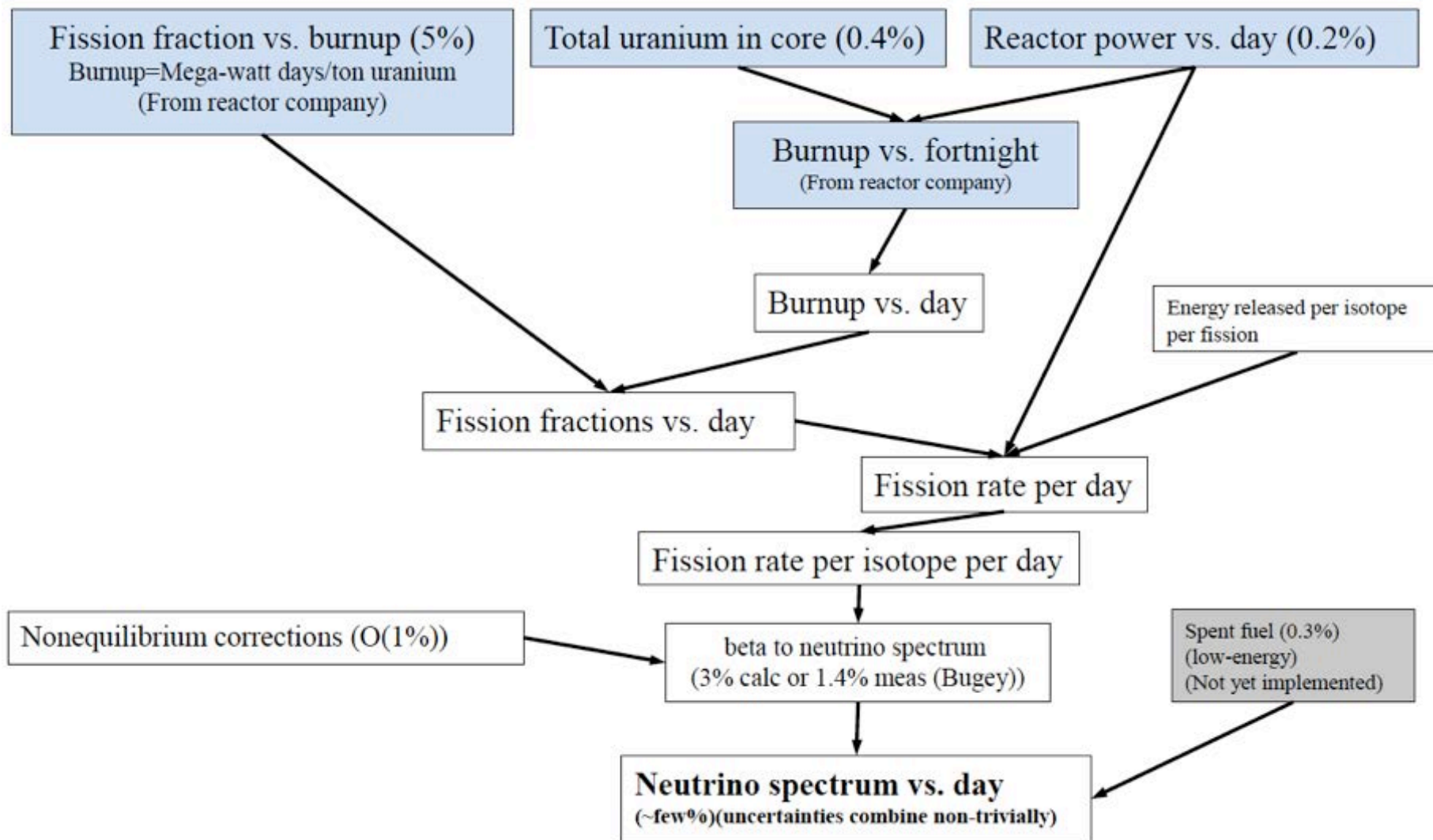
$$S_{fp}^b = \underbrace{K_{fp}^b}_{\text{Norm.}} \times \underbrace{\mathcal{F}(Z_{fp}, A_{fp}, E)}_{\text{Fermi function}} \times \underbrace{pE(E - E_{0fp}^b)^2}_{\text{Phase space}}$$

$$\times \underbrace{C_{fp}^b(E)}_{\text{Shape factor}} \times \underbrace{\left(1 + \delta_{fp}^b(Z_{fp}, A_{fp}, E)\right)}_{\text{Correction}}$$

$$\delta_{fp}^b = G_{\nu(QED)} + L_{0(\text{coulomb size})} + C_{(\text{weak size})} + S_{(\text{screening})} + \delta_{WM(\text{weak magnetism})}$$

Ref: Lhuillier

# Neutrino Flux Predictions



Ref: Lewis

# Goesgen Experiment (1986)

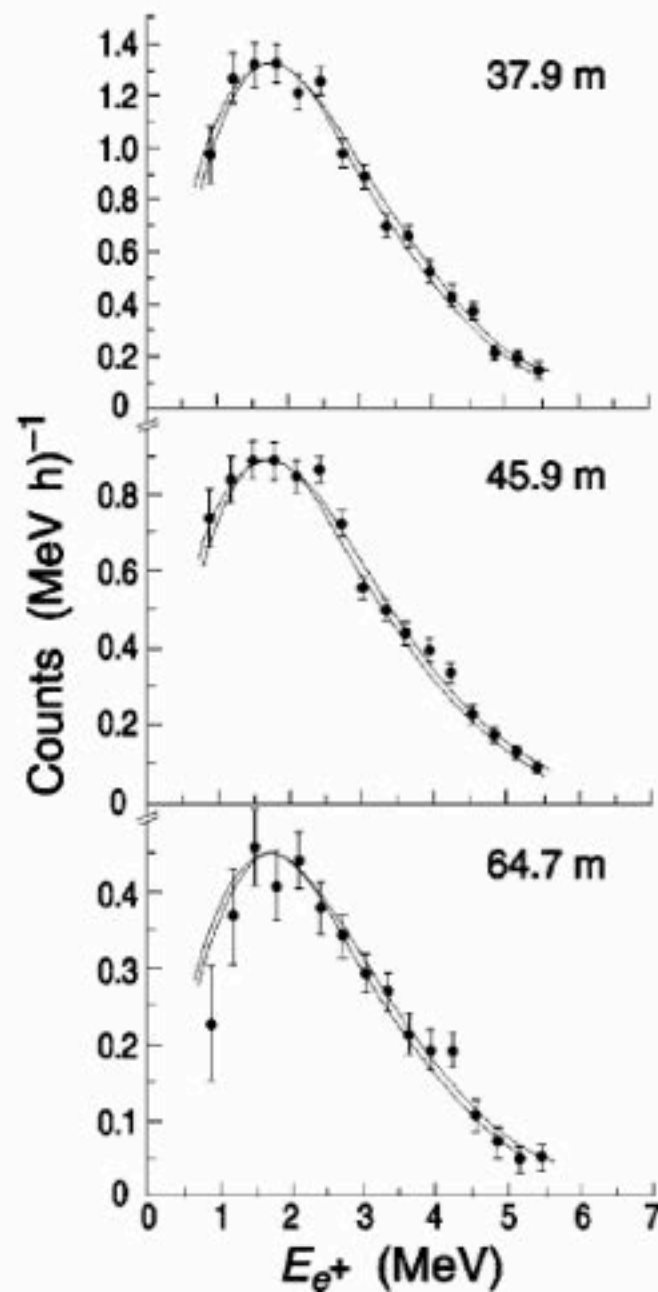
## Comparison of Predicted Spectra to Observations

two curves are from fits to data and from predictions based on Schreckenbach et al.

3 baselines with one detector

flux and energy spectrum agree to  $\sim 1\text{-}2\%$

reactors are a “well-calibrated” source of  $\bar{\nu}_e$

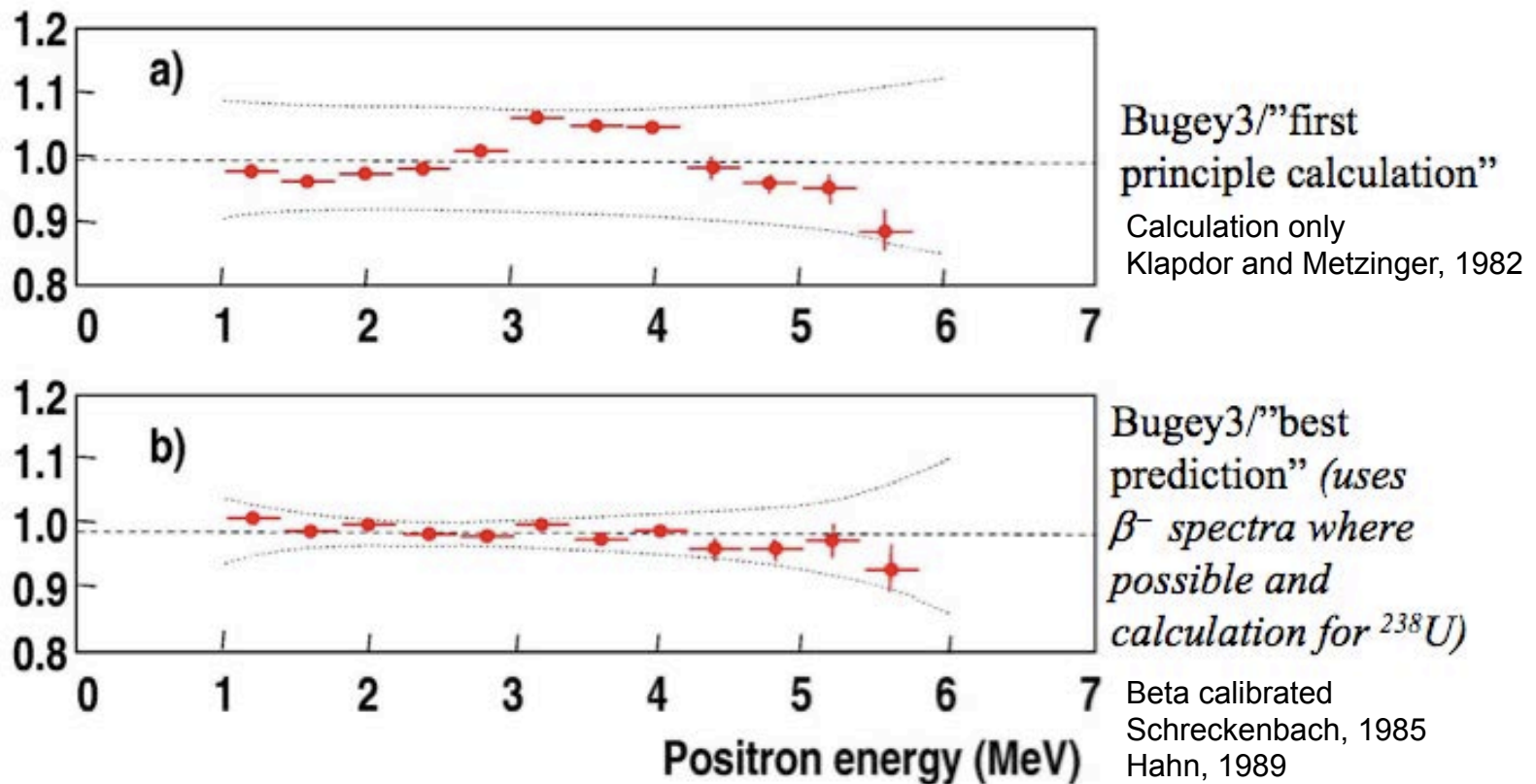




# Bugey Experiment (1996)

## Check $\bar{\nu}_e$ Spectrum Against Data

Measured  $\bar{\nu}_e$  spectrum shape and normalization agreed with calculated predictions to ~10% and with converted electron spectra even better



spectra derived from  $\beta$ -spectra:  $\pm 1.4\%$  agreement

# **Detection and Studies of Reactor Antineutrinos**

# Reactor Antineutrinos

## Detection inverse $\beta$ -decay



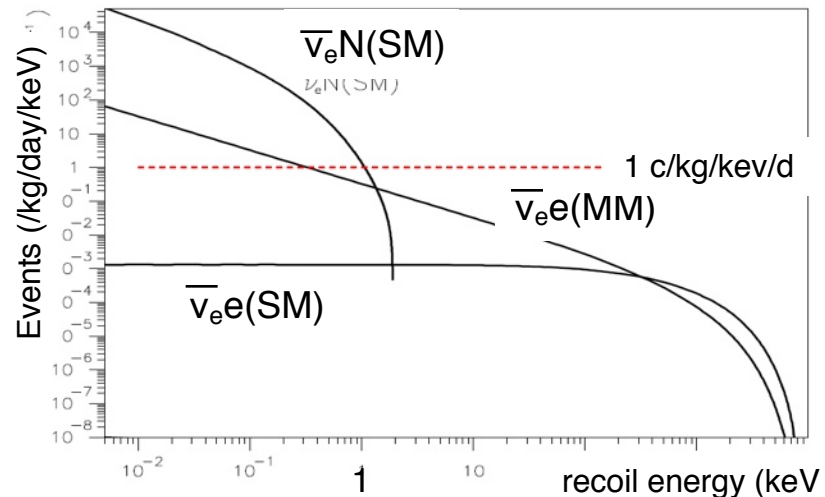
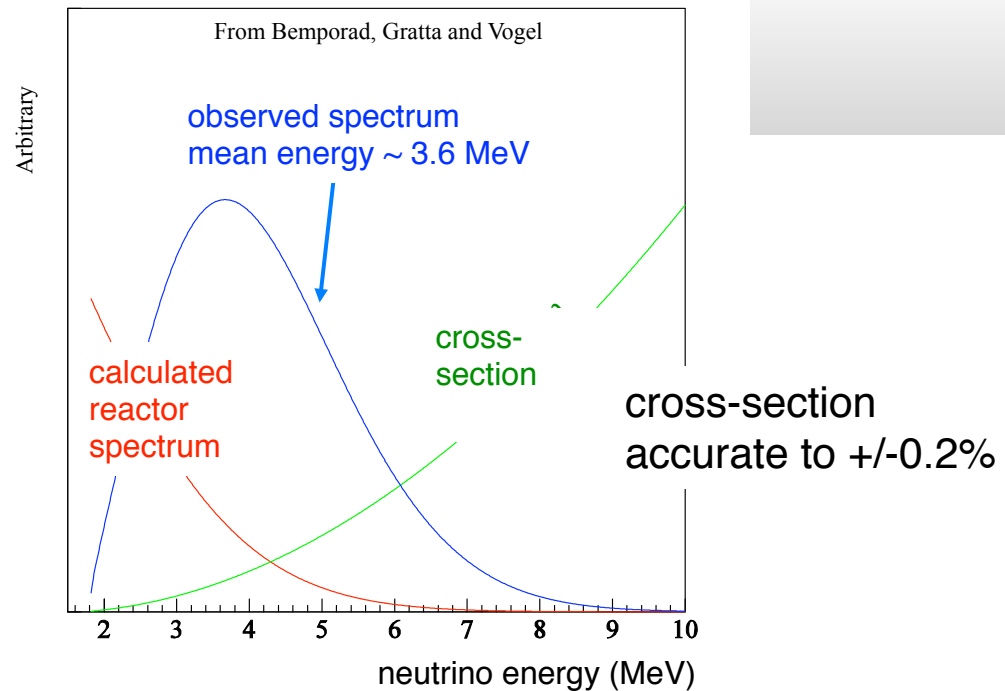
observable **rate** and energy **spectrum**

only disappearance experiments possible

neutrinos with  $E < 1.8$  MeV are not detected

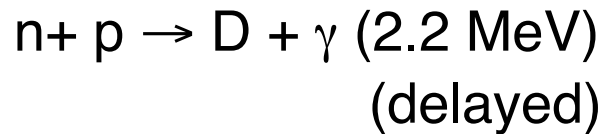
only  $\sim 1.5 \bar{\nu}_e$  /fission can be detected

$\bar{\nu}_e$  scattering

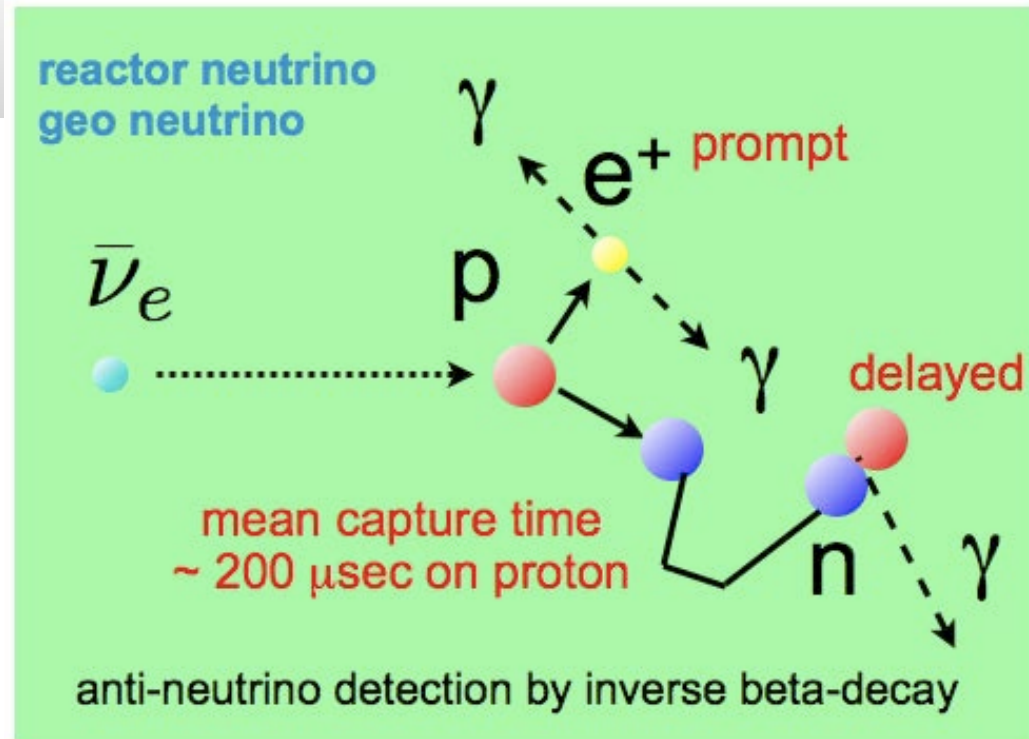


# Antineutrino Detection

inverse beta decay



coincidence signature between  
prompt  $e^+$  and delayed neutron  
capture on H, (or Cd, Gd)



$$E_{\bar{\nu}_e} \cong E_{e^+} + E_n + (M_n - M_p) + m_{e^+}$$

10-100 keV

1.805 MeV

other detection mechanisms:  
 $\bar{\nu}_e + d \rightarrow e^+ + n + n$   
 $\bar{\nu}_e + e^- \rightarrow \bar{\nu}_e + e^-$

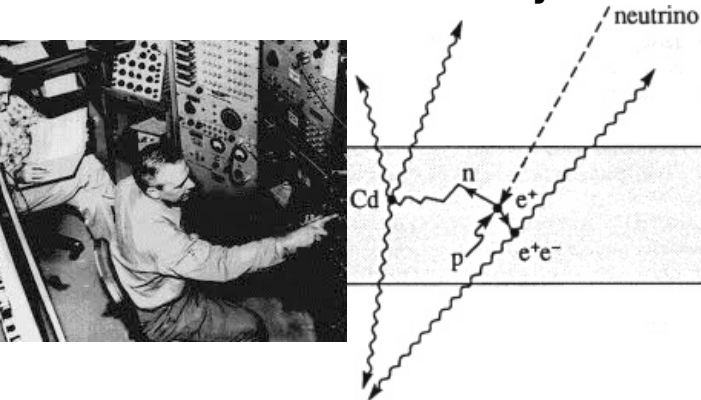
including E from  $e^+$  annihilation,  $E_{\text{prompt}} = E_{\bar{\nu}} - 0.8 \text{ MeV}$



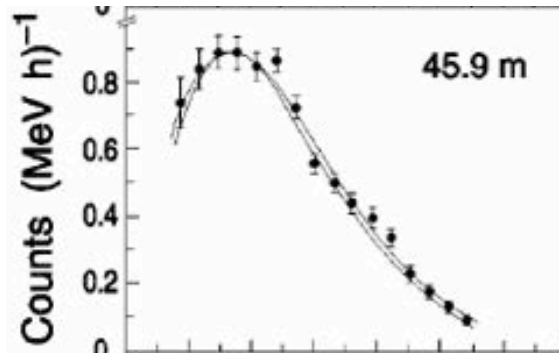
# Physics with Reactor $\bar{\nu}_e$

## Discoveries and Precision Measurements of Neutrino Properties

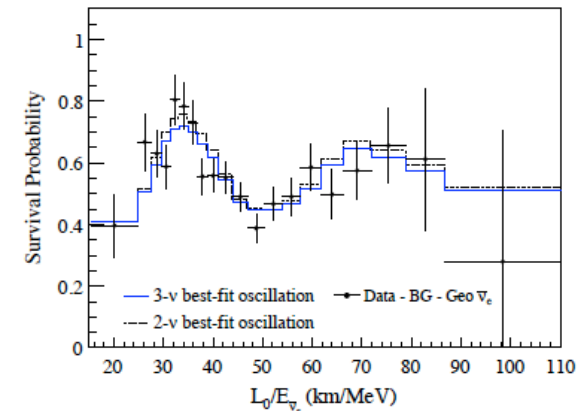
### Antineutrino Discovery



### Reactor $\bar{\nu}_e$ Spectra

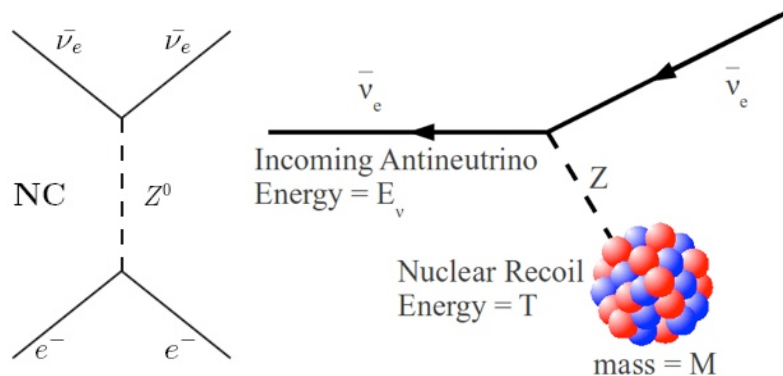


### $\bar{\nu}_e$ Oscillations

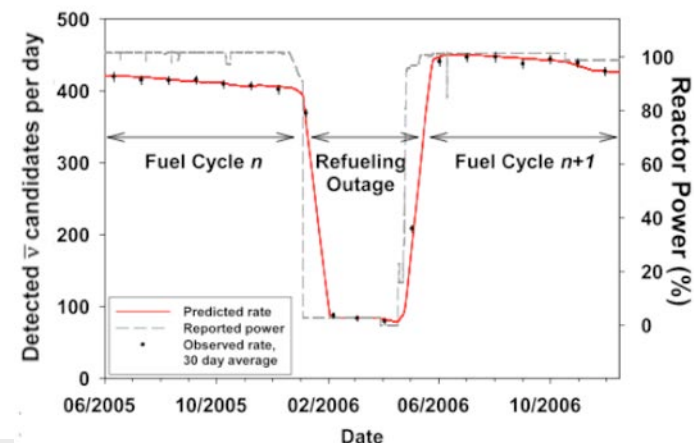


## Searches for New Physics

neutrino magnetic moment and  
coherent scattering searches



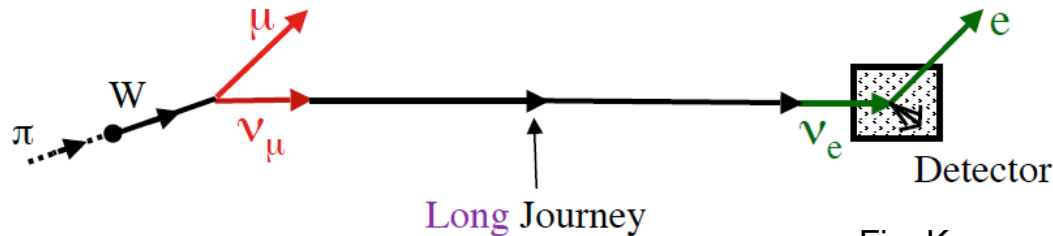
## Reactor Monitoring and Application fuel burnup and isotopic composition



# **Neutrino Oscillation Searches with Reactor Antineutrinos**

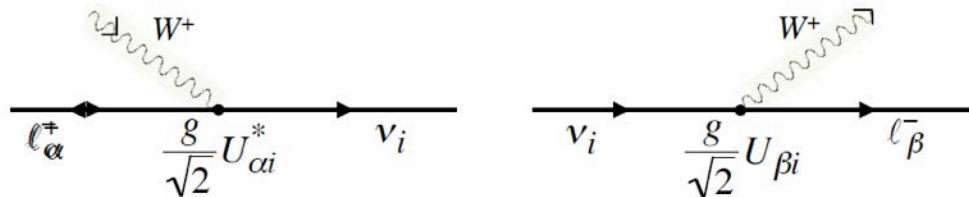
# Neutrino Oscillation

neutrino flavor change occurs if neutrinos have mass and leptons mix



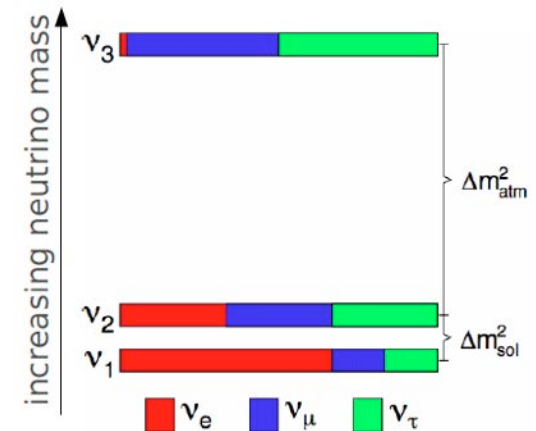
$$|\nu_\alpha\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle$$

mixing matrix



$$U = \begin{matrix} & \begin{matrix} \nu_1 & \nu_2 & \nu_3 \end{matrix} \\ \begin{matrix} e \\ \mu \\ \tau \end{matrix} & \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix} \end{matrix}$$

mass eigenstates

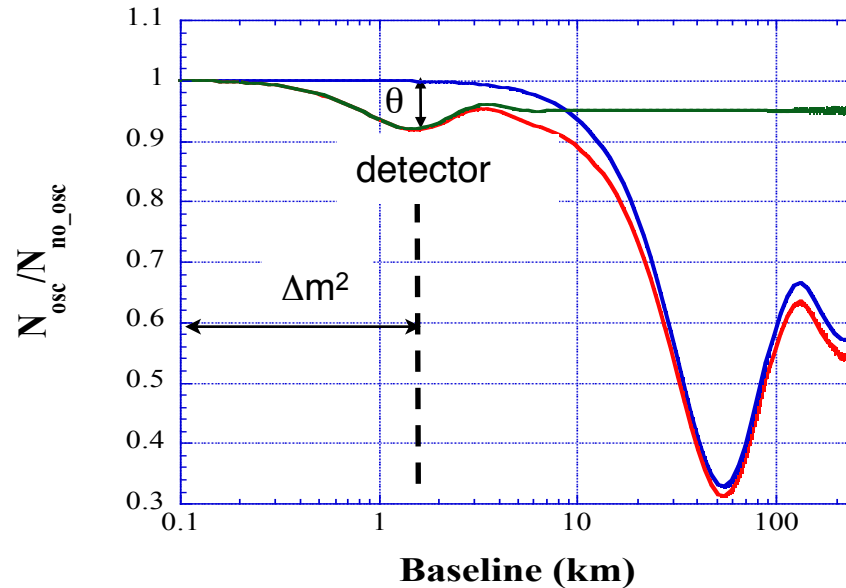
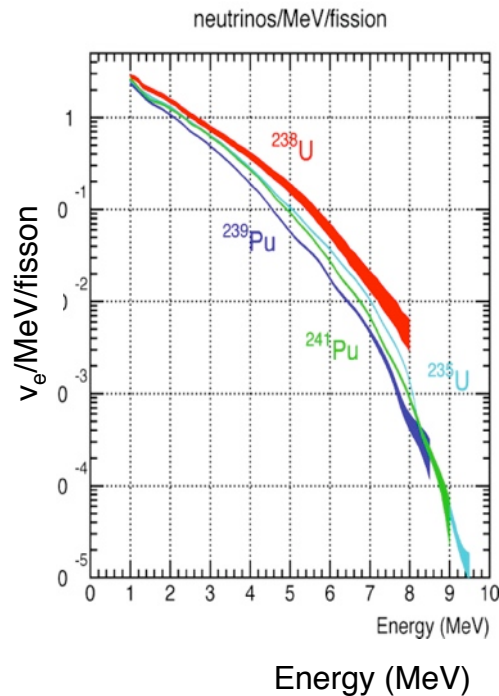
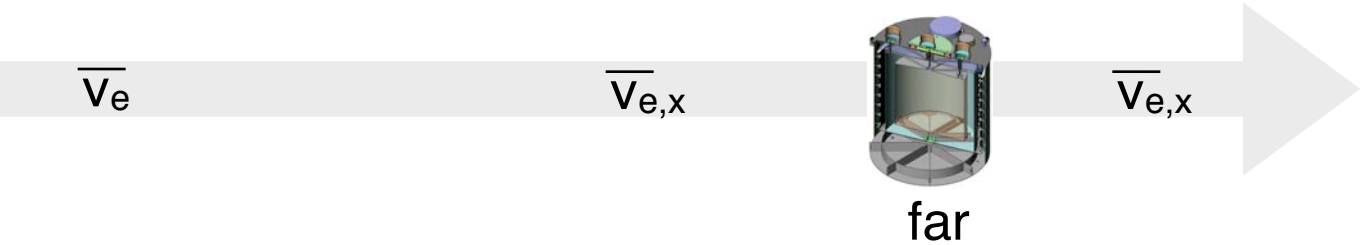


Experiments study flavor conversion as a function of energy, distance and determine mixing angle and mass splitting

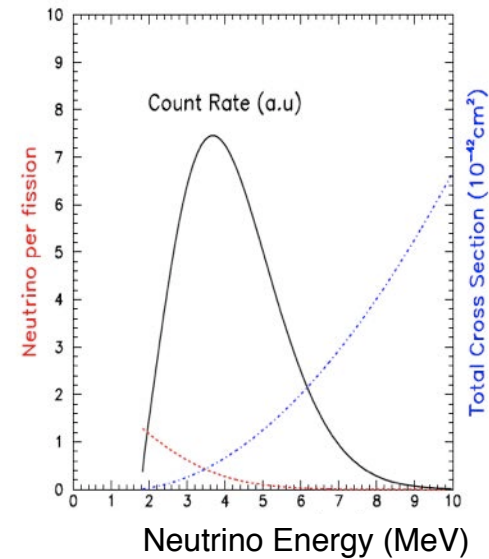
2-neutrino case, vacuum

$$P_{i \rightarrow i} = \sin^2 2\theta \sin^2 \left( 1.27 \Delta m^2 \frac{L}{E} \right)$$

# Reactor Neutrino Oscillation Experiments



$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \cong 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{32}^2 L}{4E}$$





# Oscillation Experiments with Reactors



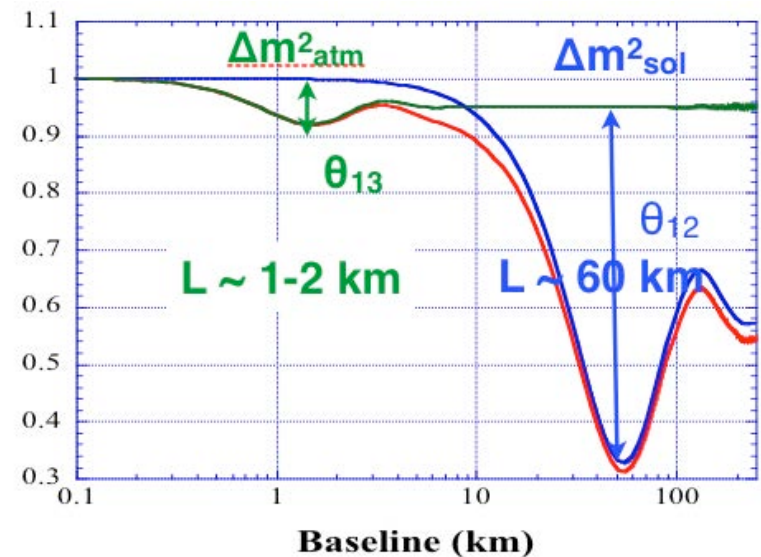
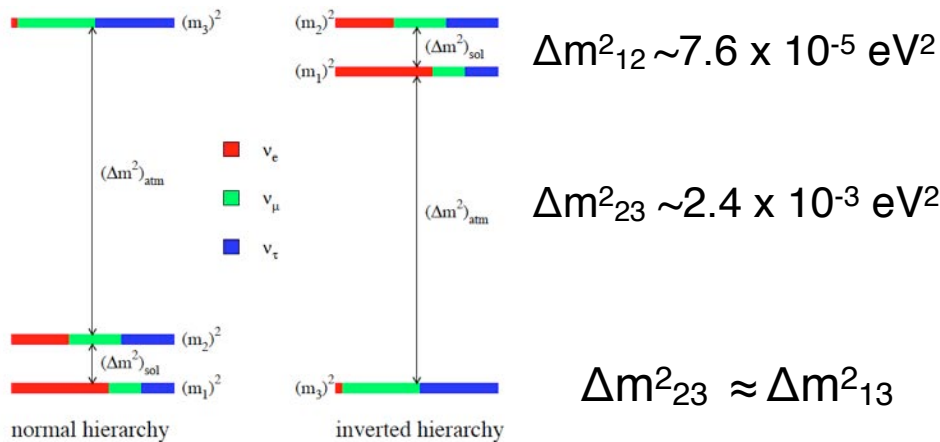
Measure (non)- $1/r^2$  behavior of  $\bar{\nu}_e$  interaction rate

$$P_{ee} \approx 1 - \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E_\nu} \right) - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E_\nu} \right)$$

$L/E \rightarrow \Delta m^2$

amplitude of oscillation  $\rightarrow \theta$

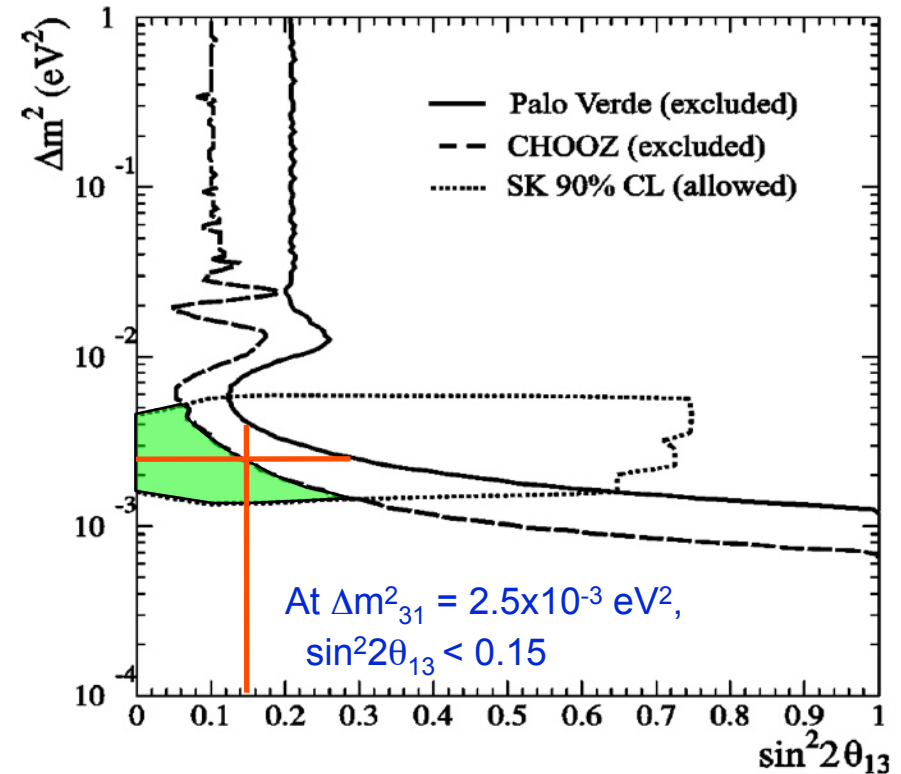
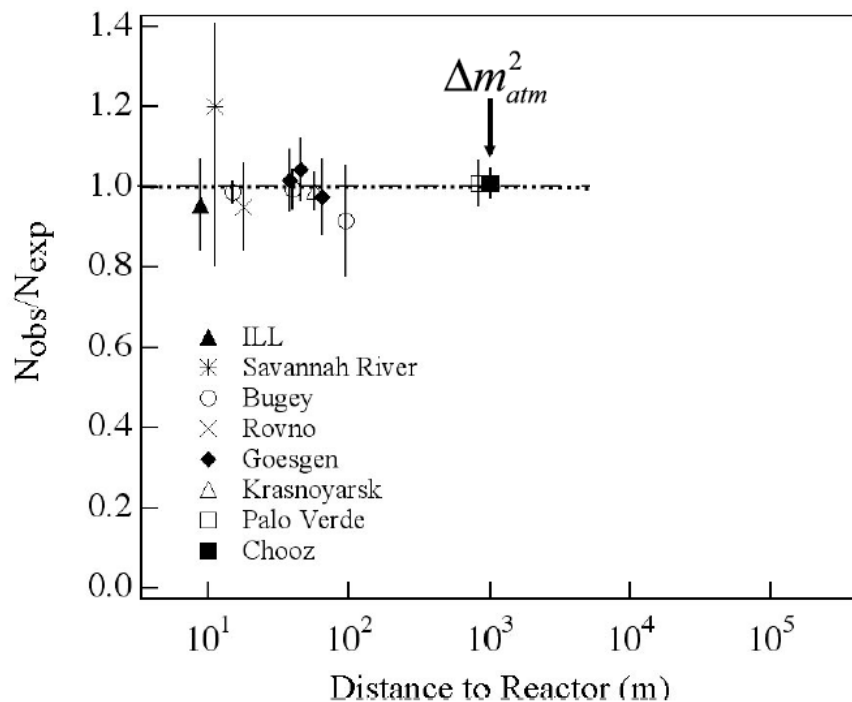
for 3 active  $\nu$ , two different oscillation length scales:  $\Delta m_{12}^2, \Delta m_{23}^2$



# Search for Neutrino Oscillations at Reactors

early experiments tried to probe “atmospheric neutrino anomaly”

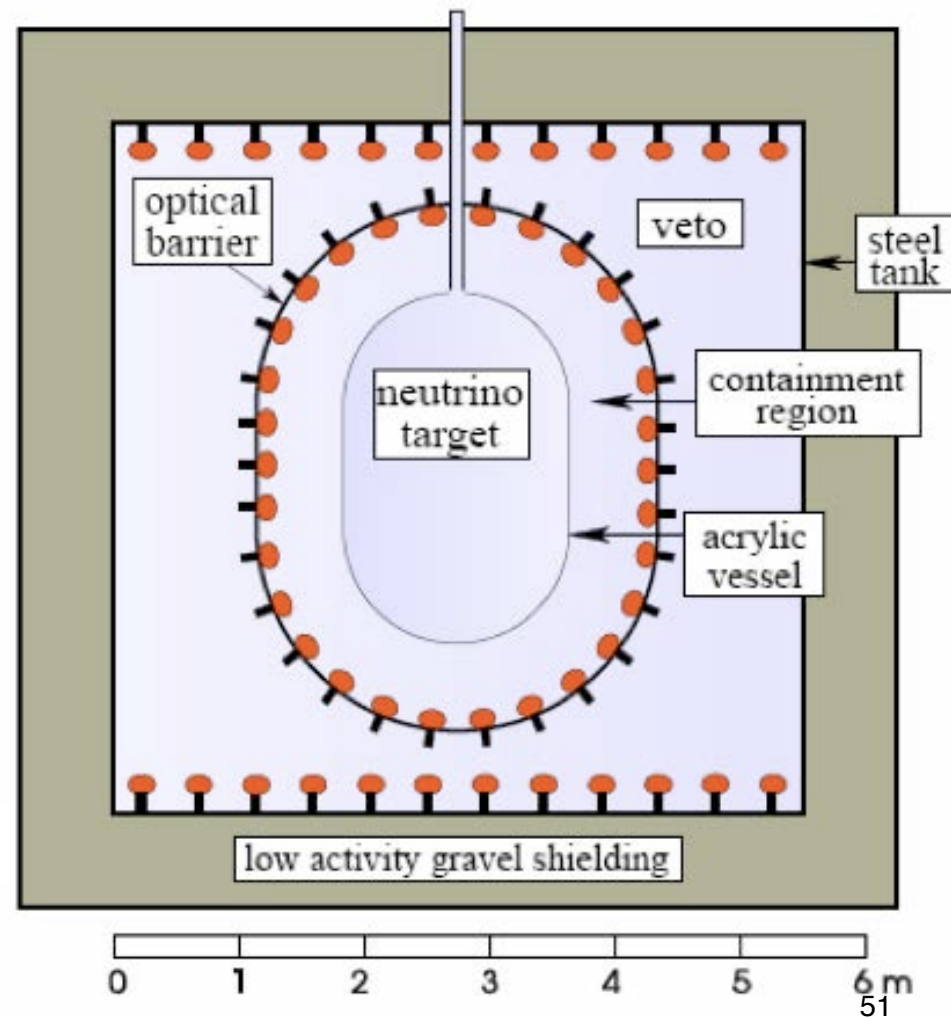
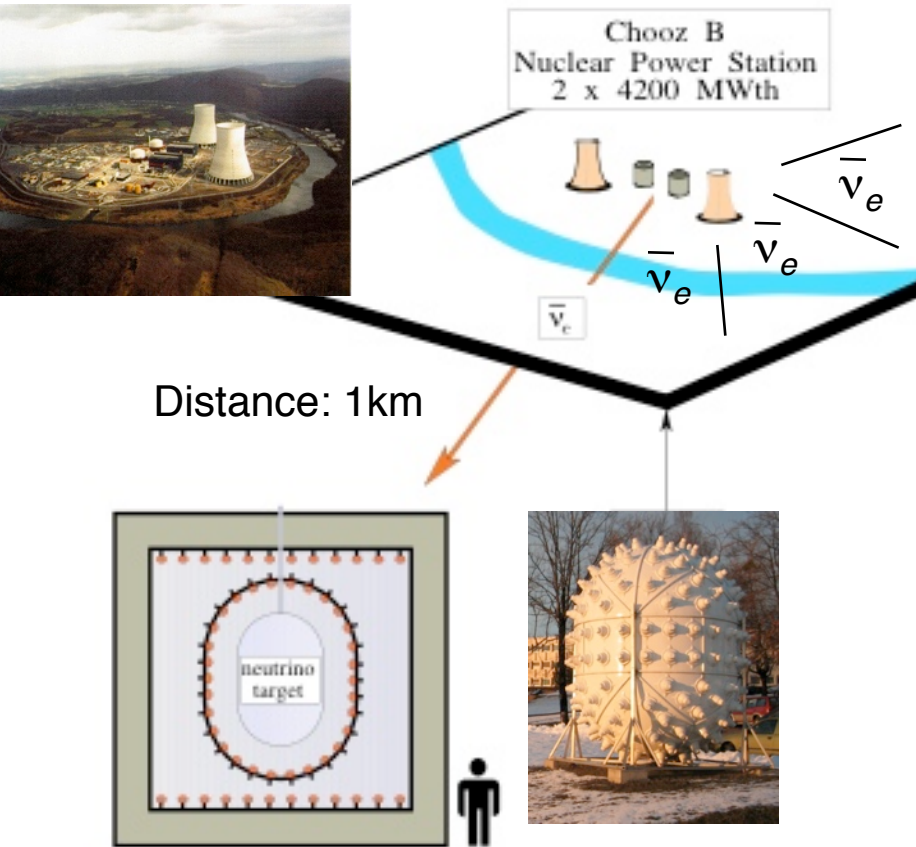
early oscillation experiments didn't know the length scales involved



# Neutrino Oscillation Search with Reactor Antineutrinos

Oscillation Searches at Chooz + Palo Verde:

$$\bar{\nu}_e \rightarrow \bar{\nu}_x$$



Absolute measurement with 1 detector  
detector size: several tons

# Backgrounds for Reactor Experiments

- Backgrounds to the  $e^+ - n$  coincidence signal

## *Uncorrelated Backgrounds*

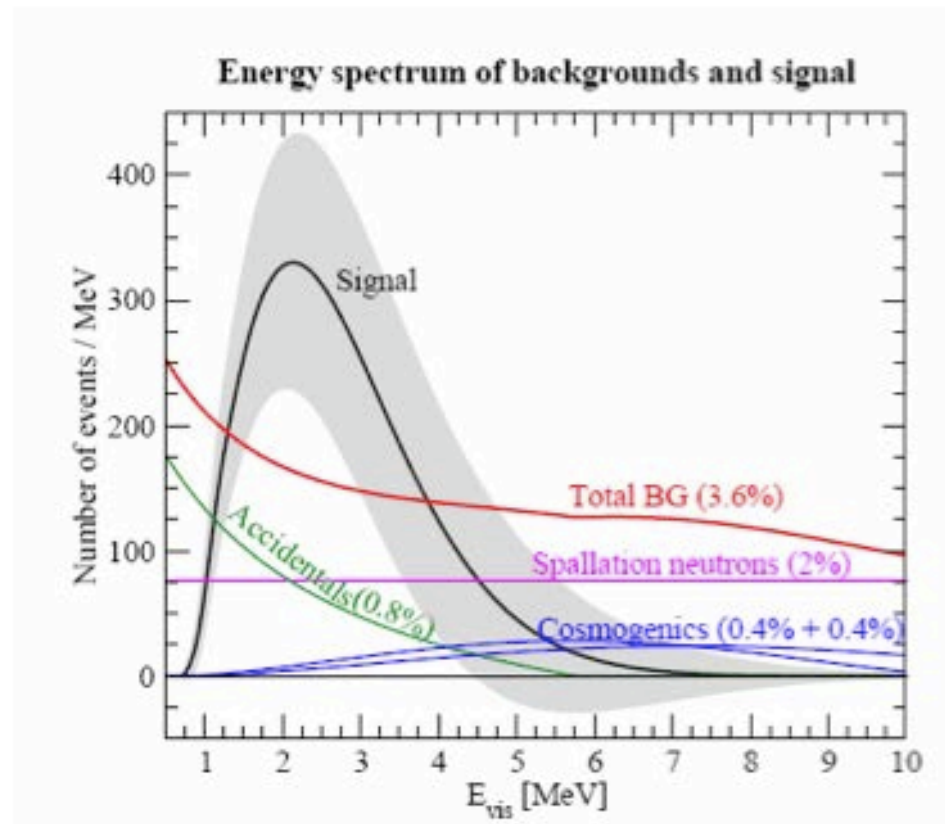
- ambient radioactivity
- accidentals
- cosmogenic neutrons

## *Correlated Backgrounds*

- cosmic rays induce neutrons in the surrounding rock and buffer region of the detector
- cosmogenic radioactive nuclei that emit delayed neutrons in the detector

eg.  $^8\text{He}$  ( $T_{1/2}=119\text{ms}$ )

$^9\text{Li}$  ( $T_{1/2}=178\text{ms}$ )



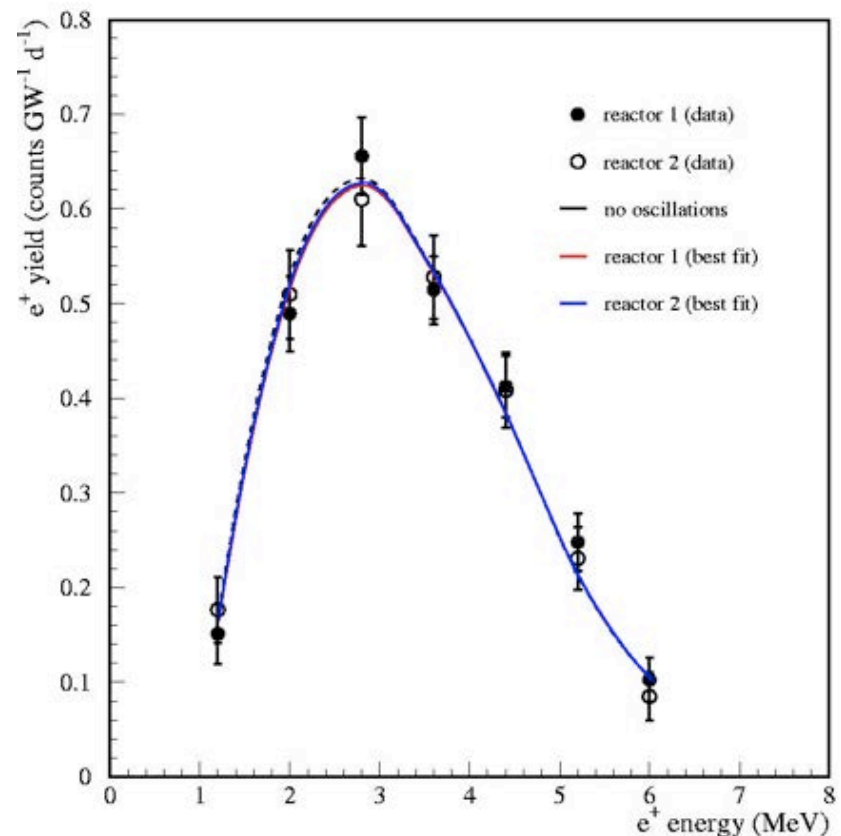
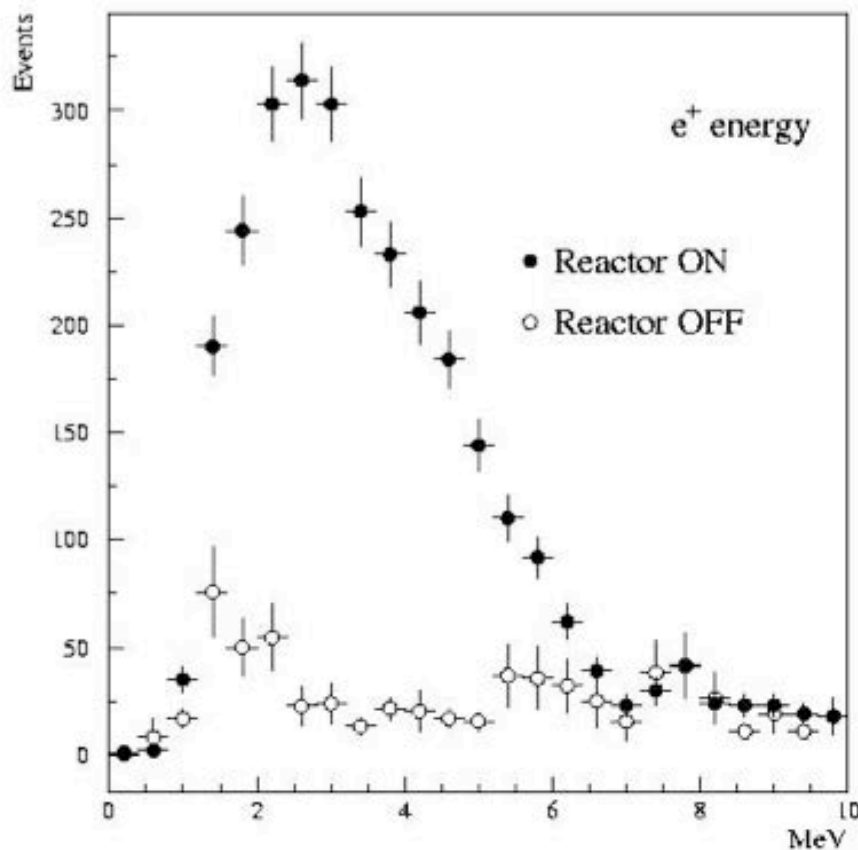
from M. Shaevitz



# Chooz: Positron Spectrum

## Reactor On/Off

- Positron Yields for Reactors I+II
- Fit to Spectrum
- Comparison to Expected Yield for No Oscillation



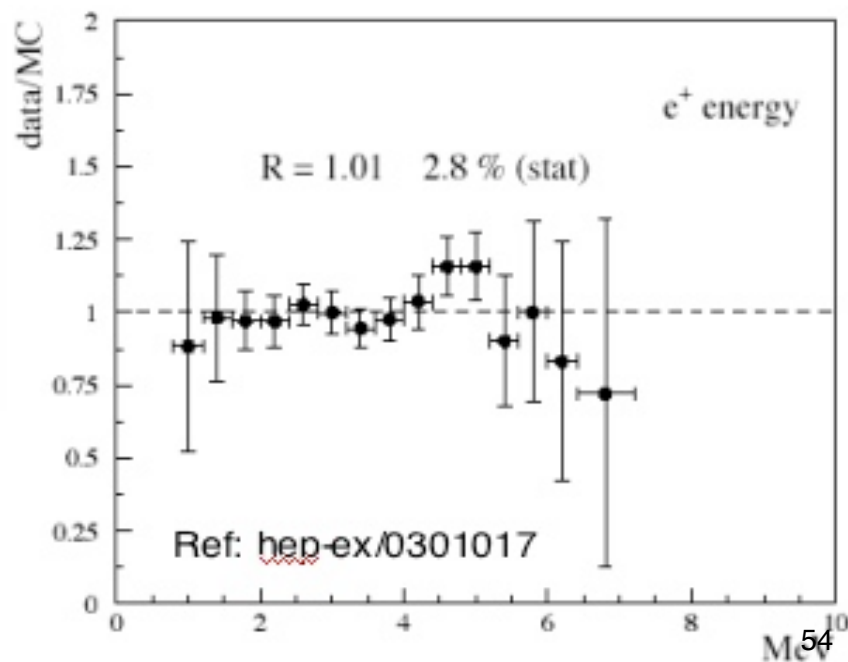
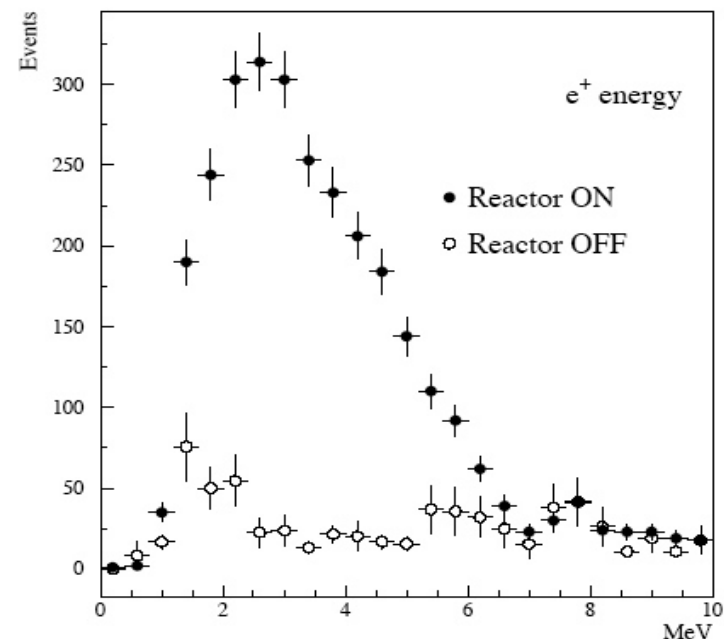
# Chooz: Results

~3600 events in 335 days

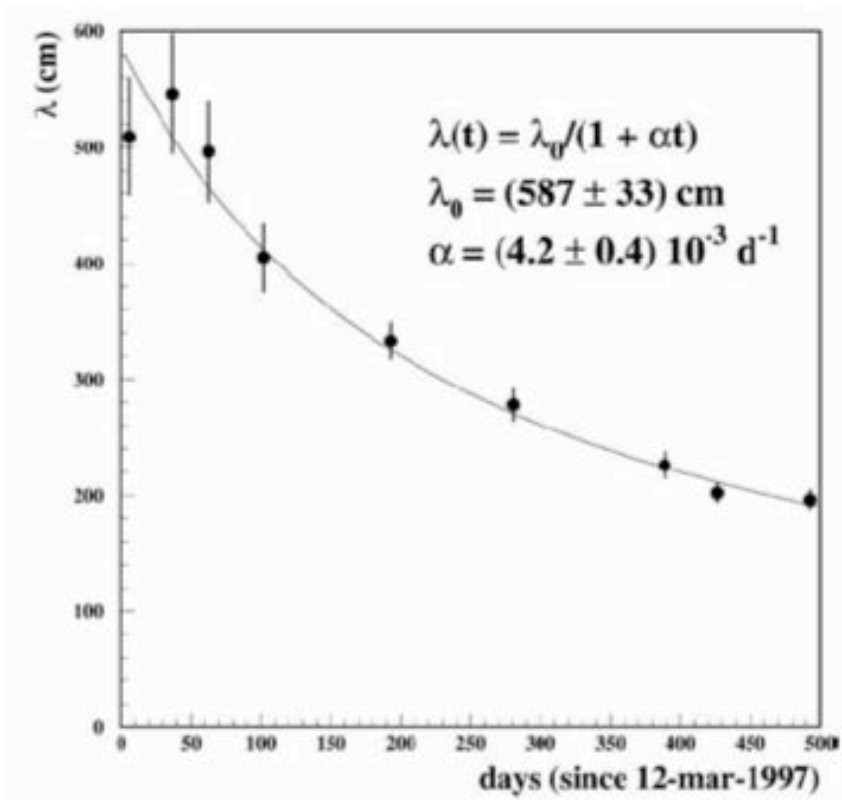
~2.2 events/day/ton  
with 0.2-0.4 bkgd events/day/ton

2.7% uncertainty

parameter	relative error (%)
reaction cross section (flux)	1.9%
number of protons	0.8%
detection efficiency	1.5%
reactor power	0.7%
energy released per fission	0.6%
combined	2.7%

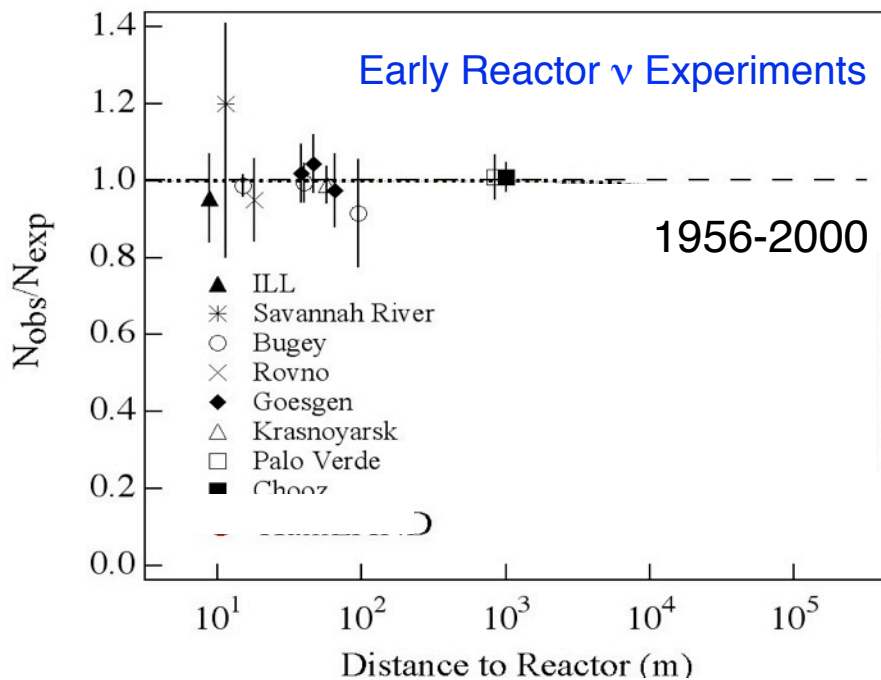


# Chooz: Degradation of Scintillator



Attenuation degrades  
by  $\sim 0.4\%$  per day.

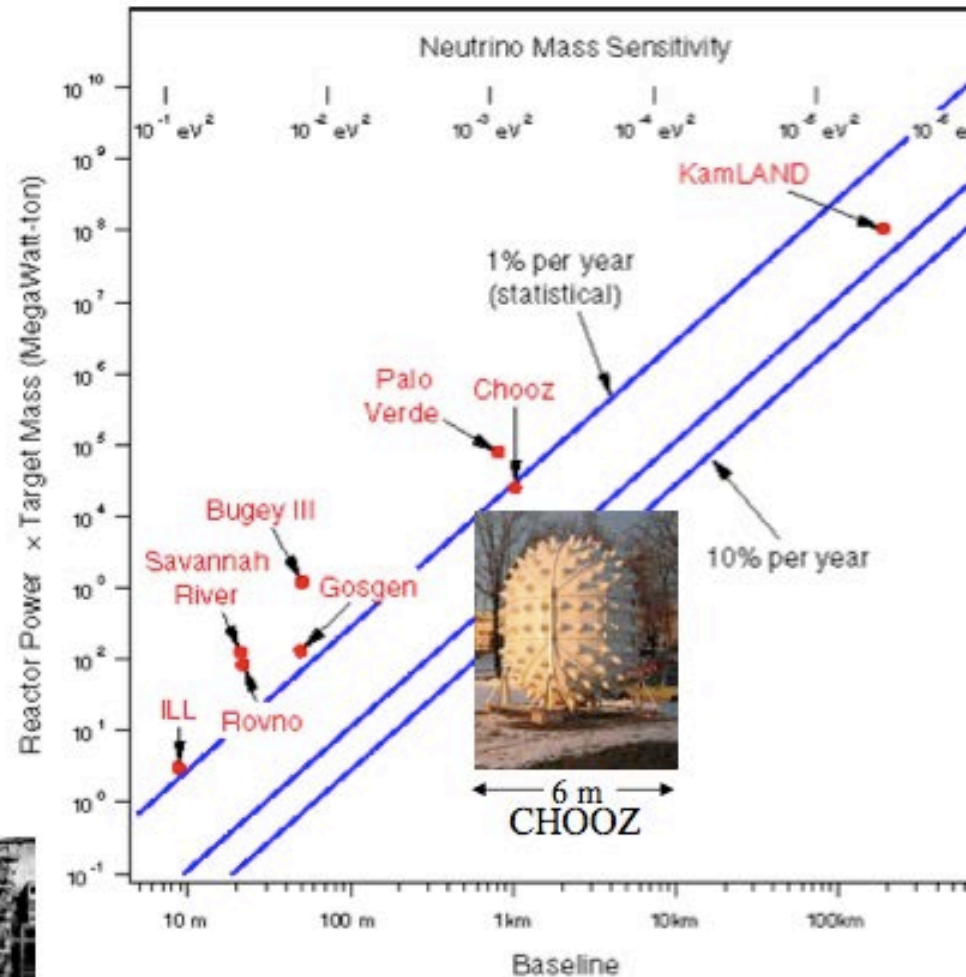
# Reactor $\bar{\nu}_e$ Flux Measurements at Different Distances



flux measurements at distances up to  $\sim 1\text{km}$  consistent with expectations



← 1m →  
Poltergeist



# Reactor Antineutrinos in Japan

## Japanese Reactors

Kashiwazaki



Takahama



Ohi

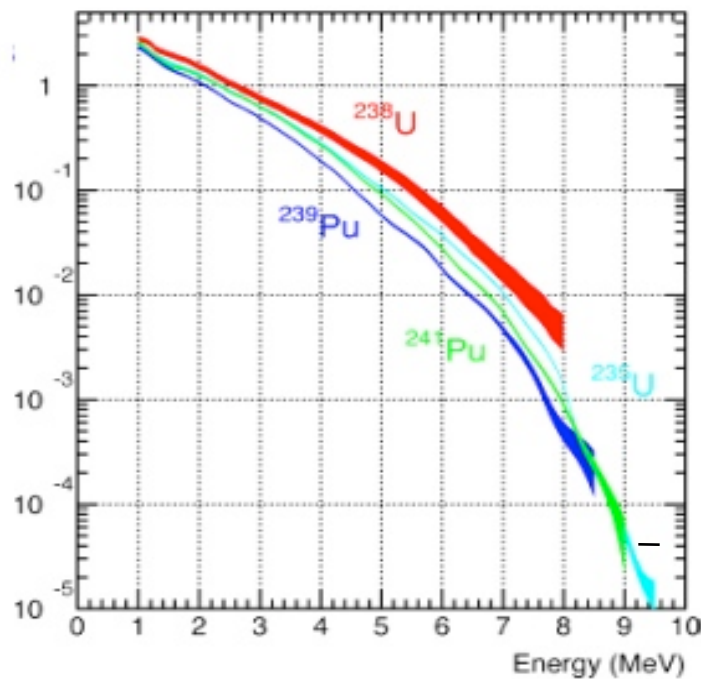


55 reactors



Japan  
Kamioka

## Reactor Antineutrinos



$$^{235}\text{U} : ^{238}\text{U} : ^{239}\text{Pu} : ^{241}\text{Pu} = 0.570 : 0.078 : 0.0295 : 0.057$$

$\sim 200$  MeV per fission

$\sim 6 \bar{\nu}_e$  per fission

$\sim 2 \times 10^{20} \bar{\nu}_e / \text{GW}_{\text{th}}\text{-sec}$

reactor  $\bar{\nu}$  flux  $\sim 6 \times 10^6 / \text{cm}^2 / \text{sec}$



# KamLAND Antineutrino Detector

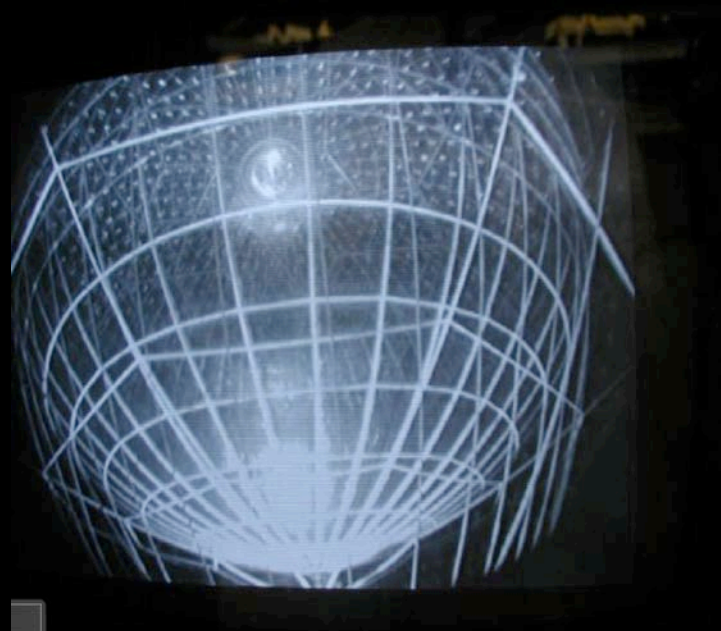
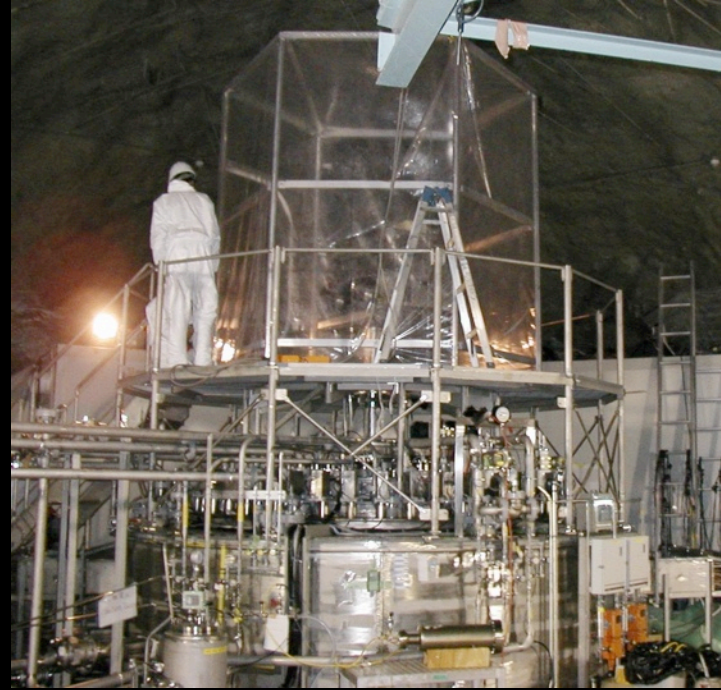
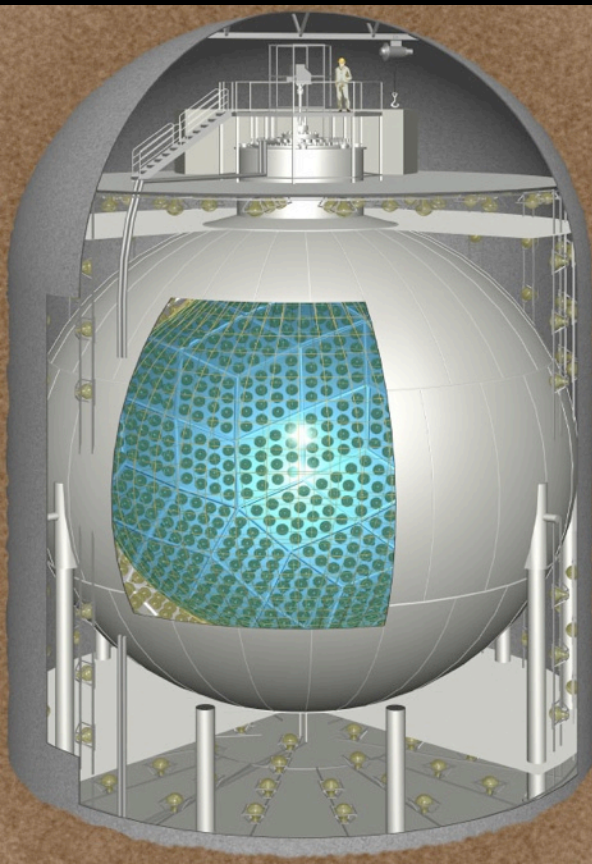


$$E_{\bar{\nu}_e} \simeq E_p + \bar{E}_n + 0.8 \text{ MeV},$$

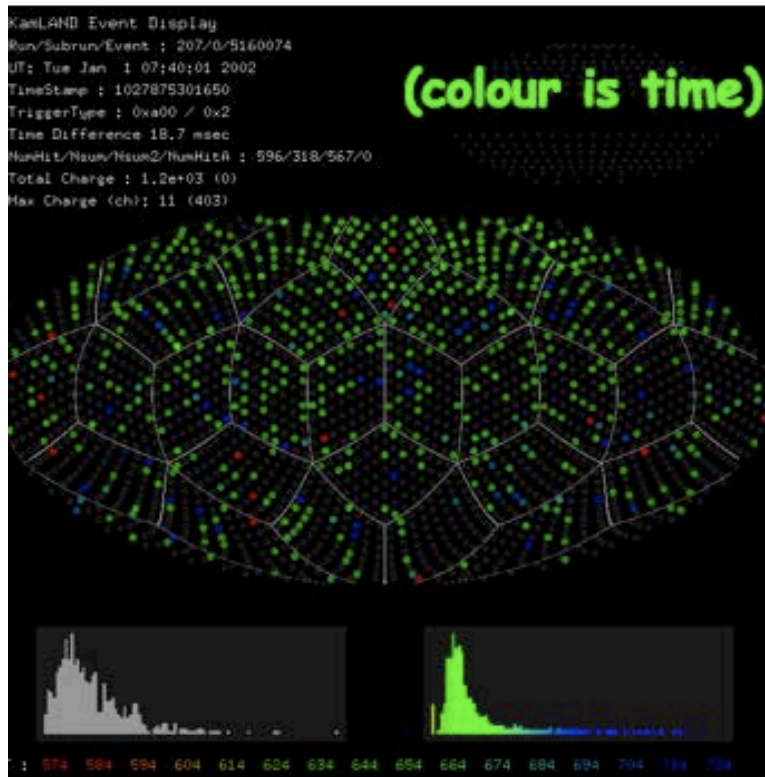
through inverse  $\beta$ -decay

liquid scintillator target:

- proton rich  $> 10^{31}$  protons
- good light yield



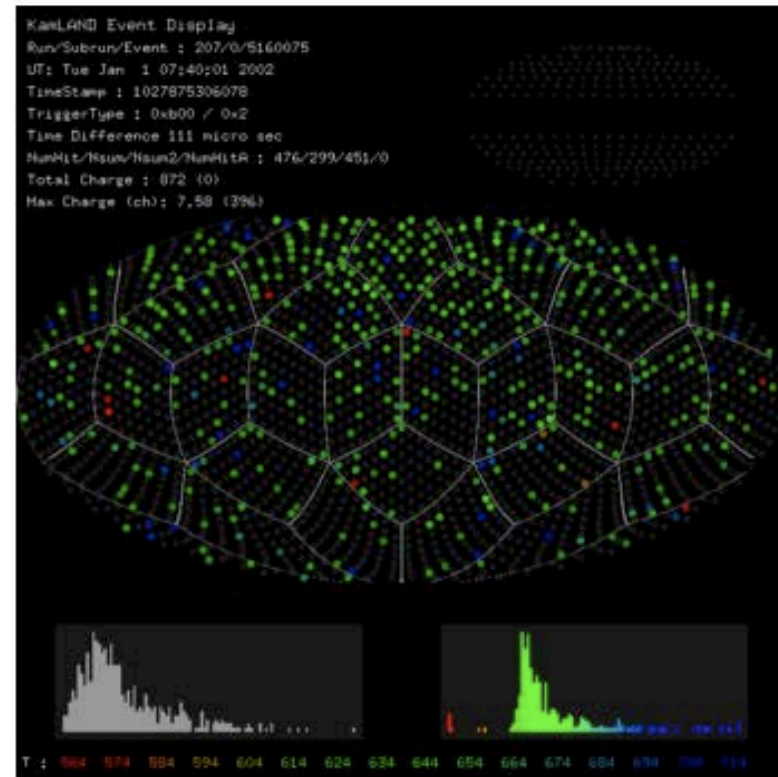
# Antineutrino Candidate Event



Prompt Signal  
 $E = 3.20 \text{ MeV}$

$\Delta t = 111 \text{ ms}$   
 $\Delta R = 34 \text{ cm}$

Delayed Signal  
 $E = 2.22 \text{ MeV}$





# First Evidence for Reactor $\bar{\nu}_e$ Disappearance

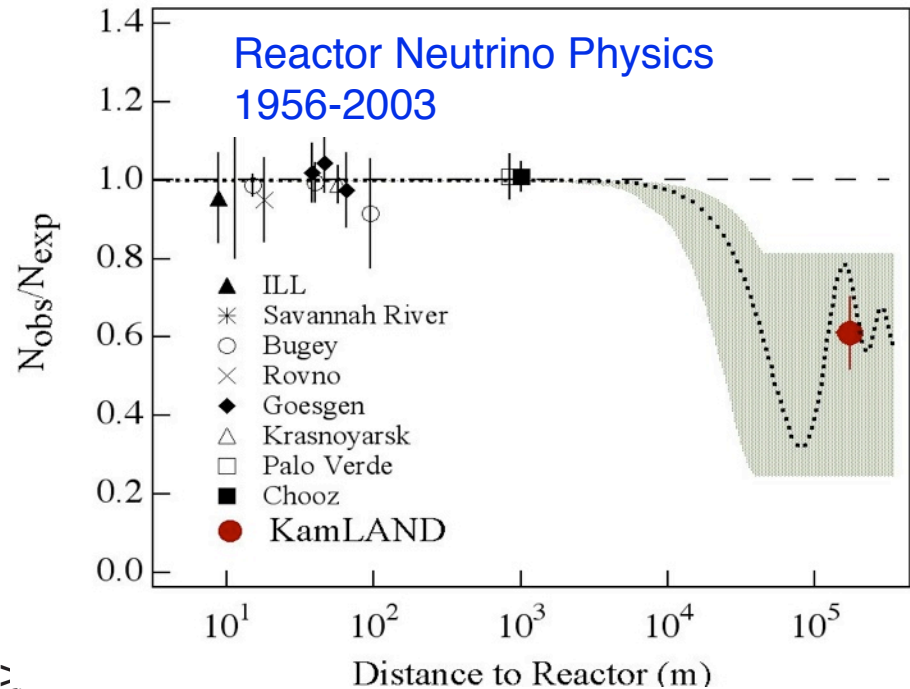
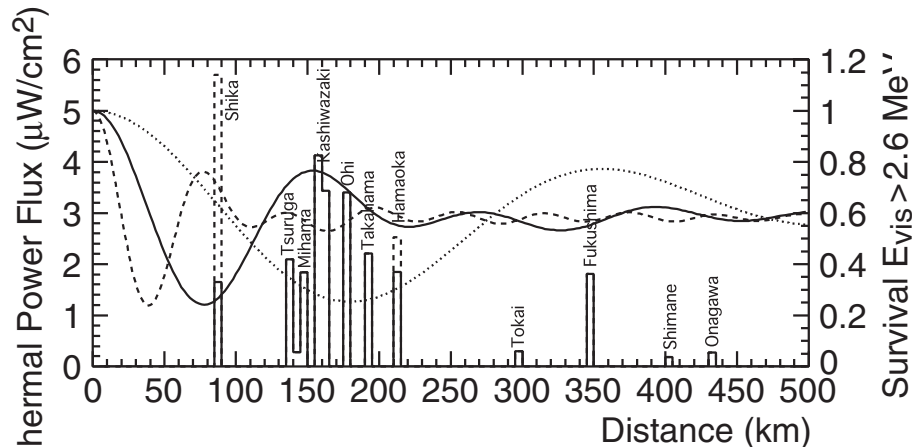


KamLAND 2003



Japan

mean, flux-weighted reactor distance  $\sim 180\text{km}$



Observed  $\bar{\nu}_e$   
No-Oscillation  
events  
Background  
Livetime:

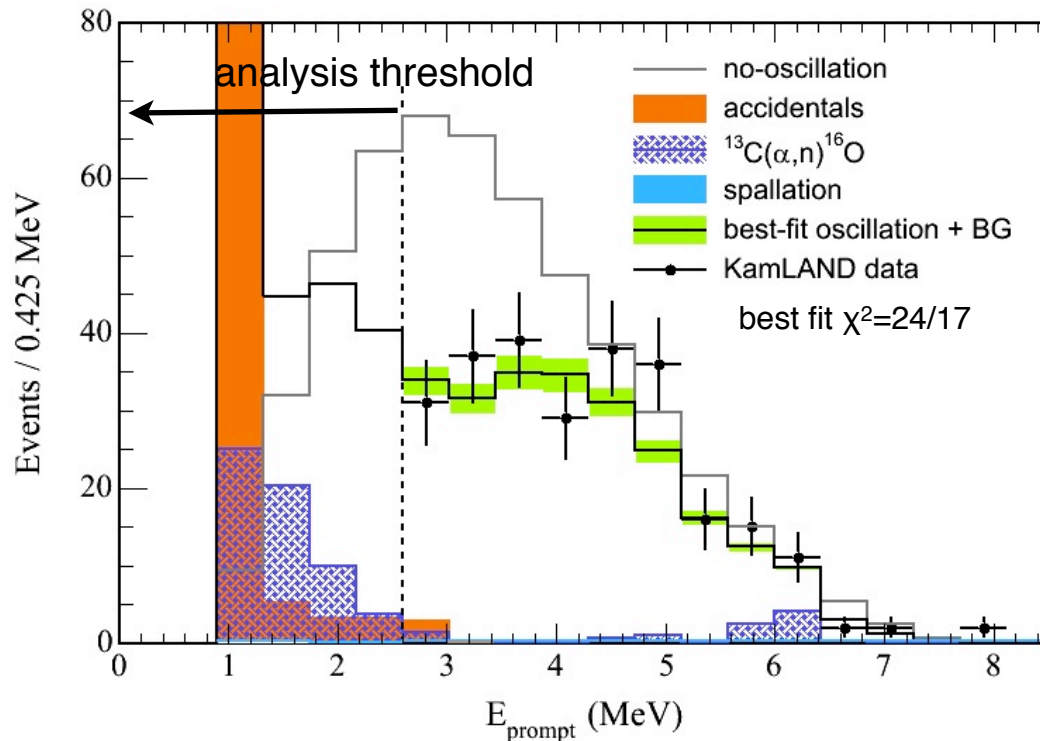
54 events  
 $86.8 \pm 5.6$   
 $1 \pm 1$  events  
162.1 ton-yr

PRL 90:021802 (2003)

# Evidence of Spectral Distortion

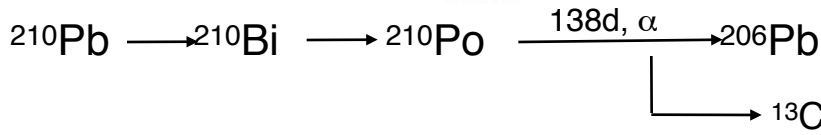


## KamLAND 2004



Observed  $\bar{\nu}_e$  258 events  
 No-Oscillation  $365.2 \pm 23.7$  (syst.)  
 Background  $17.8 \pm 7.3$  events  
 Livetime: 766.3 ton-yr

fiducial volume syst.: 4.7%  
 total systematics = 6.5%



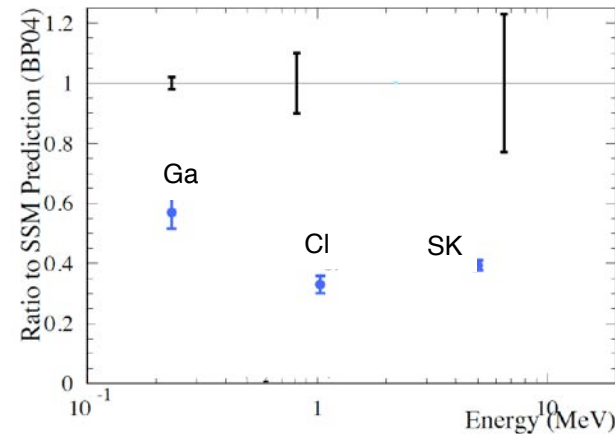
$^{222}\text{Rn}$  decay chain introduced  
 in the LS during assembly

**Spectral Distortions: A unique signature of neutrino oscillation!**

Simple, rescaled reactor spectrum is excluded at 99.6% CL ( $\chi^2=37.3/18$ )

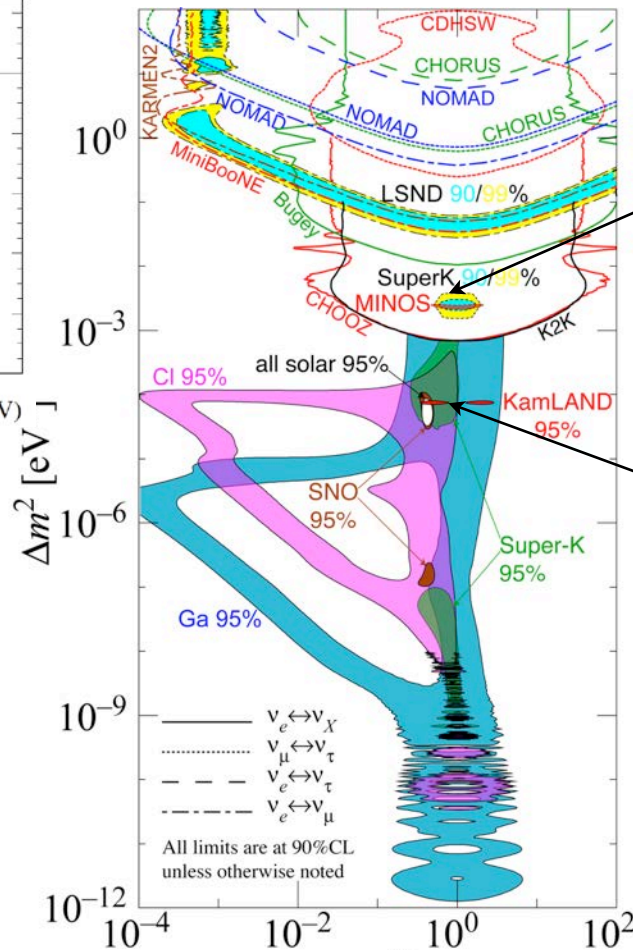
# Measuring Neutrino Oscillation Parameters

## solar neutrino problem



1960-1990

## oscillation searches



1990-2000

atmospheric/beam  
neutrinos

$\theta_{23}$ ,  $\Delta m^2_{23}$

solar/reactor  
neutrinos

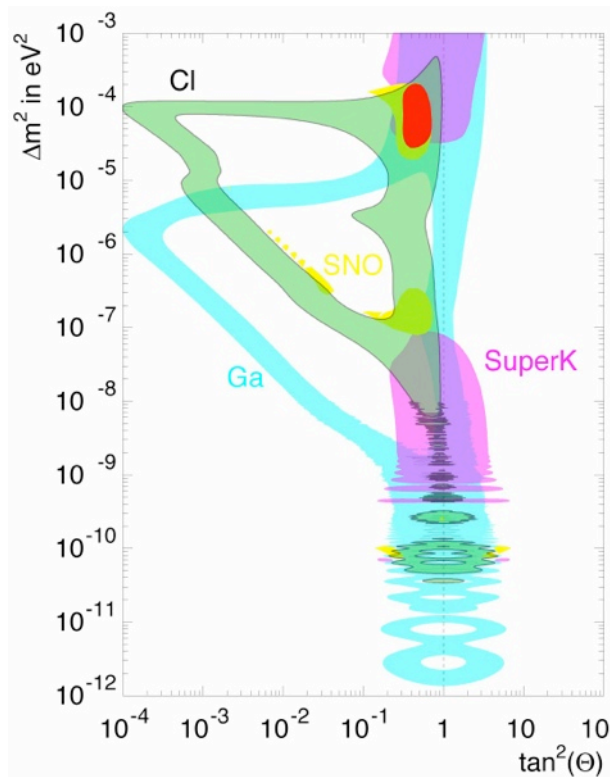
$\theta_{12}$ ,  $\Delta m^2_{12}$

2000 - Present

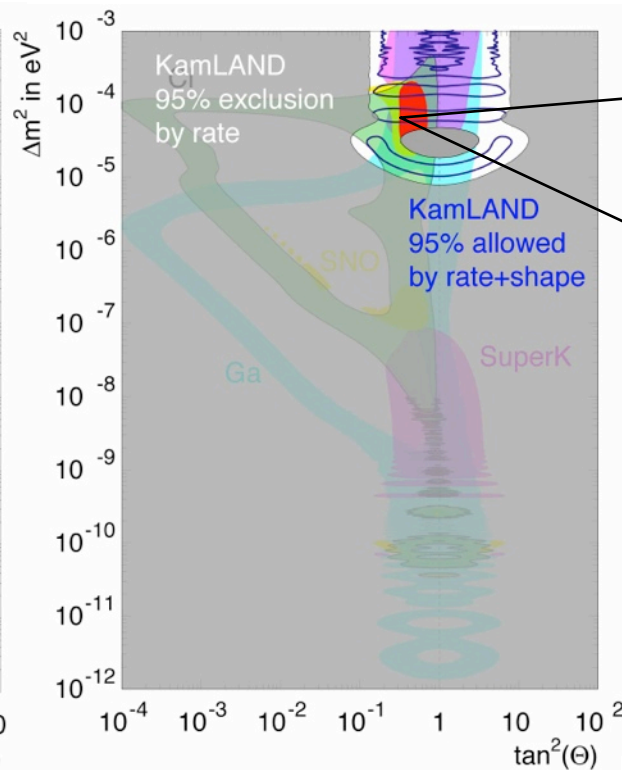


# Measuring Neutrino Oscillation Parameters

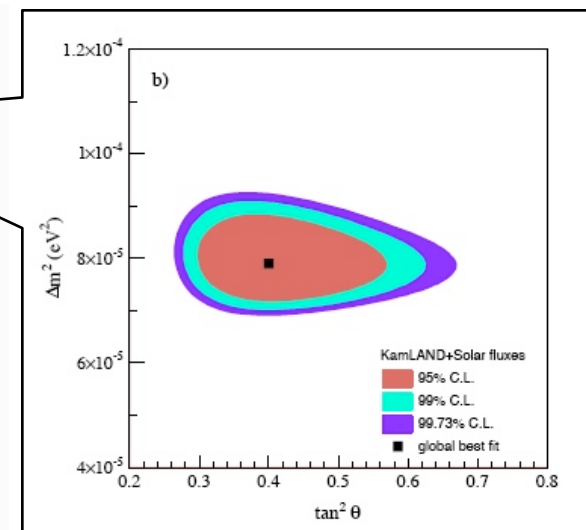
## Solar Neutrinos



## Solar Neutrinos + KamLAND 2003 ( $\bar{\nu}_e$ rate)



## Solar Neutrinos + KamLAND 2004 ( $\bar{\nu}_e$ rate+spectrum)



Agreement between oscillation parameters for  $\bar{\nu}$  and  $\nu$

Beginning of precision  
neutrino physics

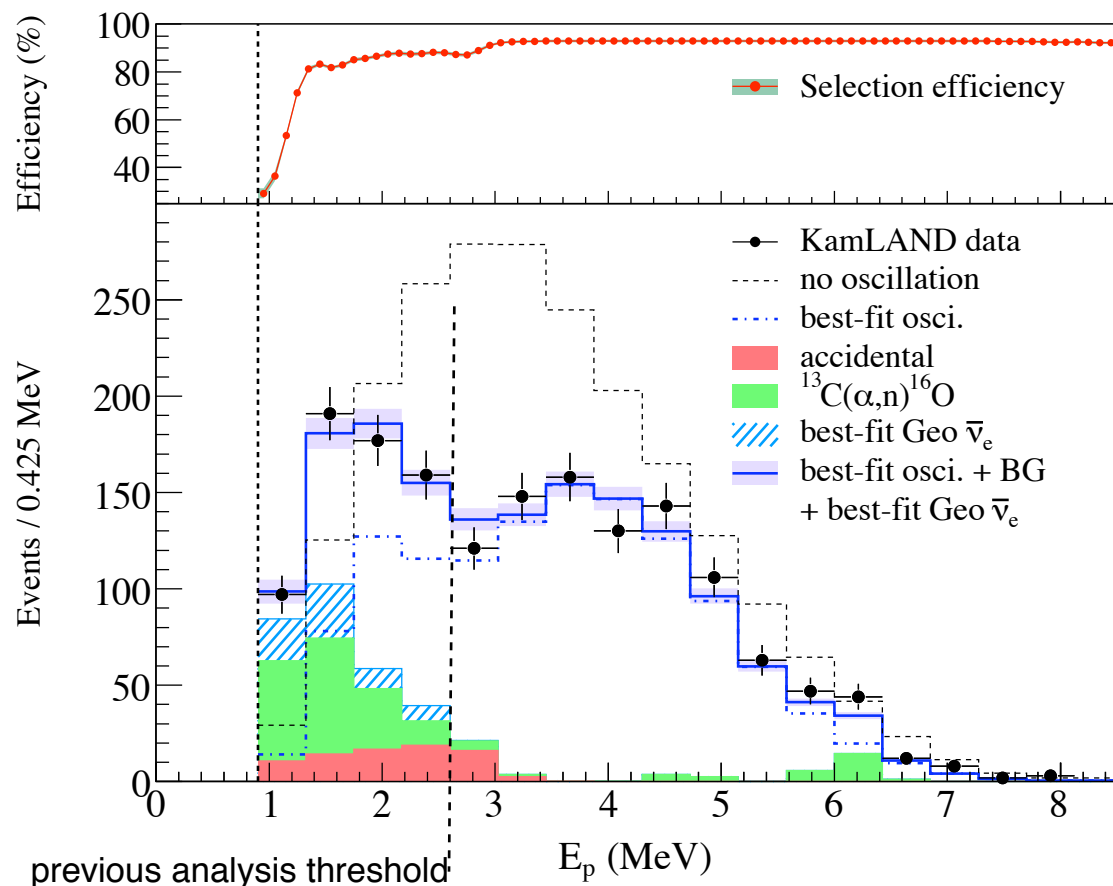
# **Precision Oscillation Physics with Reactor Neutrinos**

# Evidence of Spectral Distortion



KamLAND 2008

Prompt event energy spectrum for  $\bar{\nu}_e$



number of events

expected

(no-oscillation):  $2179 \pm 89$  (syst)

observed: 1609

bkgd:  $276 \pm 23.5$

significance of disappearance

(with 2.6 MeV threshold):  $8.5\sigma$

no-osc  $\chi^2/\text{ndf}=63.9/17$

significance of distortion:  $> 5\sigma$

best-fit  $\chi^2/\text{ndf}=21/16$  (18% C.L.)

- unbinned likelihood fit (rate+shape+time)
- 2-flavor oscillation analysis with w/Earth matter effects
- geo-neutrino U,Th amplitude is a free parameter

# Systematic Uncertainties and Backgrounds



## Systematic Uncertainties

	Detector-related (%)	Reactor-related (%)
$\Delta m_{21}^2$	Energy scale 1.9	$\bar{\nu}_e$ -spectra [7] 0.6
Event rate	Fiducial volume 1.8	$\bar{\nu}_e$ -spectra 2.4
	Energy threshold 1.5	Reactor power 2.1
	Efficiency 0.6	Fuel composition 1.0
	Cross section 0.2	Long-lived nuclei 0.3

fiducial volume systematics  
reduced from 4.7%  $\rightarrow$  1.8%

## Estimated Backgrounds

TABLE II: Estimated backgrounds after selection efficiencies.

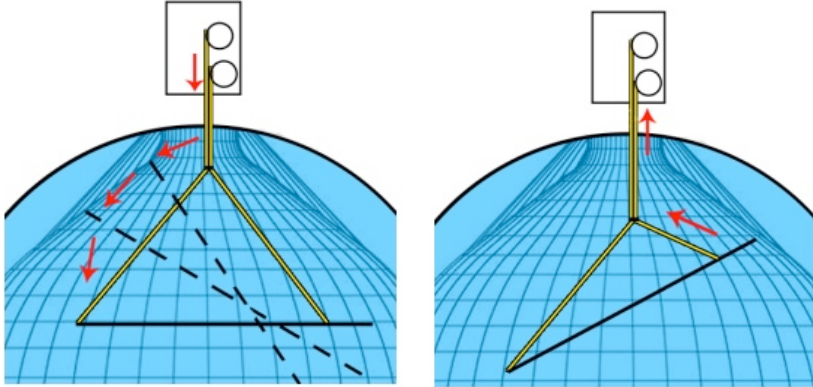
Background	Contribution
Accidentals	$80.5 \pm 0.1$
$^9\text{Li}/^8\text{He}$	$13.6 \pm 1.0$
Fast neutron & Atmospheric $\nu$	$<9.0$
$^{13}\text{C}(\alpha, n)^{16}\text{O}$ G.S.	$157.2 \pm 17.3$
$^{13}\text{C}(\alpha, n)^{16}\text{O}$ $^{12}\text{C}(n, n\gamma)^{12}\text{C}$ (4.4 MeV $\gamma$ )	$6.1 \pm 0.7$
$^{13}\text{C}(\alpha, n)^{16}\text{O}$ 1 <sup>st</sup> exc. state (6.05 MeV $e^+e^-$ )	$15.2 \pm 3.5$
$^{13}\text{C}(\alpha, n)^{16}\text{O}$ 2 <sup>nd</sup> exc. state (6.13 MeV $\gamma$ )	$3.5 \pm 0.2$
Total	$276.1 \pm 23.5$ (number of events)

total systematics: 4.1%

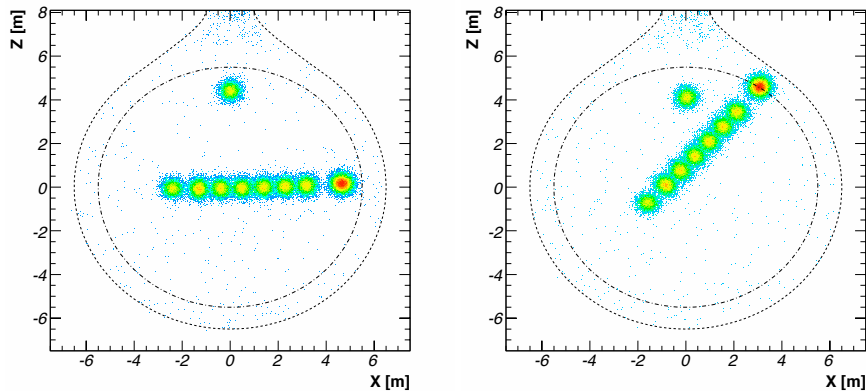
significantly reduced

# 4 $\pi$ Full-Volume Calibration

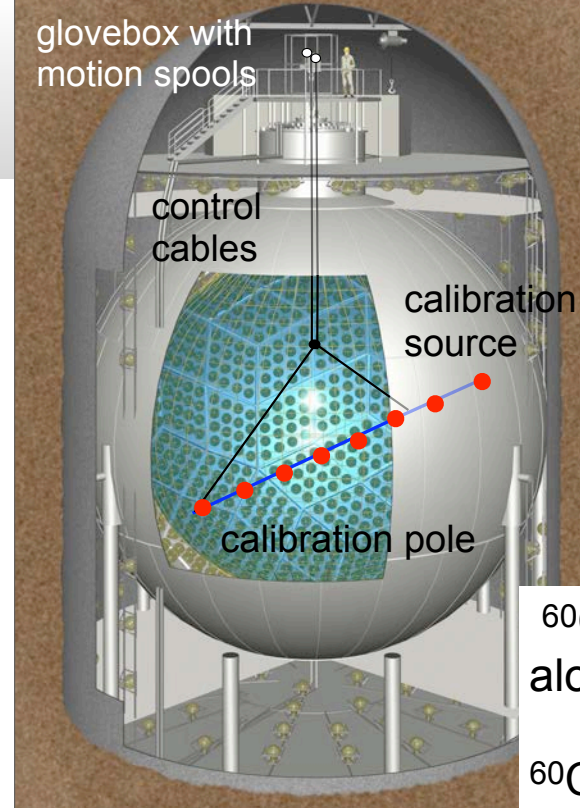
## Design Concept



## Calibration Data

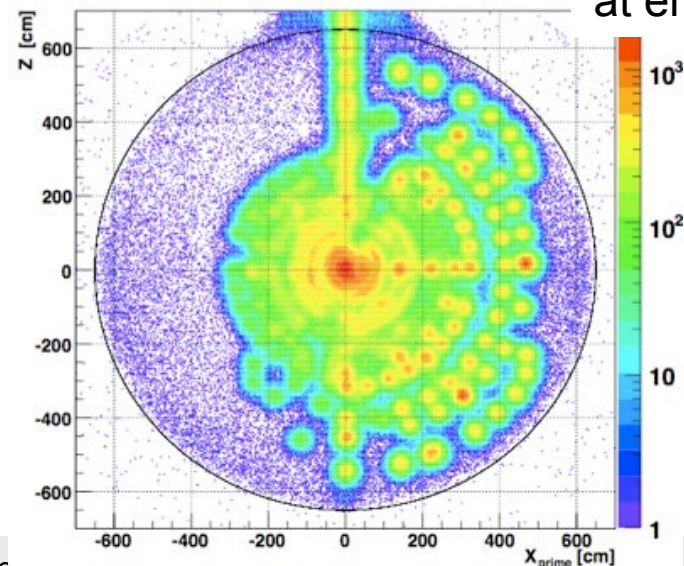


Vertex distribution of  $^{60}\text{Co}/^{68}\text{Ge}$  composite source in 4 $\pi$  calibration runs.



$^{60}\text{Co}$  sources along pole

$^{60}\text{Co}/^{68}\text{Ge}$  source at end

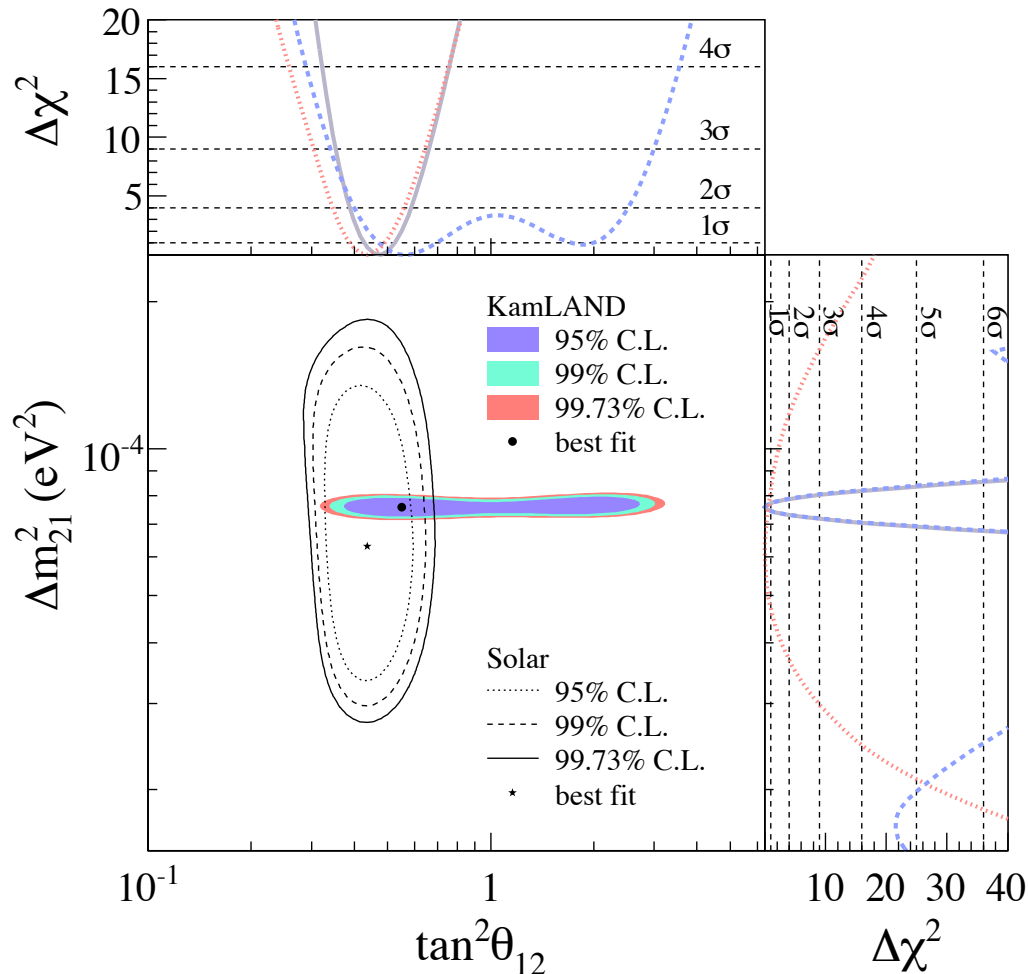




# Oscillation Parameters



## Rate-Shape-Time Analysis



KamLAND only

$$\tan^2\Theta = 0.56^{+0.14}_{-0.09}$$

$$\Delta m^2 = 7.58^{+0.21}_{-0.21} \times 10^{-5} \text{ eV}^2$$

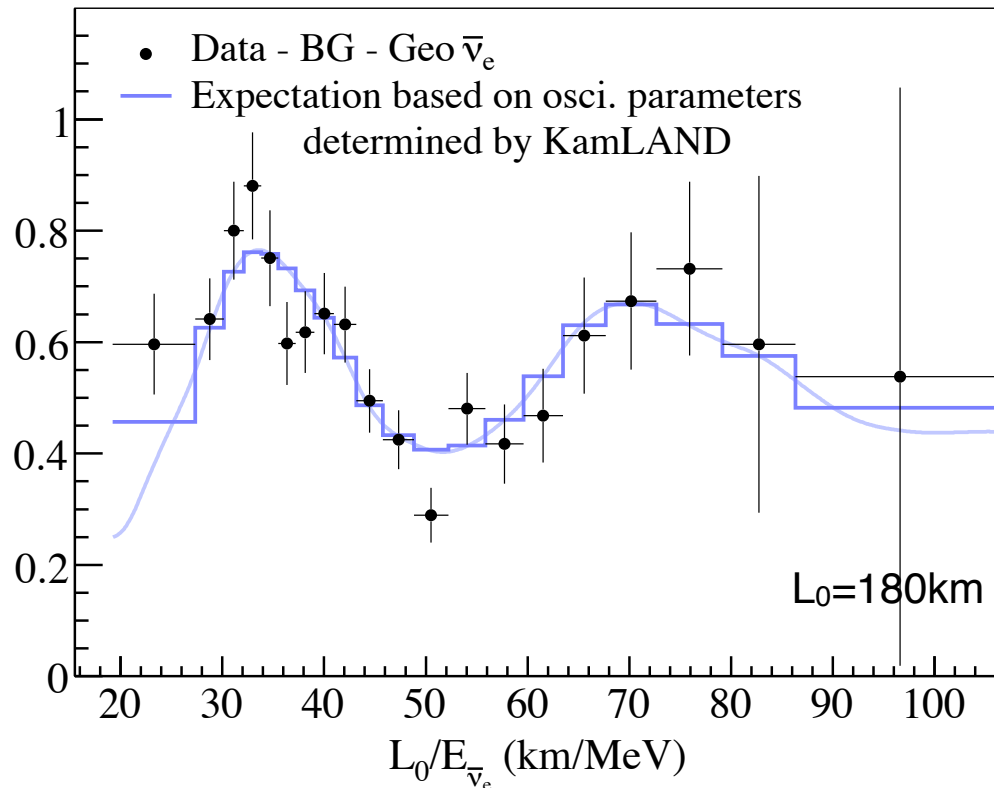
KamLAND+solar

(combined under assumption of CPT invariance)

$$\tan^2\Theta = 0.47^{+0.06}_{-0.05}$$

$$\Delta m^2 = 7.59^{+0.21}_{-0.21} \times 10^{-5} \text{ eV}^2$$

# KamLAND L/E Dependence



oscillation

$$P_{ee} = 1 - \sin^2 2\theta \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

decay

$$P_{ee} = \left(\cos^2 \theta + \sin^2 \theta \exp\left(-\frac{m_2^2 L}{2\tau E}\right)\right)^2_{\nu_i}$$

decoherence

$$P_{ee} = 1 - \frac{1}{2} \sin^2 2\theta (1 - \exp(-\gamma \frac{L}{E}))$$

**Solar neutrino problem solved!**

1970-1995 first identified by Ray Davis (missing solar  $\nu_e$ )

2002-2007 SNO observes neutrino flavor change, finds evidence for neutrino mass

2003-2008 KamLAND demonstrates  $\nu$  oscillation, precision measurement of  $\theta$ ,  $\Delta m^2$

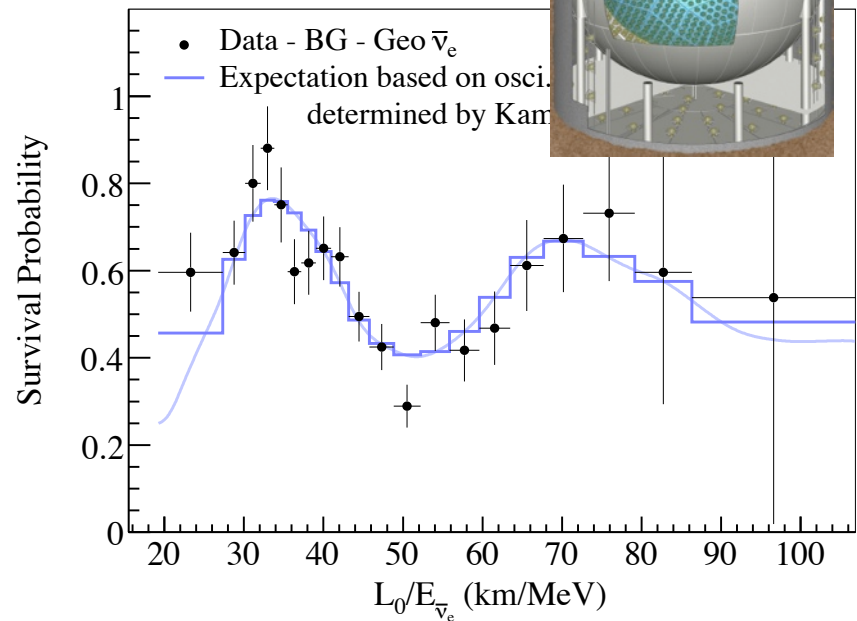
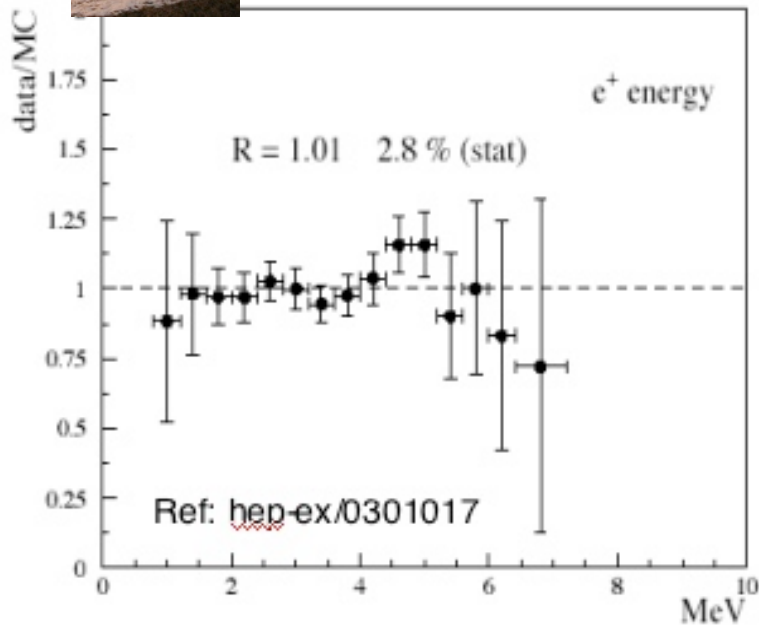
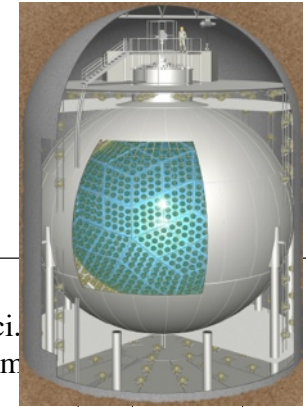
# Pathway Towards Discovery



baseline: 1 km  
size: 5 ton



180 km  
1000 ton

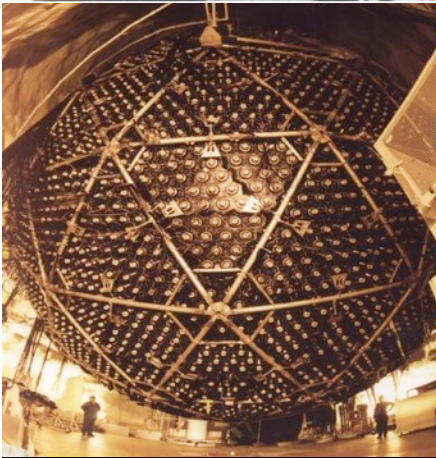


- Take big steps
- Don't always trust “theoretical” guidance
- A little bit of luck

# Neutrino Discoveries - A Success Story

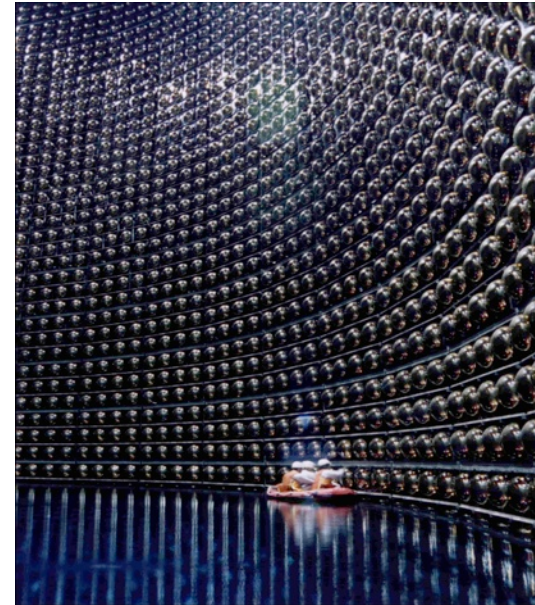


**1968** Ray Davis detects 1/3 of expected solar neutrinos.  
(Nobel prize in 2002)



**1998** SuperK reports evidence for oscillation of atmospheric neutrinos.

**2001/2002** SNO finds evidence for solar  $\nu_e$  flavor change.



**2003** KamLAND discovers disappearance of reactor  $\bar{\nu}_e$



# 55 years of liquid scintillator detectors

## A story of varying baselines...

**2008** - Precision measurement of  $\Delta m_{12}^2$ . Evidence for oscillation

**2003** - First observation of reactor antineutrino disappearance



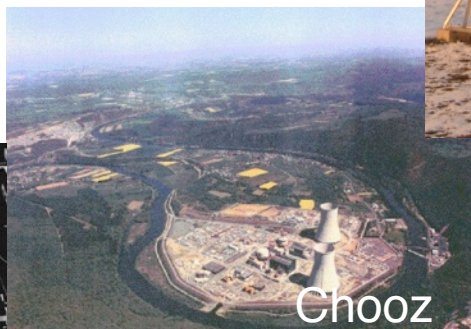
**1995** - Nobel Prize to Fred Reines at UC Irvine

**1980s & 1990s** - Reactor neutrino flux measurements in U.S. and Europe

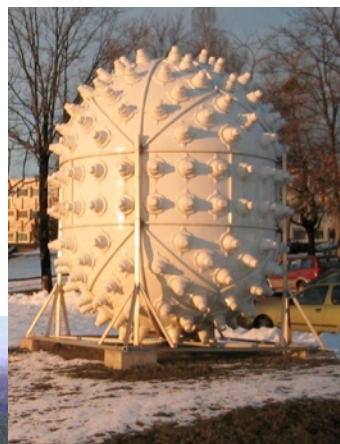
**1956** - First observation of (anti)neutrinos



Savannah River



Chooz



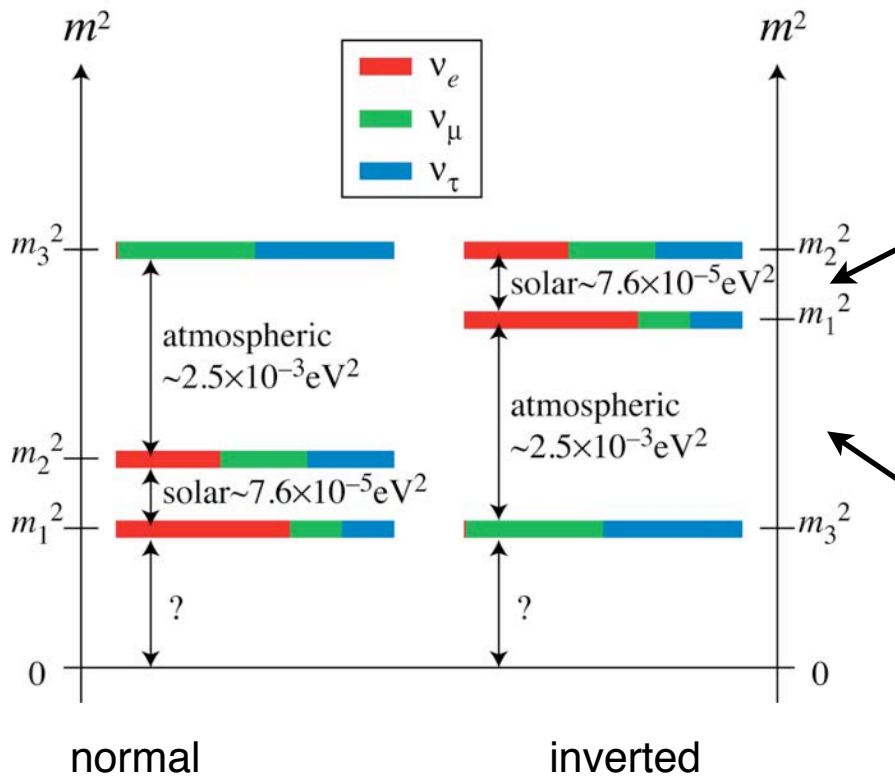
### Past Reactor Experiments

Hanford  
Savannah River  
ILL, France  
Bugey, France  
Rovno, Russia  
Goesgen, Switzerland  
Krasnoyarsk, Russia  
Palo Verde  
Chooz, France

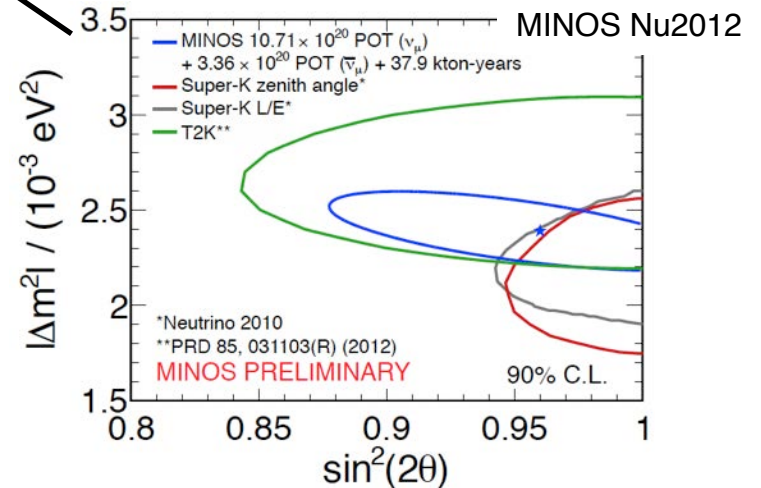
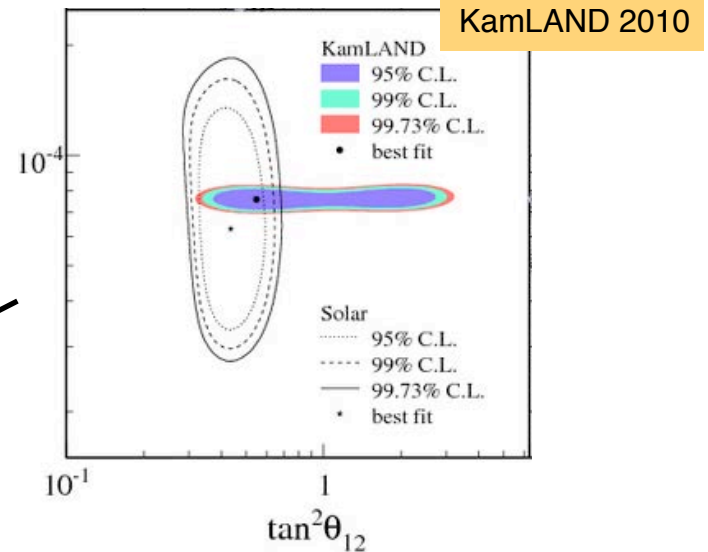


# Measurement of Fundamental Parameters

## Mass Splittings



KamLAND has measured  $\Delta m_{12}^2$  to  $\sim 2.8\%$



# Neutrino Oscillation

## Mixing Angles

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} 0.8 & 0.5 & U_{e3} \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix}$$

$U_{\text{MNSP}}$  Matrix

Maki, Nakagawa, Sakata, Pontecorvo

$$P_{i \rightarrow i} = \sin^2 2\theta \sin^2 \left( 1.27 \Delta m^2 \frac{L}{E} \right)$$

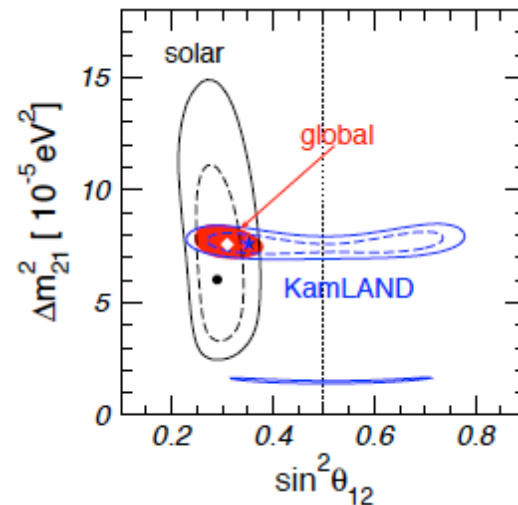
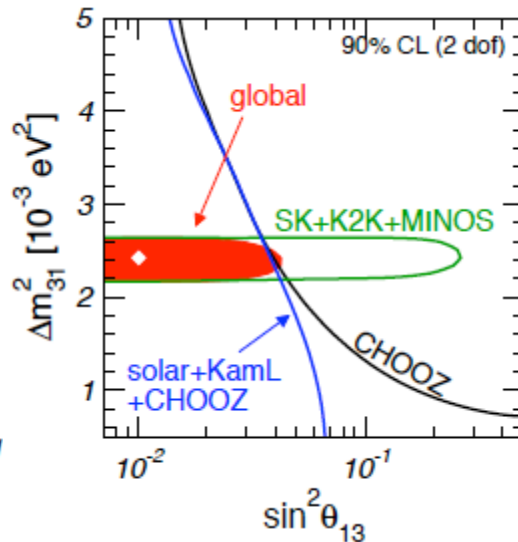
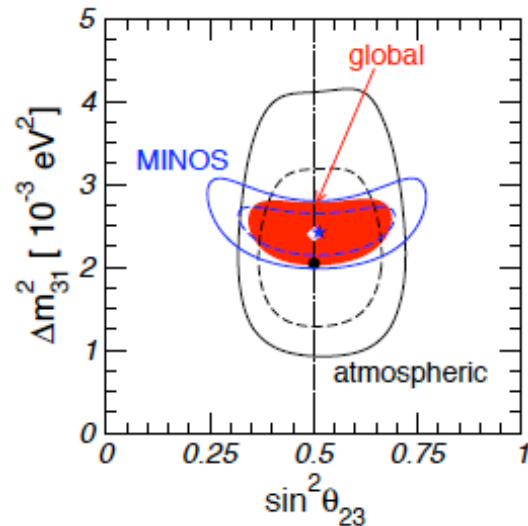
$$= \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}}_{\text{atmospheric, K2K}} \times \underbrace{\begin{pmatrix} \cos \theta_{13} & 0 & e^{-i\delta_{CP}} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{CP}} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix}}_{\text{reactor and accelerator}} \times \underbrace{\begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{SNO, solar SK, KamLAND}} \times \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha/2} & 0 \\ 0 & 0 & e^{i\alpha/2+i\beta} \end{pmatrix}}_{0\nu\beta\beta}$$

atmospheric, K2K

reactor and accelerator

SNO, solar SK, KamLAND

$0\nu\beta\beta$



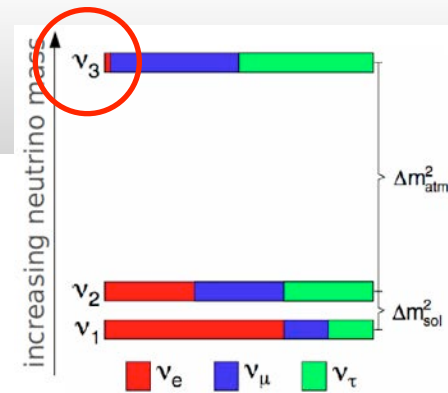
Schwetz et al  
arXiv:0808.2016  
updated as of 2010

# Neutrino Oscillation - Before 2011

## Mixing Angles

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} 0.8 & 0.5 & U_{e3} \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix} \quad \mathbf{U_{MNSP} \text{ Matrix}}$$

Maki, Nakagawa, Sakata, Pontecorvo



$$= \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}}_{\text{atmospheric, K2K}} \times \underbrace{\begin{pmatrix} \cos \theta_{13} & 0 & e^{-i\delta_{CP}} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{CP}} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix}}_{\text{reactor and accelerator}} \times \underbrace{\begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{SNO, solar SK, KamLAND}} \times \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha/2} & 0 \\ 0 & 0 & e^{i\alpha/2+i\beta} \end{pmatrix}}_{0\nu\beta\beta}$$

atmospheric, K2K

reactor and accelerator

SNO, solar SK, KamLAND

$0\nu\beta\beta$

$$\sin^2 \theta_{23}$$

$$\sin^2 \theta_{13}$$

$$\sin^2 \theta_{12}$$

$$0.50^{+0.07}_{-0.06}$$

small? zero?

$$0.318^{+0.019}_{-0.016}$$

maximal?

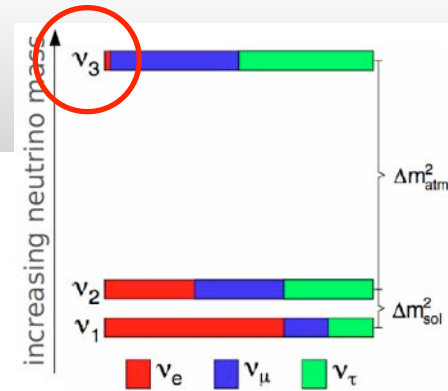
large, but not maximal!

# Neutrino Oscillation - Before 2011

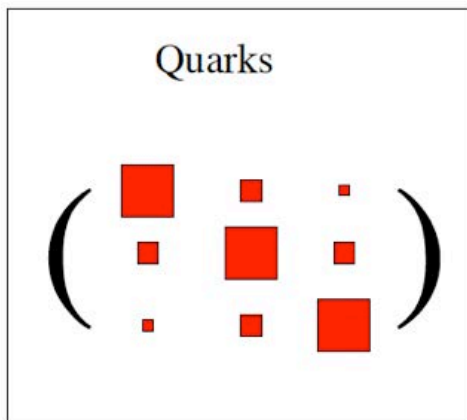
## Mixing Angles

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} 0.8 & 0.5 & U_{e3} \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix} \quad \mathbf{U_{MNSP} \text{ Matrix}}$$

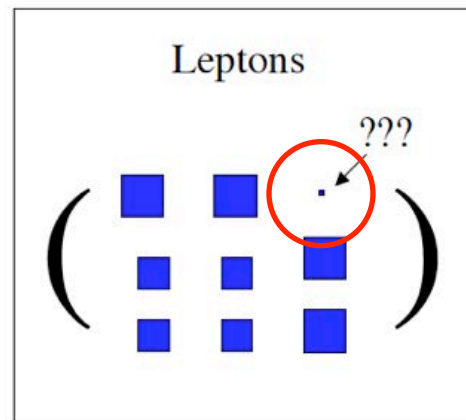
Maki, Nakagawa, Sakata, Pontecorvo



$$= \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix}}_{\text{atmospheric, K2K}} \times \underbrace{\begin{pmatrix} \cos\theta_{13} & 0 & e^{-i\delta_{CP}} \sin\theta_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{CP}} \sin\theta_{13} & 0 & \cos\theta_{13} \end{pmatrix}}_{\text{reactor and accelerator}} \times \underbrace{\begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{SNO, solar SK, KamLAND}} \times \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha/2} & 0 \\ 0 & 0 & e^{i\alpha/2+i\beta} \end{pmatrix}}_{0\nu\beta\beta}$$



vs.



Tell me  $\theta_{13}$ !

Sheldon Lee  
Glashow

14 May 2003

「教えてください、 $\theta_{13}$ を！」  
シェルドン・リー・グラショウ

2003年5月14日

グラショウ氏は物理学特別講演のため夫人と共に来仙。吉本高志東北大学総長と会見後、ニュートリノ科学研究センターを訪問され、ニュートリノ研究の新たな成果を折念して記された。

14 May 2003  
S. Glashow



