

# THEORY OF NEUTRINO MASSES AND MIXING

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# Topic of these Lectures

Models to explain Neutrino Masses and Mixing, considering different classes of symmetries and contexts...

...with a phenomenological perspective: no too many formal details...

...such that, at the end of the lectures, you will have good bases to understand papers and talks on this subject...

...and to get the tools to work on this topic, I will provide a series of exercises (*write at [luca.merlo@ph.tum.de](mailto:luca.merlo@ph.tum.de) to get the solutions*)

# Outline

- The Flavour Puzzle: quarks vs. leptons
- The Flavour Symmetries: which and where?
- Flavour Models at the GUT Scale
  - Continuous Symmetries: the Froggatt-Nielsen  $U(1)$
  - Discrete Symmetries: the Altarelli-Feruglio  $A_4$
- Minimal (Lepton) Flavour Violation
- Flavour Models at the Electroweak Scale
  - Multi-Higgs Models: The Ma-Rajasekaran  $A_4$

# The Flavour Puzzle: quarks vs. leptons

# Notation

I will use Weil spinors instead of Dirac spinors:

$$\Psi = \Psi_L + \Psi_R \quad \Psi_L \equiv \begin{pmatrix} 0 \\ \psi \end{pmatrix} \quad \Psi_R \equiv \begin{pmatrix} i\sigma_2 \psi^{c*} \\ 0 \end{pmatrix}$$

$$\left( \text{mass term: } \bar{\Psi}_1 \Psi_2 = \psi_1^c \psi_2 + (\psi_1 \psi_2^c)^* \right)$$

where the two spinors can transform independently under the Lorentz and SM gauge groups

→ Weil spinors are fundamental objects

The SM spectrum consists of three copies of the following fields:

	$\ell$	$e^c$	$q$	$u^c$	$d^c$	$H$
$SU(3)_c$	<b>1</b>	<b>1</b>	<b>3</b>	$\bar{\mathbf{3}}$	$\bar{\mathbf{3}}$	<b>1</b>
$SU(2)_L$	<b>2</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>1</b>	<b>2</b>
$U(1)_Y$	-1/2	+1	+1/6	-2/3	+1/3	+1/2

$\mathcal{G}_{SM}$  {

# The SM Lagrangian

The most general renormalisable Lagrangian invariant under Lorentz and  $\mathcal{G}_{SM}$  :

$$\mathcal{L}_{SM} = \mathcal{L}_K + \mathcal{L}_Y + V$$

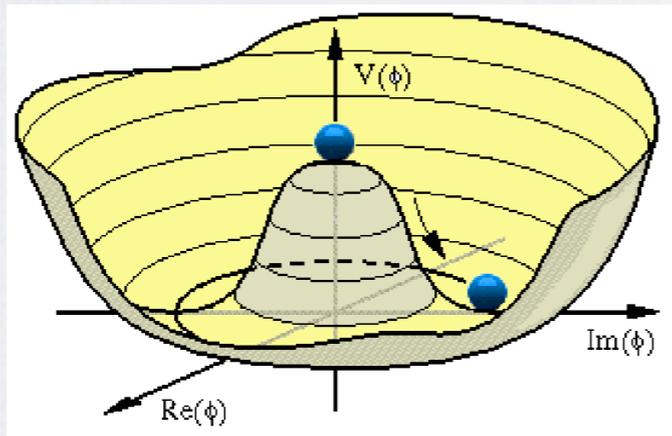
$$\mathcal{L}_K \supset i\ell^\dagger \sigma^\mu D_\mu \ell + ie^{c\dagger} \sigma^\mu D_\mu e^c + iq^\dagger \sigma^\mu D_\mu q + iu^{c\dagger} \sigma^\mu D_\mu u^c + id^{c\dagger} \sigma^\mu D_\mu d^c$$

$$\longrightarrow \mathcal{L}_{cc} = -\frac{g}{\sqrt{2}} W_\mu^- (e^\dagger \sigma^\mu \nu + d^\dagger \sigma^\mu u)$$

$$\mathcal{L}_Y = (Y_e)_{ij} e_i^c H^\dagger \ell_j + (Y_d)_{ij} d_i^c H^\dagger q_j + (Y_u)_{ij} u_i^c \tilde{H}^\dagger q_j + \text{h.c.}$$

$$\tilde{H} \equiv i\sigma^2 H^*$$

$V$   $\longrightarrow$



$$\langle H \rangle \equiv \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix}$$

$$\longrightarrow M_f = Y_f \frac{v}{\sqrt{2}} \quad f = e, u, d$$

# Quarks

Going to the mass basis: bi-unitary transformations on the fields

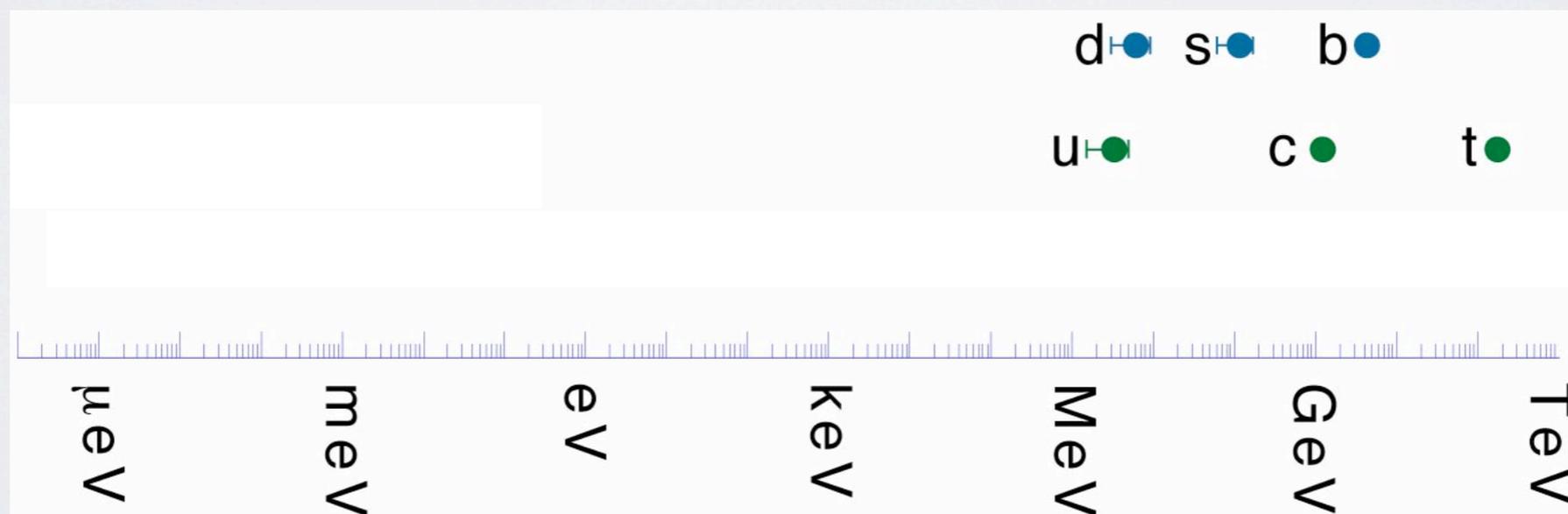
$$V_{uc}^\dagger M_u V_u = \text{diag}(m_u, m_c, m_t)$$

$$V_{dc}^\dagger M_d V_d = \text{diag}(m_d, m_s, m_b)$$

$$\begin{cases} u' \equiv V_u^\dagger u \\ u^{c'} \equiv V_{uc}^\dagger u^c \end{cases}$$

$$\begin{cases} d' \equiv V_d^\dagger d \\ d^{c'} \equiv V_{dc}^\dagger d^c \end{cases}$$

→  $\mathcal{L}_Y \supset M_{d_i} d_i^{c'} d'_i + M_{u_i} u_i^{c'} u'_i + \text{h.c.}$



# The CKM Matrix

These transformations affect the CC-Lagrangian:

$$\mathcal{L}_{cc} \supset -\frac{g}{\sqrt{2}} W_{\mu}^{-} d^{\dagger} \sigma^{\mu} u = -\frac{g}{\sqrt{2}} W_{\mu}^{-} d'^{\dagger} \sigma^{\mu} V_d^{\dagger} V_u u'$$

$$V \equiv V_u^{\dagger} V_d$$

**Cabibbo Kobayashi Maskawa**

Removing all the non-physical degrees of freedom:

$$V = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$V = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} \quad \text{Wolfenstein parametrisation}$$

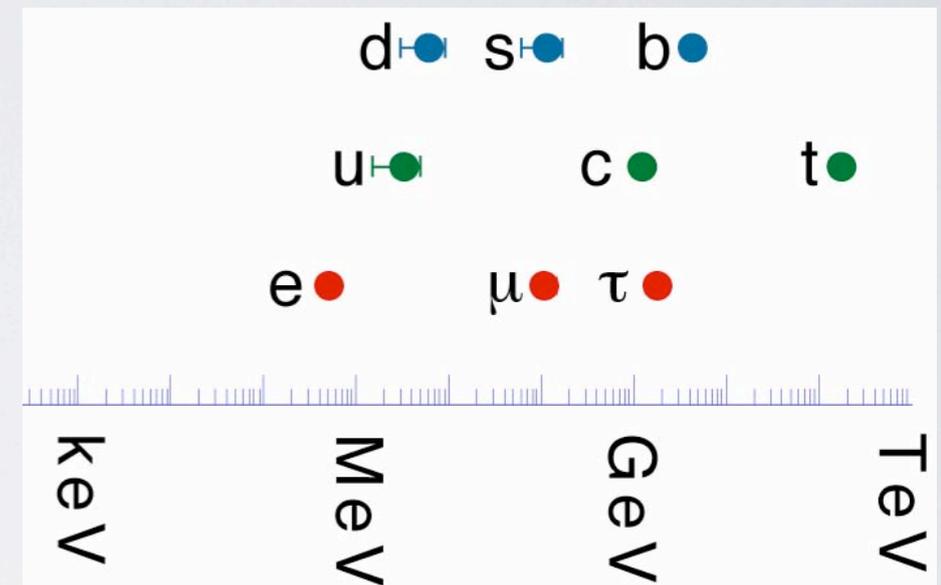
$$\lambda \approx 0.23 \quad A \approx 0.81 \quad \rho \left(1 - \frac{\lambda^2}{2}\right) \approx 0.13 \quad \eta \left(1 - \frac{\lambda^2}{2}\right) \approx 0.35$$

# Leptons

For the charged leptons, the procedure is similar:

$$U_{e^c}^\dagger M_e U_e = \text{diag}(m_e, m_\mu, m_\tau) \quad \begin{cases} e' \equiv U_e^\dagger e \\ e^{c'} \equiv U_{e^c}^\dagger e^c \end{cases}$$

$$\longrightarrow \mathcal{L}_Y \supset M_{e_i} e_i^{c'} e'_i$$



But a mass term for neutrinos is forbidden in the SM:

**How to extend the SM to accommodate neutrino masses?**

There are two possibilities (without giving up gauge invariance):

1. Modify the particle content
2. Abandon the renormalisability and adopt an effective description

# 1. Modify the SM spectrum

Mirror the charged fermion sectors: introduce three copies of right-handed neutrinos, that are singlets under  $\mathcal{G}_{SM} \longrightarrow \nu^c \sim (1, 1, 0)$

Asking for L conservation, the Yukawa Lagrangian gets a new piece:

$$\mathcal{L}_Y = (Y_e)_{ij} e_i^c H^\dagger \ell_j + (Y_d)_{ij} d_i^c H^\dagger q_j + (Y_u)_{ij} u_i^c \tilde{H}^\dagger q_j + (Y_\nu)_{ij} \nu_i^c \tilde{H}^\dagger \ell_j + \text{h.c.}$$

$$\longrightarrow M_\nu = Y_\nu \frac{v}{\sqrt{2}}$$

Going to the mass basis:

$$U_{\nu^c}^\dagger M_\nu U_\nu = \text{diag}(m_{\nu_1}, m_{\nu_2}, m_{\nu_3}) \quad \begin{cases} \nu' \equiv U_\nu^\dagger \nu \\ \nu^{c'} \equiv U_{\nu^c}^\dagger \nu^c \end{cases}$$

$$\longrightarrow \mathcal{L}_Y \supset M_{\nu_i} \nu_i^{c'} \nu'_i$$

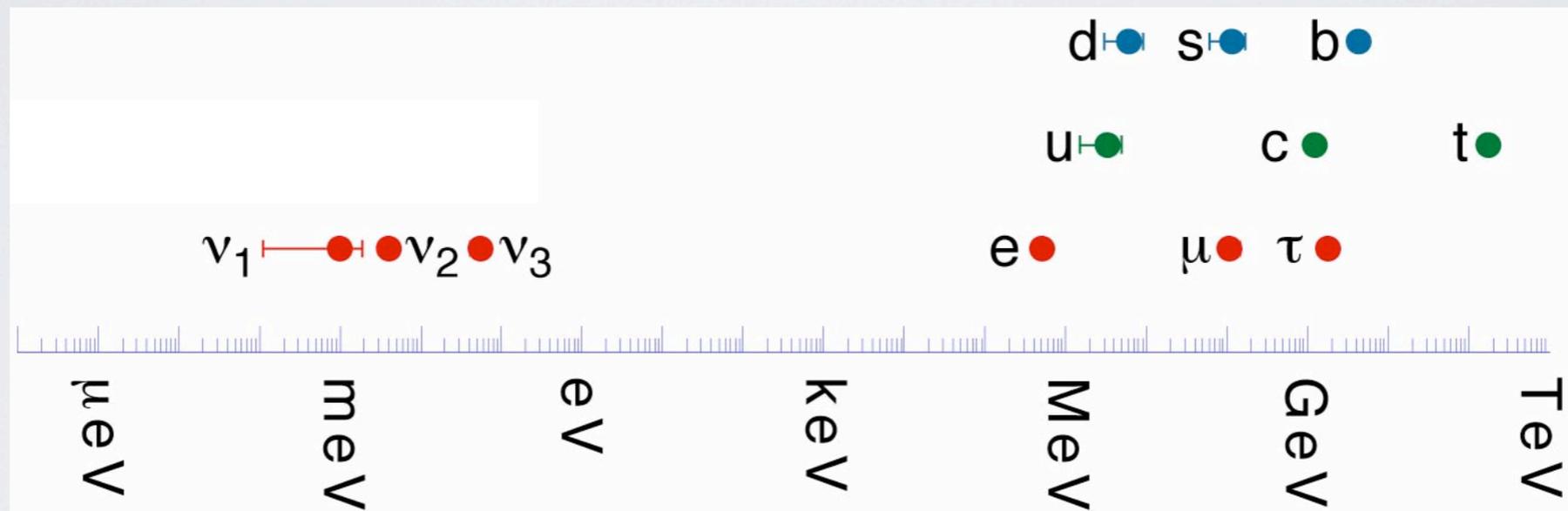
$$\longrightarrow \mathcal{L}_{cc} \supset -\frac{g}{\sqrt{2}} W_\mu^- e^\dagger \sigma^\mu \nu = -\frac{g}{\sqrt{2}} W_\mu^- e'^\dagger \sigma^\mu U_e^\dagger U_\nu \nu'$$

$$U = U_e^\dagger U_\nu$$

**Pontecorvo Maki Nakagawa Sakata**

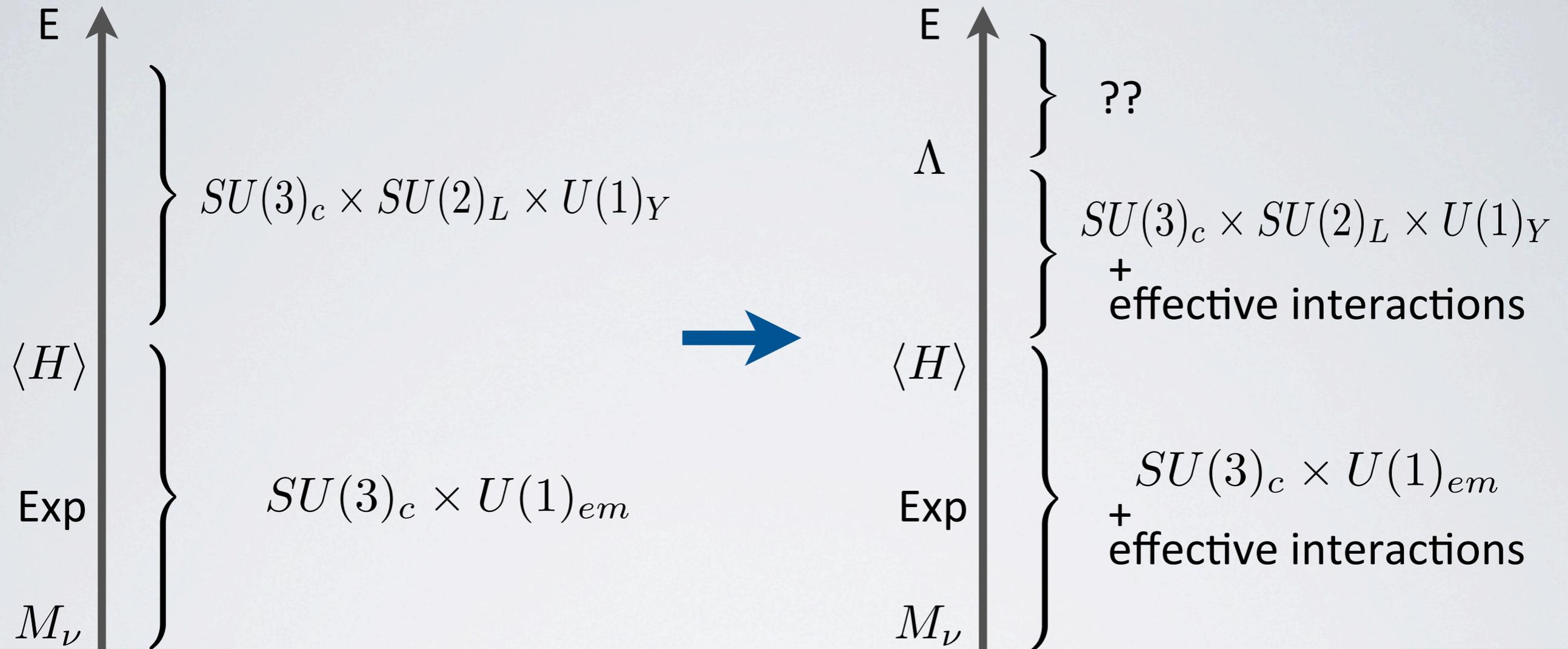
with 3 mixing angles and 1 phase, like the CKM matrix

If neutrinos are so similar to the other fermions, why are so light?



$$\frac{m_\nu}{m_t} \lesssim 10^{-12}$$

# 2. Effective Description



The theory is valid at all the energies. However, we expect a cut-off at the Planck scale to account for the gravitational interactions.

$$\mathcal{L}_{eff} = \mathcal{L}_{d \leq 4}^{SM} + \frac{\mathcal{L}_5}{\Lambda} + \frac{\mathcal{L}_6}{\Lambda^2} + \dots$$

The new operators in the  $d>4$  Lagrangian contribute to amplitudes for physical processes with terms of the type

$$\frac{\mathcal{L}_5}{\Lambda} \rightarrow \frac{E}{\Lambda} \qquad \frac{\mathcal{L}_6}{\Lambda} \rightarrow \frac{E^2}{\Lambda^2}$$

When  $E \ll \Lambda$  the effects of the  $d>4$  Lagrangian are tiny: indeed, the non-renormalisable effects are of the order

$$\left. \begin{array}{l} E \approx 10^2 \text{ GeV} \\ \Lambda \approx 10^{15} \text{ GeV} \end{array} \right\} \frac{E}{\Lambda} \approx 10^{-13}$$



- The theory is not valid at all the energies, but has a cut-off
- New heavy physics could explain the lightness of neutrinos

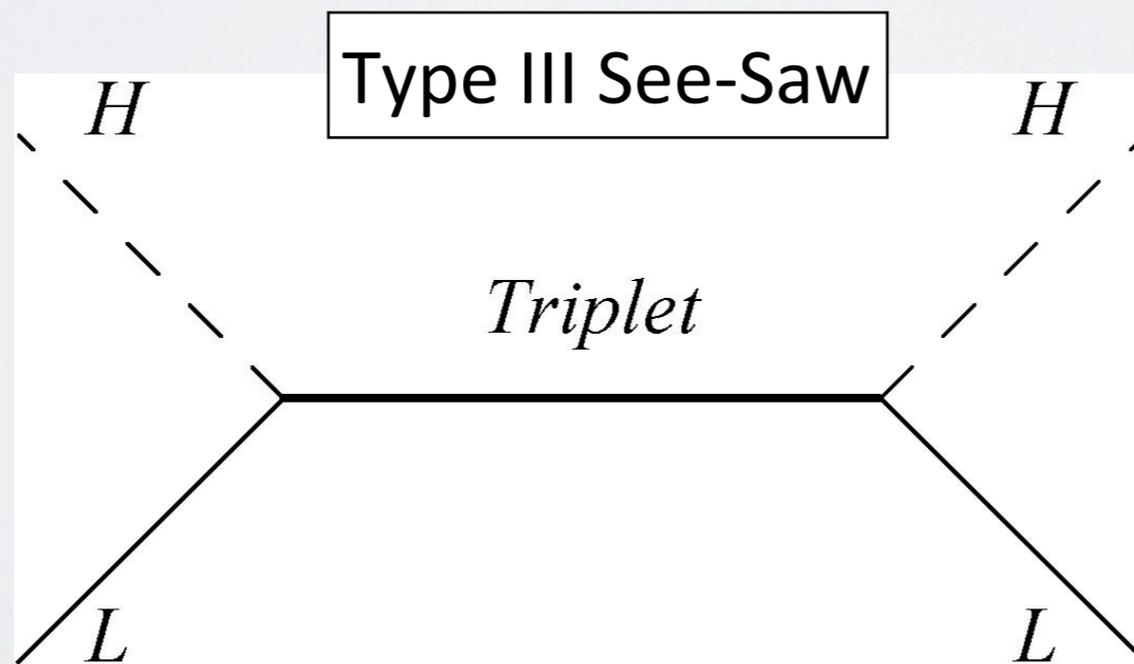
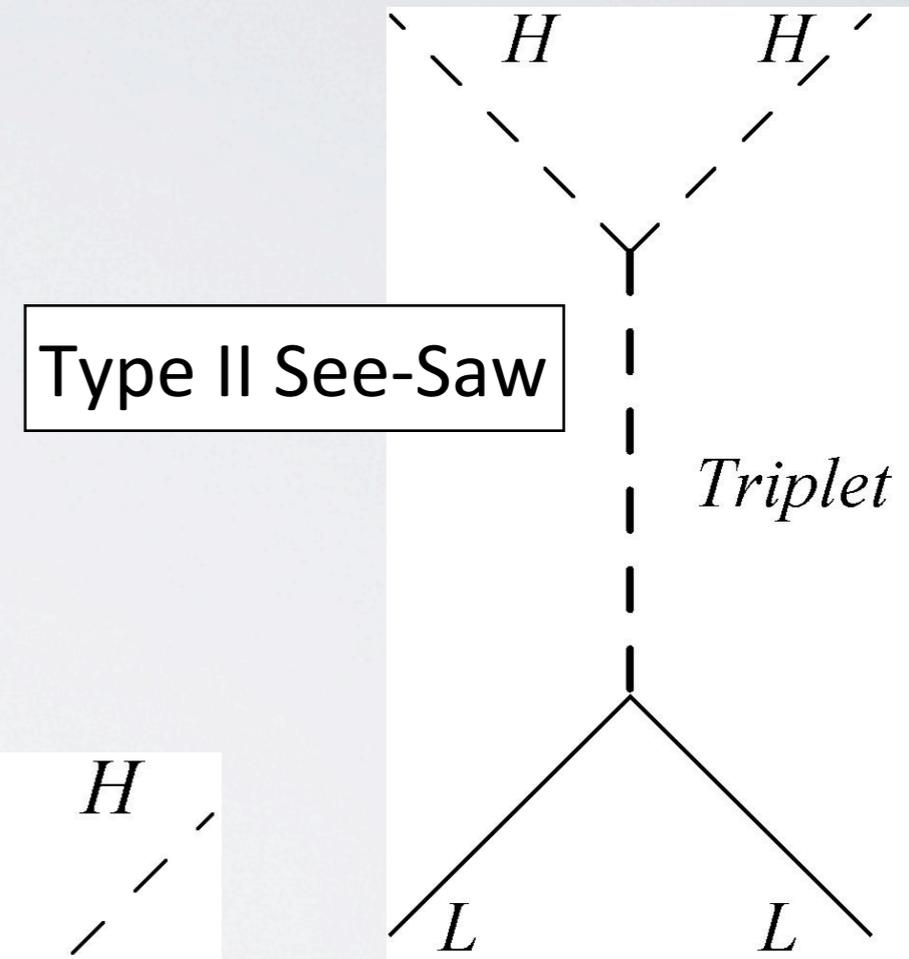
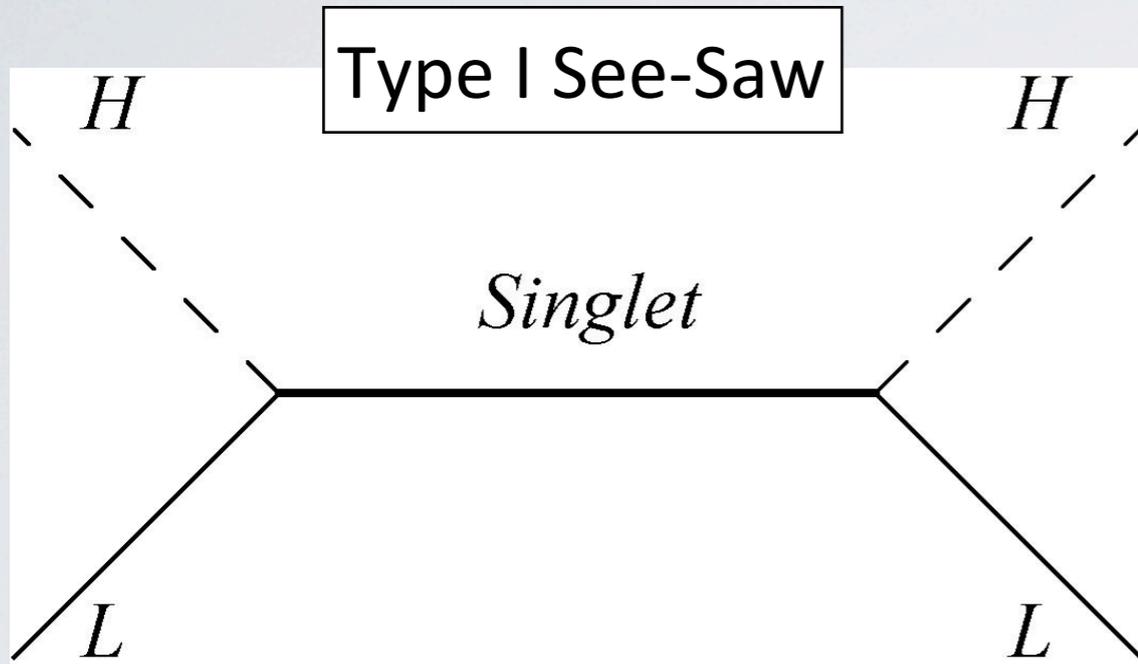
There is only one  $d=5$  operator invariant under  $\mathcal{G}_{SM}$ : Weinberg Op.

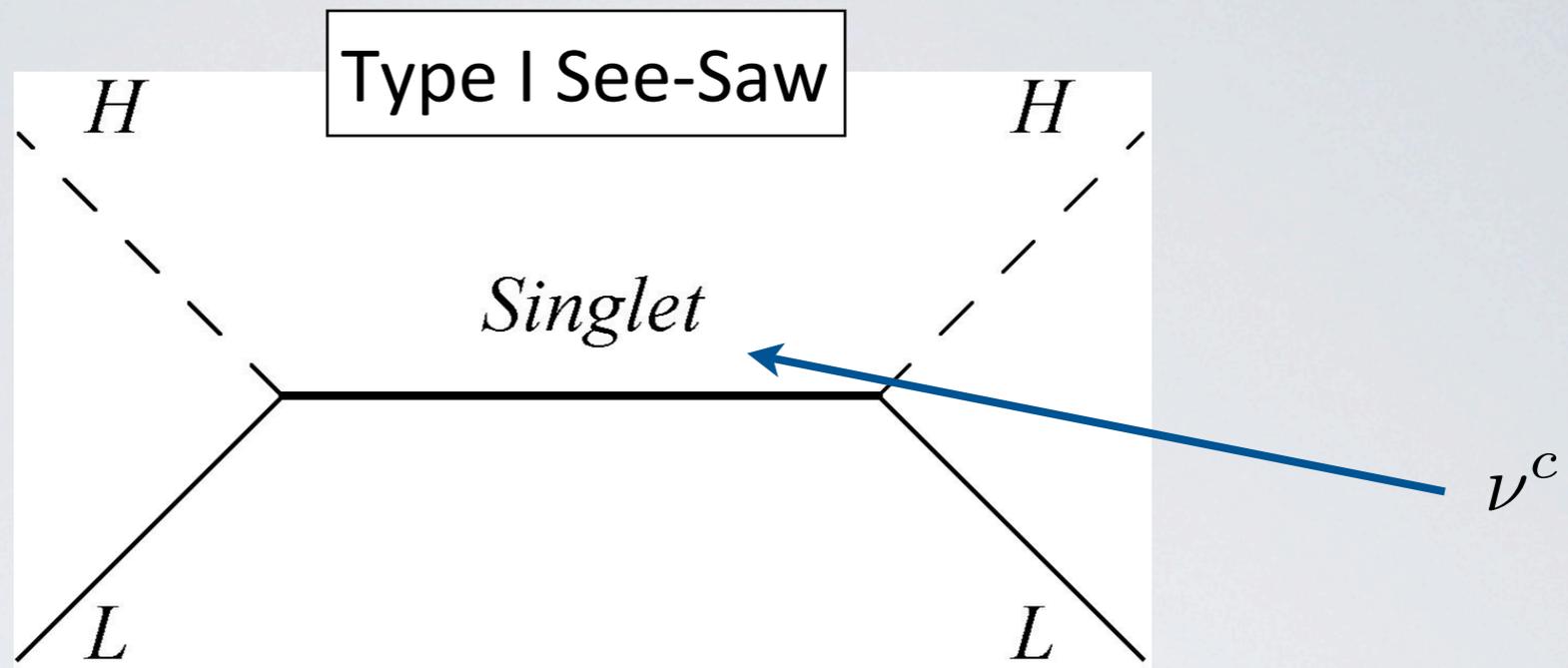
$$\frac{\mathcal{L}_5}{\Lambda} = (Y_\nu)_{ij} \frac{(\tilde{H}^\dagger \ell_i)(\tilde{H}^\dagger \ell_j)}{\Lambda} \rightarrow \frac{v}{2} \frac{v}{\Lambda} (Y_\nu)_{ij} \nu_i \nu_j$$

This operator violates L of two units!

$$\frac{\mathcal{L}_5}{\Lambda} = (Y_\nu)_{ij} \frac{(\tilde{H}^\dagger \ell_i)(\tilde{H}^\dagger \ell_j)}{\Lambda} \rightarrow \frac{v}{2} \frac{v}{\Lambda} (Y_\nu)_{ij} \nu_i \nu_j$$

It can arise from several extensions of the SM (see lecture by S.Petcov)





$$\mathcal{L}_Y \supset \frac{1}{2} (M_{\nu^c})_{ij} \nu_i^c \nu_j^c + (Y_\nu)_{ij} \nu_i^c \tilde{H}^\dagger \ell_j + \text{h.c.}$$

$$\longrightarrow M_{(\nu, \nu^c)} = \begin{pmatrix} 0 & Y_\nu^T v / \sqrt{2} \\ Y_\nu v / \sqrt{2} & M_{\nu^c} \end{pmatrix}$$

Assuming  $M_{\nu^c} \gg v$  and integrating out the fields  $\nu^c$ :

$$\mathcal{L}_Y \supset -\frac{1}{2} (Y_\nu^T M_{\nu^c}^{-1} Y_\nu)_{ij} \left( \tilde{H}^\dagger \ell_i \right) \left( \tilde{H}^\dagger \ell_j \right) + \text{h.c.} + \dots$$

This reproduces  $\mathcal{L}_5$  with  $\Lambda \leftrightarrow M_{\nu^c}$  and the light neutrino mass matrix is:

$$M_\nu = -Y_\nu^T M_{\nu^c}^{-1} Y_\nu \frac{v^2}{2}$$

$$\frac{\mathcal{L}_5}{\Lambda} = (Y_\nu)_{ij} \frac{(\tilde{H}^\dagger \ell_i)(\tilde{H}^\dagger \ell_j)}{\Lambda} \rightarrow \frac{v}{2} \frac{v}{\Lambda} (Y_\nu)_{ij} \nu_i \nu_j$$

It provides an explanation for the smallness of the neutrino masses, once the new physics enters at  $\Lambda \approx 10^{15}$  GeV

$$\longrightarrow M_\nu = Y_\nu \frac{v}{\Lambda} v$$

Going to the mass basis:

$$U_\nu^T M_\nu U_\nu = \text{diag}(m_{\nu_1}, m_{\nu_2}, m_{\nu_3}) \quad \nu' \equiv U_\nu^\dagger \nu$$

$$\longrightarrow \mathcal{L}_Y \supset \frac{1}{2} M_{\nu_i} \nu'_i \nu'_i$$

$$\longrightarrow \mathcal{L}_{cc} \supset -\frac{g}{\sqrt{2}} W_\mu^- e^\dagger \sigma^\mu \nu = -\frac{g}{\sqrt{2}} W_\mu^- e'^\dagger \sigma^\mu U_e^\dagger U_\nu \nu'$$

$$U = U_e^\dagger U_\nu$$

**Pontecorvo Maki Nakagawa Sakata**

with 3 mixing angles and **3 phases**, differently with respect to the CKM matrix: indeed the neutrino Majorana mass term prevents to absorb 2 phases

# The PMNS Matrix

$$U = U_e^\dagger U_\nu$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \mathcal{P}$$

$$0 \leq \theta_{12}, \theta_{23}, \theta_{13} \leq \frac{\pi}{2} \quad 0 \leq \delta < 2\pi$$

where the matrix  $\mathcal{P}$  contains the physical Majorana phases:

$$\mathcal{P} = \begin{pmatrix} 1 & & \\ & e^{i\alpha} & \\ & & e^{i\beta} \end{pmatrix}$$

$$0 \leq \alpha, \beta \leq \pi$$

# What we know about Oscillations

Fogli *et al.* 1205.5254

$$\Delta m_{\text{sol}}^2 \equiv m_{\nu_2}^2 - m_{\nu_1}^2 = (7.54^{+0.26}_{-0.22}) \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{\text{atm}}^2 \equiv |m_{\nu_3}^2 - m_{\nu_1}^2| = (2.43^{+0.06}_{-0.10}) [2.42^{+0.07}_{-0.11}] \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{12} = 0.307^{+0.018}_{-0.016}$$

$$\sin^2 \theta_{23} = 0.386^{+0.024}_{-0.021} [0.392^{+0.039}_{-0.020}]$$

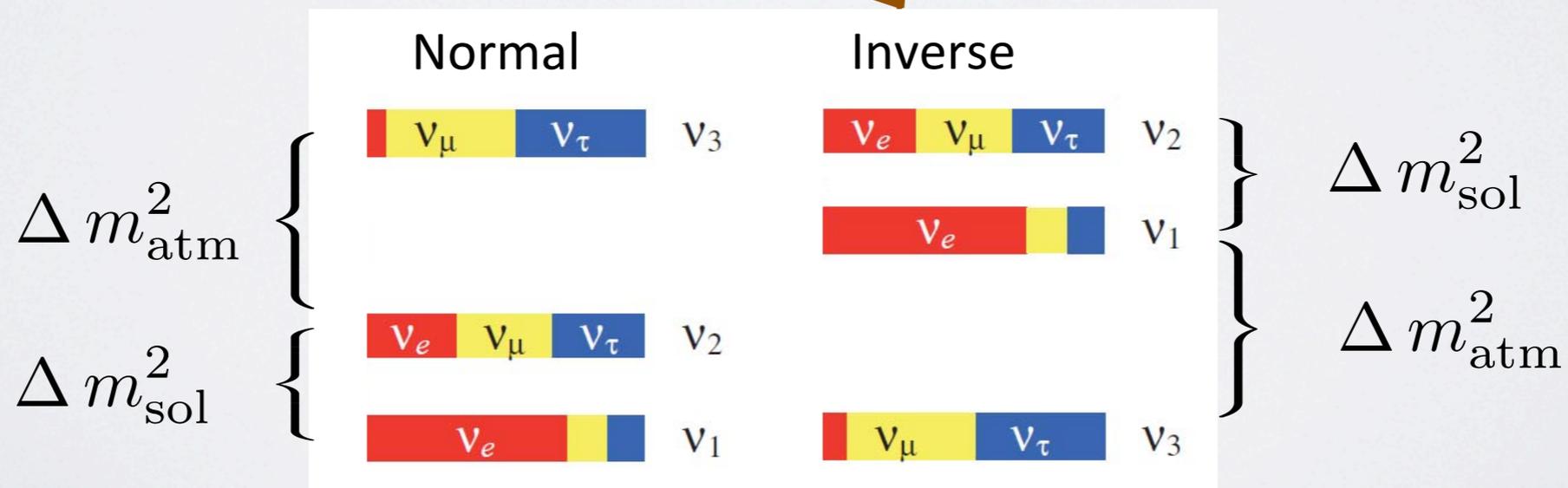
$$\sin^2 \theta_{13} = 0.0241 \pm 0.0025 [0.0244^{+0.0023}_{-0.0025}]$$

$$\delta = \pi (1.08^{+0.28}_{-0.31}) [1.09^{+0.38}_{-0.26}]$$

solar

atmospheric

reactor



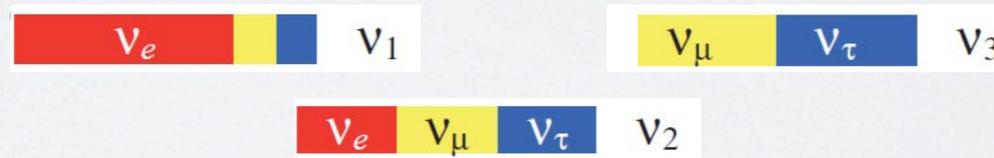
# First Approximation Patterns

$$V = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} \rightarrow V \approx \begin{pmatrix} 1 & \lambda & \lambda^{3\div 4} \\ \lambda & 1 & \lambda^2 \\ \lambda^{3\div 4} & \lambda^2 & 1 \end{pmatrix}$$

$$|U| \approx \begin{pmatrix} 0.82 & 0.55 & 0.16 \\ 0.36 & 0.70 & 0.61 \\ 0.44 & 0.45 & 0.77 \end{pmatrix} \rightarrow ??$$

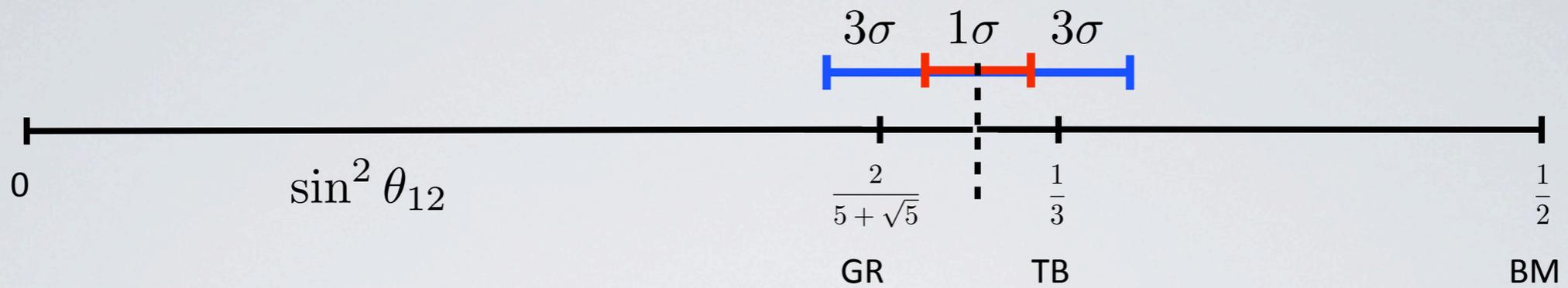
Trimaximal

Bimaximal



$$\rightarrow U_{TB} = \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & +1/\sqrt{2} \end{pmatrix}$$

**Tri-Bimaximal (TB) Pattern**



**TRI-BIMAXIMAL (TB)** [Harrison, Perkins & Scott 2002; Xing 2002]

$$\sin^2 \theta_{23} = \frac{1}{2} \quad \sin^2 \theta_{13} = 0 \quad \sin^2 \theta_{12} = \frac{1}{3} \quad \longrightarrow \quad \theta_{12} = 35.26^\circ$$

**GOLDEN RATIO (GR)** [Kajiyama, Raidal & Strumia 2007]

$$\sin^2 \theta_{23} = \frac{1}{2} \quad \sin^2 \theta_{13} = 0 \quad \tan \theta_{12} = \frac{1}{\phi} \quad \longrightarrow \quad \theta_{12} = 31.72^\circ$$

$$\phi \equiv \frac{1 + \sqrt{5}}{2}$$

**BIMAXIMAL (BM)** [Vissani 1997; Barger *et al.* 1998]

$$\sin^2 \theta_{23} = \frac{1}{2} \quad \sin^2 \theta_{13} = 0 \quad \sin^2 \theta_{12} = \frac{1}{2} \quad \longrightarrow \quad \theta_{12} = 45^\circ$$

Maybe related to the

**Quark-Lepton Complementarity:**

[Smirnov; Raidal; Minakata & Smirnov 2004]

$$\pi/4 \approx \theta_{12} + \lambda$$

$$\longrightarrow \quad \theta_{12}^{Exp} \approx \theta_{12}^{BM} - \lambda$$

[Altarelli *et al.* 2009,  
Adelhart *et al.* 2010,  
Meloni 2011]

# Symmetries of the TB Pattern

$$U_{TB} = \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & +1/\sqrt{2} \end{pmatrix}$$

The most general neutrino mass matrix that corresponds to the TB pattern is:

$$M_\nu^{TB} = U_{TB} \text{diag}(m_{\nu_1}, m_{\nu_2}, m_{\nu_3}) U_{TB}^T = \begin{pmatrix} x & y & y \\ y & z & x + y - z \\ y & x + y - z & z \end{pmatrix}$$

This mass matrix has two symmetries:

magic symmetry

$$S^T M_\nu^{TB} S = M_\nu^{TB}$$

$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$$

$$(M_\nu^{TB})_{23} = (M_\nu^{TB})_{11} + (M_\nu^{TB})_{12} - (M_\nu^{TB})_{22}$$

mu-tau symmetry

$$A_{23}^T M_\nu^{TB} A_{23} = M_\nu^{TB}$$

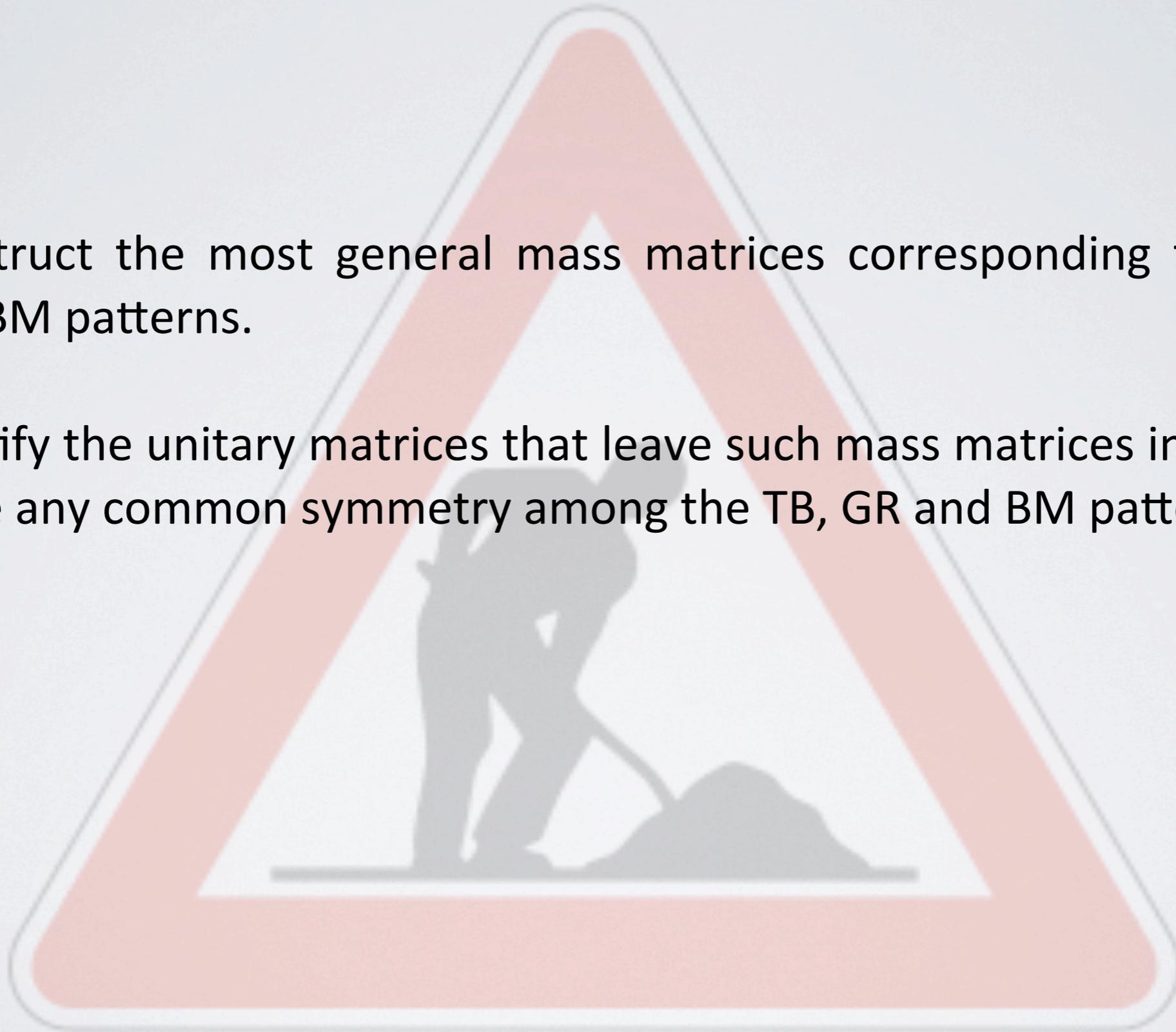
$$A_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$(M_\nu^{TB})_{12} = (M_\nu^{TB})_{13}$$

$$(M_\nu^{TB})_{22} = (M_\nu^{TB})_{33}$$

# Exercises 1 & 2

- Construct the most general mass matrices corresponding to the GR and BM patterns.
- Identify the unitary matrices that leave such mass matrices invariant. Is there any common symmetry among the TB, GR and BM patterns?



# The Flavour Symmetries: which and where?

# Strategy

## Charged Fermions

large hierarchies  
relatively large masses  
small angles

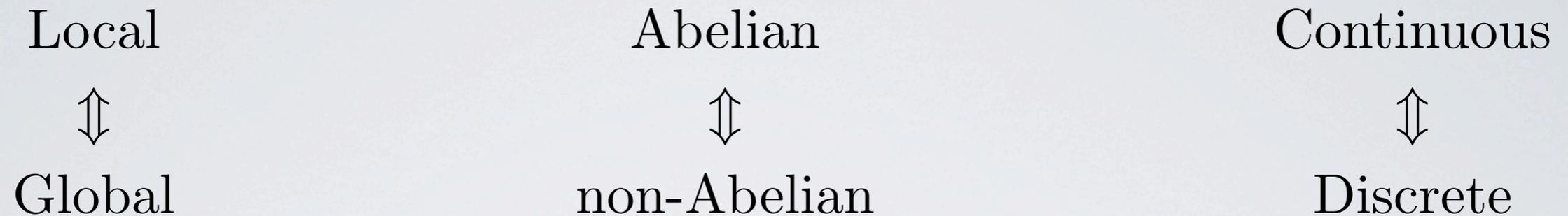
## Neutrinos

undetermined spectrum  
relatively small masses  
(two) large angles

- Specific flavour structures (i.e. TB pattern) are the result of the invariance under flavour symmetries. The strategy is to promote these symmetries of the mass matrices in symmetries of all the Lagrangian
- Flavour symmetries cannot be exact: the Yukawas do not show any symmetry at low-energy
- Starting from a Yukawa Lagrangian invariant under a Flavour Symmetry, masses and mixings arise only through a Symmetry Breaking Mechanism
- New Beyond SM physics that originates such mechanism is necessary
- One further degree of freedom:

type of flavour symmetry

# Type of Flavour Symmetries



There are advantages and disadvantages for any possible choice!

In the following I will concentrate only on global flavour symmetries: on  $U(1)$  and  $SU(3)$  for the class of continuous symmetries and on  $A_4$  for the class of discrete symmetries. These are the most common combinations studied in the literature.



# Basics of Group Theory

## Definition of a group $G$ :

$G$  is a set of elements with a multiplication rule

1. **Closure:**

$$g_1 \in G, g_2 \in G \Rightarrow g_1 g_2 \in G$$

2. **Associativity:**

$$(g_1 g_2) g_3 = g_1 (g_2 g_3)$$

3. **Unit element:**

$$\forall g \in G, \exists I \in G \text{ such that } I g = g$$

4. **Inverse element:**

$$\forall g \in G, \exists g^{-1} \in G \text{ such that } g^{-1} g = I$$

# U(1)

U(1) is the group of the 1x1 unitary matrices. Only 1-dim irrep.  
Geometrically it is the group of the rotations in the complex plane about the origin:  $\theta \rightarrow e^{i\theta}$ .

Given two fields,  $g_1 \sim \theta_1$  and  $g_2 \sim \theta_2$ , the product of the fields transforms as  $\theta_1 + \theta_2$ :

→ and invariant under U(1) is such that it transforms as 0

$$g_1 g_2 \cdots g_n \quad \longrightarrow \quad \theta_1 + \theta_2 + \cdots + \theta_n = 0$$

# SU(3)

SU(3) is the special unitary group of degree 3 and is the group of 3x3 unitary matrices with determinant 1.

Its generators are represented as traceless hermitian matrices:

$$\begin{aligned} \text{tr}(\lambda_a) &= 0 & \lambda_a &= \lambda_a^\dagger \\ \lambda_1 &= \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_2 &= \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_3 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\ \lambda_4 &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} & \lambda_5 &= \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} & \lambda_6 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \\ \lambda_7 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} & \lambda_8 &= \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix} \end{aligned}$$

If  $g_1, g_2 \sim 3$  then  $\bar{g}_1, \bar{g}_2 \sim \bar{3}$  and

$$3 \times 3 = \bar{3} + 6$$

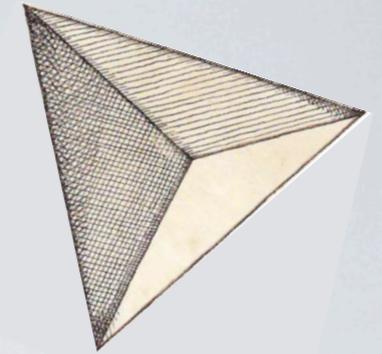
$$3 \times \bar{3} = 1 + 8$$

$$6 \times \bar{6} = 1 + 8 + \dots$$

$$8 \times 8 = 1 + 8 + \dots$$

# A<sub>4</sub>

A<sub>4</sub> is the group of even permutations of 4 objects isomorphic to the group of the rotations which leave a regular tetrahedron invariant (Subgroup of SO(3)).



It has 12 elements and 4 representations: 3, 1, 1', 1''

It has two generators, S and T, that satisfy the relations:

$$S^2 = T^3 = (ST)^3 = 1$$

$$A_4 = \{1, S, T, ST, TS, T^2, ST^2, STS, TST, T^2S, TST^2, T^2ST\}$$

**3d rep:**

$$T = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

$$S = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

$$1' \times 1' = 1''$$

$$1' \times 1'' = 1$$

$$1'' \times 1'' = 1'$$

$$3 \times 3 = 1 + 1' + 1'' + 3 + 3$$

$$\left\{ \begin{array}{l} 1 = a_1b_1 + a_2b_2 + a_3b_3 \\ 1' = a_1b_1 + \omega^2 a_2b_2 + \omega a_3b_3 \\ 1'' = a_1b_1 + \omega a_2b_2 + \omega^2 a_3b_3 \\ 3 \sim (a_2b_3, a_3b_1, a_1b_2) \\ 3 \sim (a_3b_2, a_1b_3, a_2b_1) \end{array} \right.$$

$$\omega \equiv e^{\frac{2\pi i}{3}}$$

Physics is independent on the chosen basis for the generators!

$$S^2 = T^3 = (ST)^3 = 1$$

**3d rep:**

$$T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}$$

$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$$

$$1' \times 1' = 1''$$

$$1' \times 1'' = 1$$

$$1'' \times 1'' = 1'$$

$$3 \times 3 = 1 + 1' + 1'' + 3_S + 3_A$$

$$\left\{ \begin{array}{l} 1 = a_1 b_1 + a_2 b_3 + a_3 b_2 \\ 1' = a_3 b_3 + a_1 b_2 + a_2 b_1 \\ 1'' = a_2 b_2 + a_1 b_3 + a_3 b_1 \\ 3_S = \frac{1}{3} \begin{pmatrix} 2a_1 b_1 - a_2 b_3 - a_3 b_2 \\ 2a_3 b_3 - a_1 b_2 - a_2 b_1 \\ 2a_2 b_2 - a_1 b_3 - a_3 b_1 \end{pmatrix} \\ 3_A = \frac{1}{2} \begin{pmatrix} a_2 b_3 - a_3 b_2 \\ a_1 b_2 - a_2 b_1 \\ a_3 b_1 - a_1 b_3 \end{pmatrix} \end{array} \right.$$



# Flavour Models at the GUT Scale:

## The U(1) Flavour Symmetry

Recent reviews:

*Altarelli et al. 2012*

*Buchmuller et al. 2011*

Mainly based on

Froggatt & Nielsen 1979

Altarelli, Feruglio & Masina 2002

# The Froggatt-Nielsen U(1)

The Froggatt-Nielsen U(1) model is a milestone in this context:

- formulated only in the quark sector (1979!!)
- the Flavour Symmetry is a global  $U(1)_{FN}$
- new scalar field  $\theta$ , called flavon, which develops a VEV

$$\langle \theta \rangle / \Lambda \approx \epsilon \ll 1$$

- the SM quarks are charged under  $U(1)_{FN}$  as  $FN(f) = n_f \geq 0$ , while the flavon as a negative charge  $FN(\theta) = -1$
- the corresponding non-renormalisable Lagrangian reads:

$$\begin{aligned} \mathcal{L}_Y = & \left( \frac{\theta}{\Lambda} \right)^{n_{d_i^c}} \left( \frac{\theta}{\Lambda} \right)^{n_{q_j}} (Y_d)_{ij} d_i^c H^\dagger q_j + \\ & + \left( \frac{\theta}{\Lambda} \right)^{n_{u_i^c}} \left( \frac{\theta}{\Lambda} \right)^{n_{q_j}} (Y_u)_{ij} u_i^c \tilde{H}^\dagger q_j + \text{h.c.} \end{aligned}$$

where  $Y_{u,d} \approx \mathcal{O}(1)$  are free parameters

$$\mathcal{L}_Y = \left(\frac{\theta}{\Lambda}\right)^{n_{d_i^c}} \left(\frac{\theta}{\Lambda}\right)^{n_{q_j}} (Y_d)_{ij} d_i^c H^\dagger q_j +$$

$$+ \left(\frac{\theta}{\Lambda}\right)^{n_{u_i^c}} \left(\frac{\theta}{\Lambda}\right)^{n_{q_j}} (Y_u)_{ij} u_i^c \tilde{H}^\dagger q_j + \text{h.c.}$$

when the symmetry is spontaneously broken by the VEV of  $\theta$ , fermions receive different contributions in terms of  $\epsilon$ . The Yukawa matrices are then given by:

$$y_u = F_{u^c} Y_u F_q \quad y_d = F_{d^c} Y_d F_q$$

$$F_f = \begin{pmatrix} \epsilon^{n_{f1}} & 0 & 0 \\ 0 & \epsilon^{n_{f2}} & 0 \\ 0 & 0 & \epsilon^{n_{f3}} \end{pmatrix} \quad (f = q, u^c, d^c)$$

Assuming  $n_{f1} > n_{f2} > n_{f3} \geq 0$ , we move to the physical basis:

$$(V_{u,d})_{ii} \approx 1 \quad (V_{u,d})_{ij} \approx \frac{n_{q_i}}{n_{q_j}} < 1 \quad (i < j)$$

$$V_{ud} \approx V_{cs} \approx V_{tb} \approx \mathcal{O}(1)$$

$$V_{ub} \approx V_{td} \approx V_{us} \times V_{cb}$$

independently of  
the particular  
charge choice



correct CKM with:  $\left\{ \begin{array}{l} n_q = (3, 2, 0) \\ \epsilon \approx 0.2 \end{array} \right. \longrightarrow V = \begin{pmatrix} 1 & \epsilon & \epsilon^3 \\ \epsilon & 1 & \epsilon^2 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix}$  (neglecting  $O(1)$  parameters)

$$V \approx \begin{pmatrix} 1 & \lambda & \lambda^{3\div 4} \\ \lambda & 1 & \lambda^2 \\ \lambda^{3\div 4} & \lambda^2 & 1 \end{pmatrix}$$

correct quark masses with:  $\left\{ \begin{array}{l} n_{u^c} = (4, 1, 0) \\ n_{d^c} = (1, 0, 0) \end{array} \right.$

$\longrightarrow M_u = \begin{pmatrix} \epsilon^7 & 0 & 0 \\ 0 & \epsilon^3 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad M_d = \begin{pmatrix} \epsilon^4 & 0 & 0 \\ 0 & \epsilon^2 & 0 \\ 0 & 0 & 1 \end{pmatrix}$  (neglecting the mass and the  $O(1)$  parameters)

$$\left[ M_u^{exp} = \begin{pmatrix} \epsilon^7 & 0 & 0 \\ 0 & \epsilon^3 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad M_d^{exp} = \begin{pmatrix} \epsilon^4 & 0 & 0 \\ 0 & \epsilon^2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \right]$$

# Lepton Sector

$$\mathcal{L}_Y = \left(\frac{\theta}{\Lambda}\right)^{n_{e_i^c}} \left(\frac{\theta}{\Lambda}\right)^{n_{\ell_j}} (Y_e)_{ij} d_i^c H^\dagger q_j +$$

$$+ \left(\frac{\theta}{\Lambda}\right)^{n_{\ell_i}} \left(\frac{\theta}{\Lambda}\right)^{n_{\ell_j}} (Y_\nu)_{ij} \frac{(\tilde{H}^\dagger \ell_i)(\tilde{H}^\dagger \ell_j)}{\Lambda_L} + \text{h.c.}$$

correct CKM with:

$$n_q = (3, 2, 0)$$

correct quark masses with:

$$n_{u^c} = (4, 1, 0) \quad n_{d^c} = (1, 0, 0)$$

With a similar choice for the charged leptons, it is possible to explain the mass hierarchy:

$$\begin{cases} n_\ell = (2, 0, 0) \\ n_{e^c} = (3, 2, 0) \end{cases} \longrightarrow M_e = \begin{pmatrix} \epsilon^5 & \epsilon^3 & \epsilon^3 \\ \epsilon^4 & \epsilon^2 & \epsilon^2 \\ \epsilon^2 & 1 & 1 \end{pmatrix}$$

and in the physical basis:

$$U_e = \begin{pmatrix} 1 & \epsilon^2 & \epsilon^2 \\ \epsilon^2 & 1 & 1 \\ \epsilon^2 & 1 & 1 \end{pmatrix} \quad M_e = \begin{pmatrix} \epsilon^5 & 0 & 0 \\ 0 & \epsilon^2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \left[ M_e^{exp} = \begin{pmatrix} \epsilon^5 & 0 & 0 \\ 0 & \epsilon^2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \right]$$

This does not fit with neutrinos and the lepton mixings:

$$M_\nu = \begin{pmatrix} \epsilon^4 & \epsilon^2 & \epsilon^2 \\ \epsilon^2 & 1 & 1 \\ \epsilon^2 & 1 & 1 \end{pmatrix} \longrightarrow U_\nu = \begin{pmatrix} 1 & \epsilon^2 & \epsilon^2 \\ \epsilon^2 & 1 & 1 \\ \epsilon^2 & 1 & 1 \end{pmatrix}$$

$$\longrightarrow U = U_e^\dagger U_\nu = \begin{pmatrix} 1 & \epsilon^2 & \epsilon^2 \\ \epsilon^2 & 1 & 1 \\ \epsilon^2 & 1 & 1 \end{pmatrix} \quad (\text{neglecting } O(1) \text{ parameters})$$

$$\theta_{23} \sim 45^\circ$$

$$\theta_{12} \sim \theta_{13} \sim 2^\circ$$

$$\left[ \begin{array}{l} \theta_{23}^{exp} \sim (38.0 \pm 1.5)^\circ \\ \theta_{12}^{exp} \sim (34.0 \pm 1.0)^\circ \\ \theta_{13}^{exp} \sim (9.0 \pm 0.5)^\circ \end{array} \right]$$

$$m_1 \sim \epsilon^4$$

$$m_2 \approx m_3 \sim 1$$

$$r \equiv \frac{\Delta m_{sol}^2}{\Delta m_{atm}^2} \approx 1$$

$$\left[ r^{exp} \approx 0.03 \pm 0.003 \right]$$

$\longrightarrow$  Need to change the value of  $\epsilon$  and/or the charges

$$n_\ell = (2, 1, 0) \quad n_{e^c} = (7, 2, 0) \quad \epsilon \approx 0.4$$

$$\longrightarrow M_e = \begin{pmatrix} \epsilon^9 & \epsilon^8 & \epsilon^7 \\ \epsilon^4 & \epsilon^3 & \epsilon^2 \\ \epsilon^2 & \epsilon & 1 \end{pmatrix} \quad M_\nu = \begin{pmatrix} \epsilon^4 & \epsilon^3 & \epsilon^2 \\ \epsilon^3 & \epsilon^2 & \epsilon \\ \epsilon^2 & \epsilon & 1 \end{pmatrix}$$

and in the physical basis:

$$\longrightarrow M_e = \begin{pmatrix} \epsilon^9 & 0 & 0 \\ 0 & \epsilon^3 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \left[ M_e^{exp} = \begin{pmatrix} \epsilon^9 & 0 & 0 \\ 0 & \epsilon^3 & 0 \\ 0 & 0 & 1 \end{pmatrix} \right]$$

$$\longrightarrow m_1 \sim \epsilon^4, \quad m_2 \sim \epsilon^2, \quad m_3 \sim 1$$

$$r \equiv \frac{\Delta m_{sol}^2}{\Delta m_{atm}^2} \approx \epsilon^4 \sim 0.026 \quad \left[ r^{exp} \approx 0.03 \pm 0.003 \right]$$

$$\longrightarrow U_e \sim U_\nu = \begin{pmatrix} 1 & \epsilon & \epsilon^2 \\ \epsilon & 1 & \epsilon \\ \epsilon^2 & \epsilon & 1 \end{pmatrix} \quad \longrightarrow U = \begin{pmatrix} 1 & \epsilon & \epsilon^2 \\ \epsilon & 1 & \epsilon \\ \epsilon^2 & \epsilon & 1 \end{pmatrix}$$

$$\theta_{23} \sim \theta_{12} \sim 22^\circ$$

$$\theta_{13} \sim 9^\circ$$

$$\left[ \begin{array}{l} \theta_{23}^{exp} \sim (38.0 \pm 1.5)^\circ \\ \theta_{12}^{exp} \sim (34.0 \pm 1.0)^\circ \\ \theta_{13}^{exp} \sim (9.0 \pm 0.5)^\circ \end{array} \right]$$

# Summary

The use of the  $U(1)$  symmetry has several advantages:

- it is minimal: only 1 symmetry factor and only 1 new scalar field
- the  $U(1)$  is already present in the SM (hypercharge)
- the  $U(1)$  can be gauged to avoid the presence of the Goldstone boson due to the spontaneously symmetry breaking: in this case there will be the appearance of a new gauge boson, the  $Z'$ , well studied in phenomenology
- the gauge  $U(1)$  is usually present in the low-energy theories that originate from GUT or Strings (constraints on the choice of charges)
- realistic models can be constructed respecting the symmetric principle and all the fermion mass hierarchies and mixings can be explained

On the other hand, the predictions are affected by  $O(1)$  coefficients and therefore the predictive power is rather weak.

# Exercises 3 & 4

- Construct the mass matrices in the case of type I See-Saw if the charges of the fields are the following:
  - a.  $n_\ell = (1, 0, 0)$      $n_{e^c} = (3, 2, 0)$      $n_{\nu^c} = (2, 1, 0)$      $\epsilon \approx 0.2$
  - b.  $n_\ell = (2, 1, 0)$      $n_{e^c} = (5, 3, 0)$      $n_{\nu^c} = (2, 1, 0)$      $\epsilon \approx 0.4$
  - c.  $n_\ell = (2, 0, 0)$      $n_{e^c} = (5, 3, 0)$      $n_{\nu^c} = (1, -1, 0)$      $\epsilon \approx 0.4$and discuss the values of the charged lepton mass hierarchy, of the mixing angles and of  $r$ . Notice that this charges are compatible with SU(5) GUT unification.
- Identify the charges that give rise to the quark mass hierarchies and the CKM matrix taking as expanding parameter  $\epsilon = 0.1$ .

# Flavour Models at the GUT Scale:

## The $A_4$ Flavour Symmetry

Recent Reviews:

Altarelli *et al.* 2004

Mohapatra *et al.* 2006

Altarelli 2010

Altarelli *et al.* 2012

Mainly based on

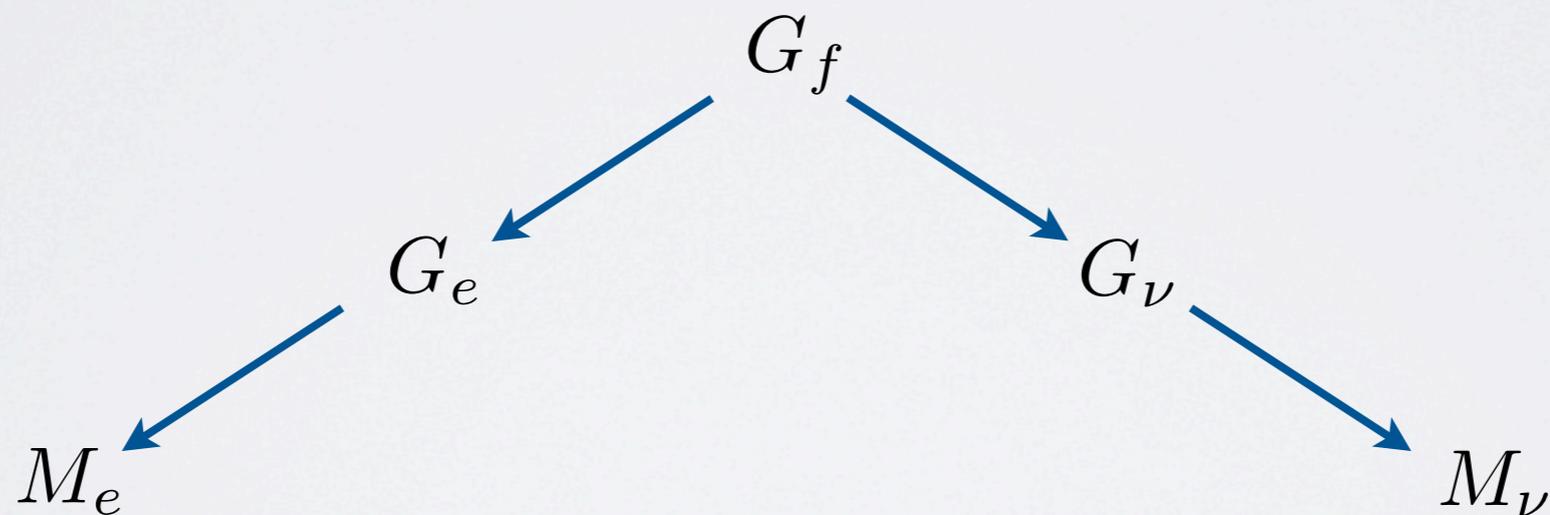
Altarelli & Feruglio 2005

# General Strategy

Wrt  $U(1)$ , discrete symmetries have a more complicated structure: usually, non-trivial subgroups can be identified. It is possible to use this property to improve the predictive power and describe specific patterns (such as the TB).

Consider the flavour symmetry  $G_f$ , broken into two different subgroups:

- $G_e$  in the charged lepton sector and  $G_\nu$  in the neutrino sector
- $M_e$  and  $M_\nu$  are determined by  $G_e$  and  $G_\nu$ , respectively

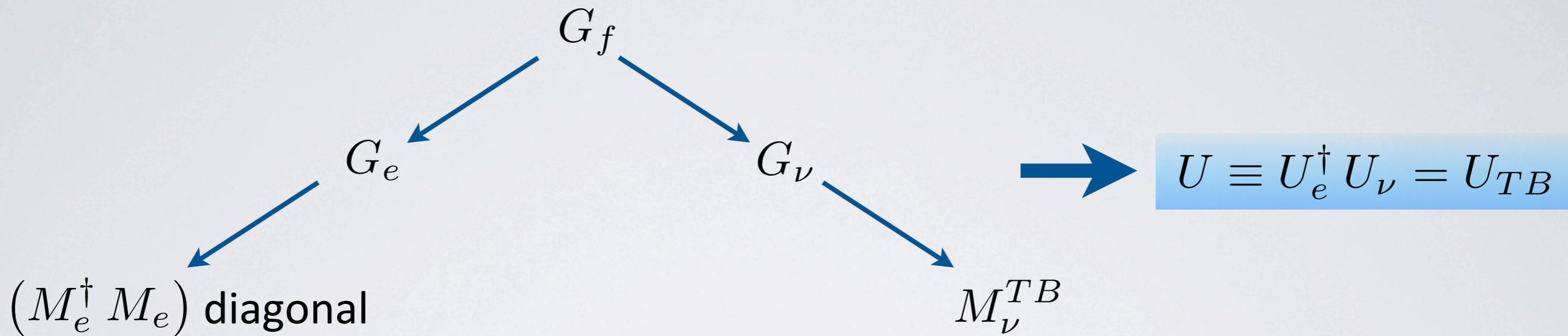


Imposing that  $(M_e^\dagger M_e)$  is invariant under  $G_e$  and  $M_\nu$  under  $G_\nu$ , then  $U_e$  and  $U_\nu$ , and therefore  $U \equiv U_e^\dagger U_\nu$ , are fully determined by  $G_e$  and  $G_\nu$ .

$$U_e^\dagger (M_e^\dagger M_e) U_e = (M_e^\dagger M_e)^{diag}$$

# TB from Symmetry Breaking

It is useful to work in the basis in which  $(M_e^\dagger M_e)$  is diagonal. As a result, the PMNS matrix comes only from the neutrino sector:



A symmetry that forces  $(M_e^\dagger M_e)$  to be diagonal can be (many possibilities):

$$G_e = \{1, T, T^2\} \quad T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix} \quad \omega \equiv e^{\frac{2\pi i}{3}}$$

that is called the Cyclic group  $Z_3$ , under which

$$\longrightarrow T^\dagger (M_e^\dagger M_e) T = (M_e^\dagger M_e) = \text{diag}(m_e^2, m_\mu^2, m_\tau^2)$$

(A bottom-up approach also works: given  $(M_e^\dagger M_e)$  diagonal, which is a  $T$  that leaves  $(M_e^\dagger M_e)$  invariant?)

For the neutrinos, we have already seen that the TB pattern

$$U_{TB} = \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & -1/\sqrt{2} \\ -1/\sqrt{6} & 1/\sqrt{3} & +1/\sqrt{2} \end{pmatrix}$$

arises from the following most general neutrino mass matrix:

$$M_\nu^{TB} = \begin{pmatrix} x & y & y \\ y & z & x + y - z \\ y & x + y - z & z \end{pmatrix}$$

that is invariant under transformations of the magic and mu-tau symmetries:

magic symmetry

$$S^T M_\nu^{TB} S = M_\nu^{TB}$$

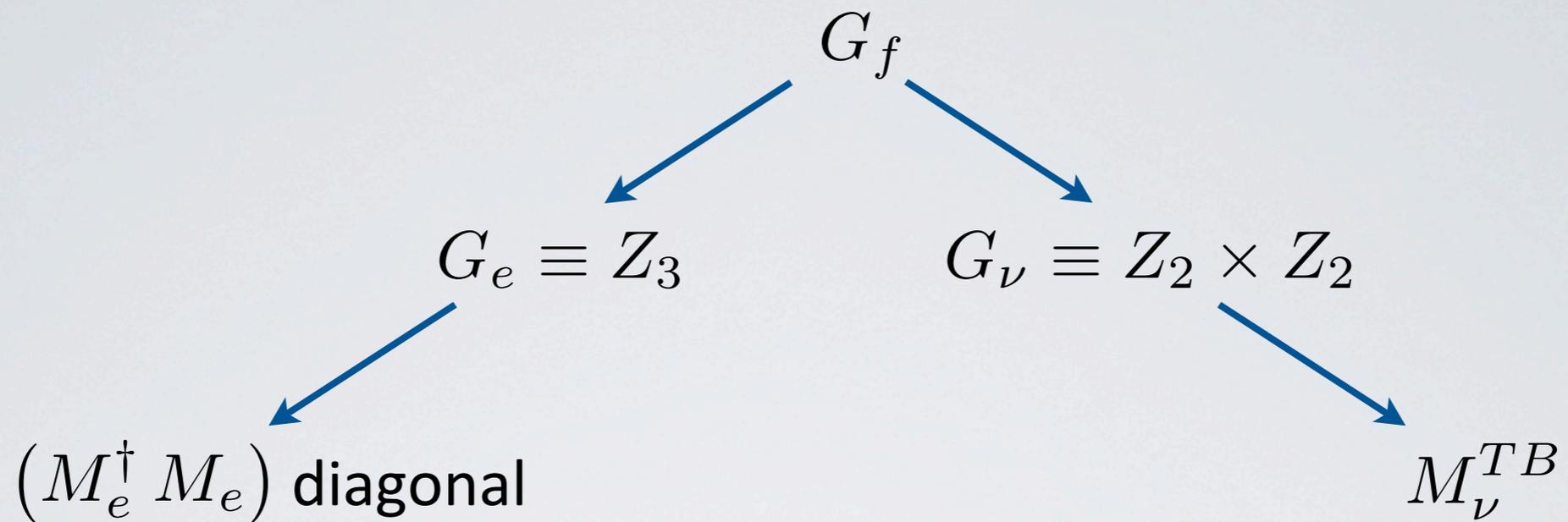
$$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$$

mu-tau symmetry

$$A_{23}^T M_\nu^{TB} A_{23} = M_\nu^{TB}$$

$$A_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

Notice that  $S^2 = 1$  and  $A_{23}^2 = 1$ . This means that both  $G_S = \{1, S\}$  and  $G_{A_{23}} = \{1, A_{23}\}$  are parity groups  $Z_2$ .



and the generators of the groups are  $T, S, A_{23}$ .

The **minimal** choice for  $G_f$  such that the charged leptons are diagonal and the neutrinos are diagonalized by the TB pattern is  $A_4$  as done by Altarelli and Feruglio (2005).  $A_4$  has only two generators and 12 elements:

$$S^2 = T^3 = (ST)^3 = 1$$

and 4 irreducible representations: (2<sup>nd</sup> basis)

1	$S = 1$	$T = 1$	3	$T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}$	$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$
1'	$S = 1$	$T = \omega^2$			
1''	$S = 1$	$T = \omega$			

the same  $T$  and  $S$  that generate  $G_e$  and  $G_S$

# The Model

	Matter fields				Higgs		Flavons		
	$\ell$	$e^c$	$\mu^c$	$\tau^c$	$h_{u,d}$	$\theta$	$\varphi_T$	$\varphi_S$	$\xi$
$A_4$	3	1	$1''$	$1'$	1	1	3	3	1
$Z_3$	$\omega$	$\omega^2$	$\omega^2$	$\omega^2$	1	1	1	$\omega$	$\omega$
$U(1)_{FN}$	0	2	1	0	0	-1	0	0	0

Change of notation for the Higgs!

Non-renormalisable Lagrangian invariant under SM and Flavour symmetries:

$$\mathcal{L}_e = y_e \frac{\theta^2}{\Lambda^2} e^c \left( \frac{\varphi_T}{\Lambda} \ell \right) h_d + y_\mu \frac{\theta}{\Lambda} \mu^c \left( \frac{\varphi_T}{\Lambda} \ell \right)' h_d + y_\tau \tau^c \left( \frac{\varphi_T}{\Lambda} \ell \right)'' h_d$$

$$\mathcal{L}_\nu = x_a \frac{\xi}{\Lambda} h_u h_u \frac{(\ell \ell)}{\Lambda_L} + x_b h_u h_u \left( \frac{\varphi_S}{\Lambda} \frac{\ell \ell}{\Lambda_L} \right)$$

$$1' \times 1' = 1''$$

$$1' \times 1'' = 1$$

$$1'' \times 1'' = 1'$$

$$3 \times 3 = 1 + 1' + 1'' + 3 + 3$$

$\Lambda$   $\longrightarrow$  Flavour cut-off

$\Lambda_L$   $\longrightarrow$  LN cut-off

$\frac{\theta^n}{\Lambda^n}$   $\longrightarrow$  FN mechanism

$$\mathcal{L}_e = y_e \frac{\theta^2}{\Lambda^2} e^c \left( \frac{\varphi_T}{\Lambda} \ell \right) h_d + y_\mu \frac{\theta}{\Lambda} \mu^c \left( \frac{\varphi_T}{\Lambda} \ell \right)' h_d + y_\tau \tau^c \left( \frac{\varphi_T}{\Lambda} \ell \right)'' h_d$$

$$\mathcal{L}_\nu = x_a \frac{\xi}{\Lambda} h_u h_u \frac{(\ell \ell)}{\Lambda_L} + x_b h_u h_u \left( \frac{\varphi_S}{\Lambda} \frac{\ell \ell}{\Lambda_L} \right)$$

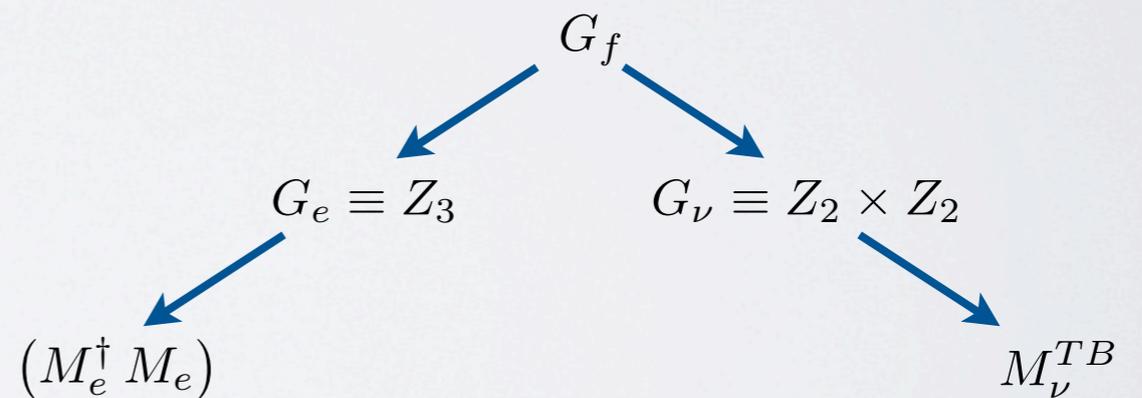
Under appropriate conditions (SUSY, Extra-D...) a natural minimum of the scalar potential is

$$\frac{\langle \varphi_T \rangle}{\Lambda} = (u, 0, 0) \quad \longrightarrow \quad \text{breaks } A_4 \text{ down to } G_e \equiv Z_3$$

$$\frac{\langle \varphi_S \rangle}{\Lambda} = c_b (u, u, u) \quad \longrightarrow \quad \text{breaks } A_4 \text{ down to } G_S \equiv Z_2$$

$$\frac{\langle \xi \rangle}{\Lambda} = c_a u$$

$$\frac{\langle \theta \rangle}{\Lambda} = \epsilon$$



We need to prevent that  $\varphi_S$  ( $\varphi_T$ ) couples to the charged lepton (neutrino) sector: the additional  $Z_3$  avoids the appearance of such terms.

After the EW and Flavour symmetry breakings:

$$\mathcal{L}_e \longrightarrow M_e = \begin{pmatrix} y_e \epsilon^2 & 0 & 0 \\ 0 & y_\mu \epsilon & 0 \\ 0 & 0 & y_\tau \end{pmatrix} u v_d$$

$\epsilon \approx 0.5$   
explains  
the mass  
hierarchy

$$\mathcal{L}_\nu \longrightarrow M_\nu = \begin{pmatrix} a + \frac{2}{3}b & -\frac{b}{3} & -\frac{b}{3} \\ -\frac{b}{3} & \frac{2}{3}b & a - \frac{b}{3} \\ -\frac{b}{3} & a - \frac{b}{3} & \frac{2}{3}b \end{pmatrix} \frac{v_u^2}{\Lambda_L}$$

$$\left[ M_\nu^{TB} = \begin{pmatrix} x & y & y \\ y & z & x + y - z \\ y & x + y - z & z \end{pmatrix} \right]$$

$$\begin{cases} a \equiv 2 x_a c_a u \\ b \equiv 2 x_b c_b u \end{cases} \quad \begin{array}{l} \text{2 complex parameters} \\ \text{in the neutrino sector} \end{array}$$

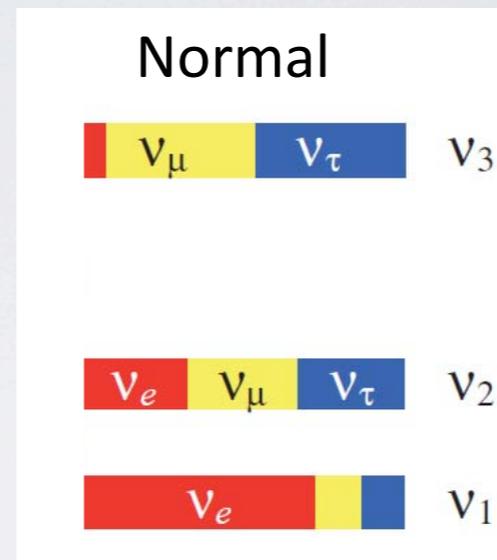
Notice that  $M_\nu$  is also invariant under  $G_{A_{23}}$ : it does not come from the flavour symmetry, but it is an accidental symmetry!

- The mixing is the TB pattern:

$$U_{TB}^T M_\nu U_{TB} = \text{diag}(a + b, a, -a + b) \frac{v_u^2}{\Lambda_L}$$

- Only normal hierarchical spectrum

$$r \equiv \frac{\Delta m_{sol}^2}{\Delta m_{atm}^2} \approx \frac{1}{35}$$



- Lower bounds on the lightest neutrino mass, sum of neutrino masses

$$m_1 \geq 0.014 \text{ eV} \quad \sum m_i \geq 0.09 \text{ eV}$$

- Prediction for the  $0\nu 2\beta$ -decay effective mass:

$$\begin{aligned}
 |m_{ee}|^2 &= \left| a + \frac{2}{3}b \right|^2 \\
 &= \frac{1}{9} (9m_1^2 + 5\Delta m_{sol}^2 - \Delta m_{sol}^2)
 \end{aligned}$$

# Sub-Leading Corrections

Sub-leading corrections arise from higher-dimensional operators, suppressed by additional powers of the cut-off: they affect

$$\underbrace{M_e \quad M_\nu \quad \text{VEV alignment}}$$

due to the complete breaking of  $A_4$ :  
 $\varphi_S$  ( $\varphi_T$ ) couples to the charged lepton  
(neutrino) sector and no surviving  
subgroup is present at the NLO

■ The mixing is perturbed:

$$\sin^2 \theta_{12} \simeq \frac{1}{3} + \mathcal{O}(u) \quad \sin^2 \theta_{23} \simeq \frac{1}{2} + \mathcal{O}(u) \quad \sin \theta_{13} \simeq \mathcal{O}(u)$$

■ The predictions for  $m_1$ ,  $\sum m_i$  and  $|m_{ee}|$  modified by  $\mathcal{O}(u)$  terms

■  $u$  must be of the order of  $u \sim 0.08$  to accommodate the value of the reactor angle

# Summary

The use of the  $A_4$  symmetry has several advantages:

- the predictive power is strong: all the three mixing angles
- with an additional  $U(1)$ , it is possible to explain the charged lepton mass hierarchy (the  $U(1)$  can be substitute by other discrete syms)
- the spontaneous breaking of a discrete symmetry does not lead to the appearance of massless Goldstone bosons
- the discrete symmetries could be a leftover of Poincare symmetry in  $D > 4$  dimensions or could arise from string theories
- realistic models can be constructed respecting the symmetric principle
- extensions to quark exist and are working [Feruglio *et al.* 2007]

On the other hand:

- the spectrum is enriched of several scalar fields
- the cut-off is generically at the GUT scale: no directly testable
- misalignment problem: two triplets with two different VEVs. This is solved only in SUSY or Extra-D models

# Exercises 5 & 6

- Write explicitly the NLO operators for the Altarelli-Feruglio model. Identify the corresponding corrections to the mass matrices and to the mixings (2<sup>nd</sup> basis with generator T diagonal).
- Construct the Altarelli-Feruglio model in the type I See-Saw context: wrt to the charges presented before,

	$\nu^c$	$\varphi_S$	$\xi$
$A_4$	<b>3</b>	<b>3</b>	<b>1</b>
$Z_3$	$\omega^2$	$\omega^2$	$\omega^2$
$U(1)_{FN}$	0	0	0

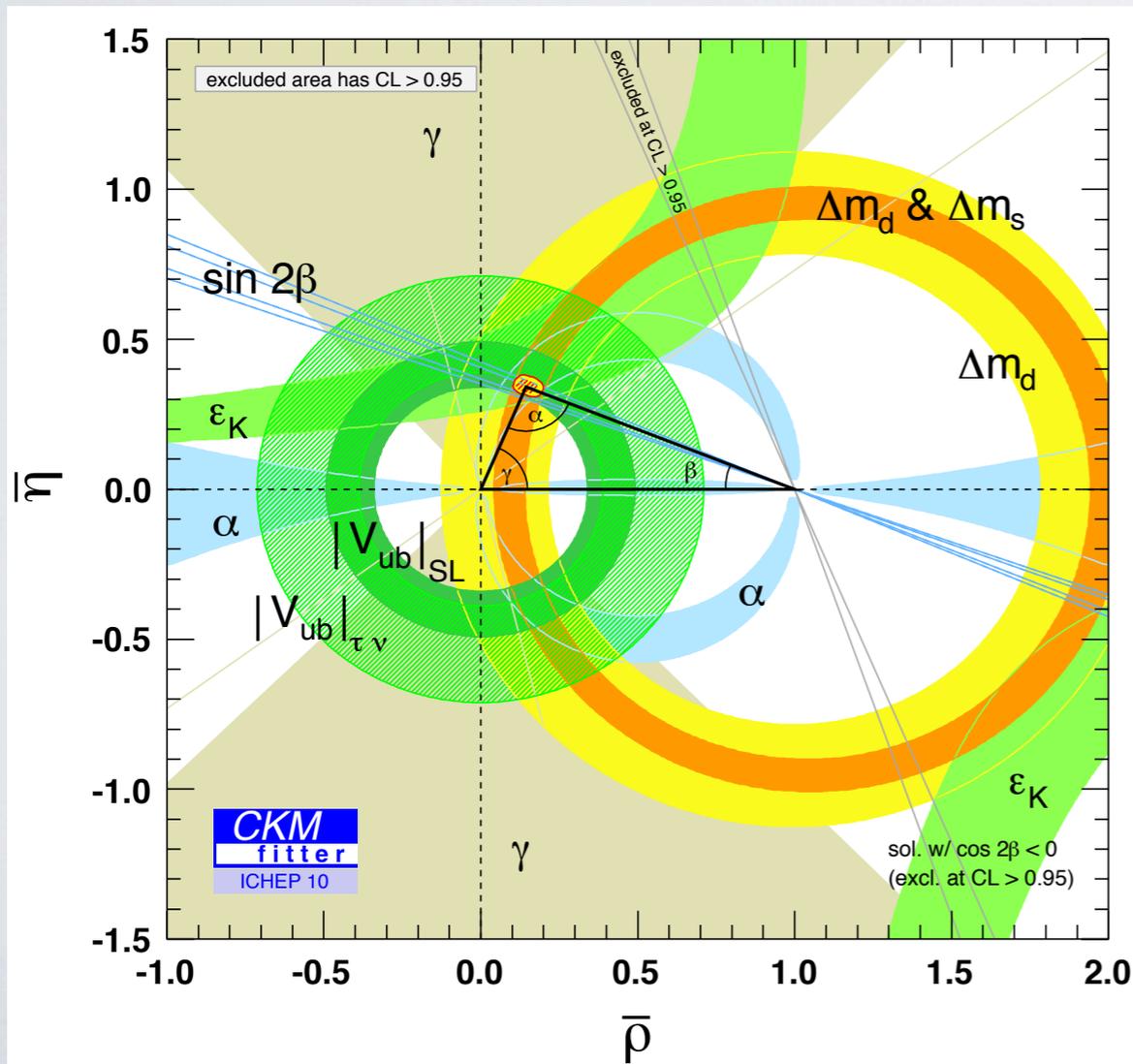
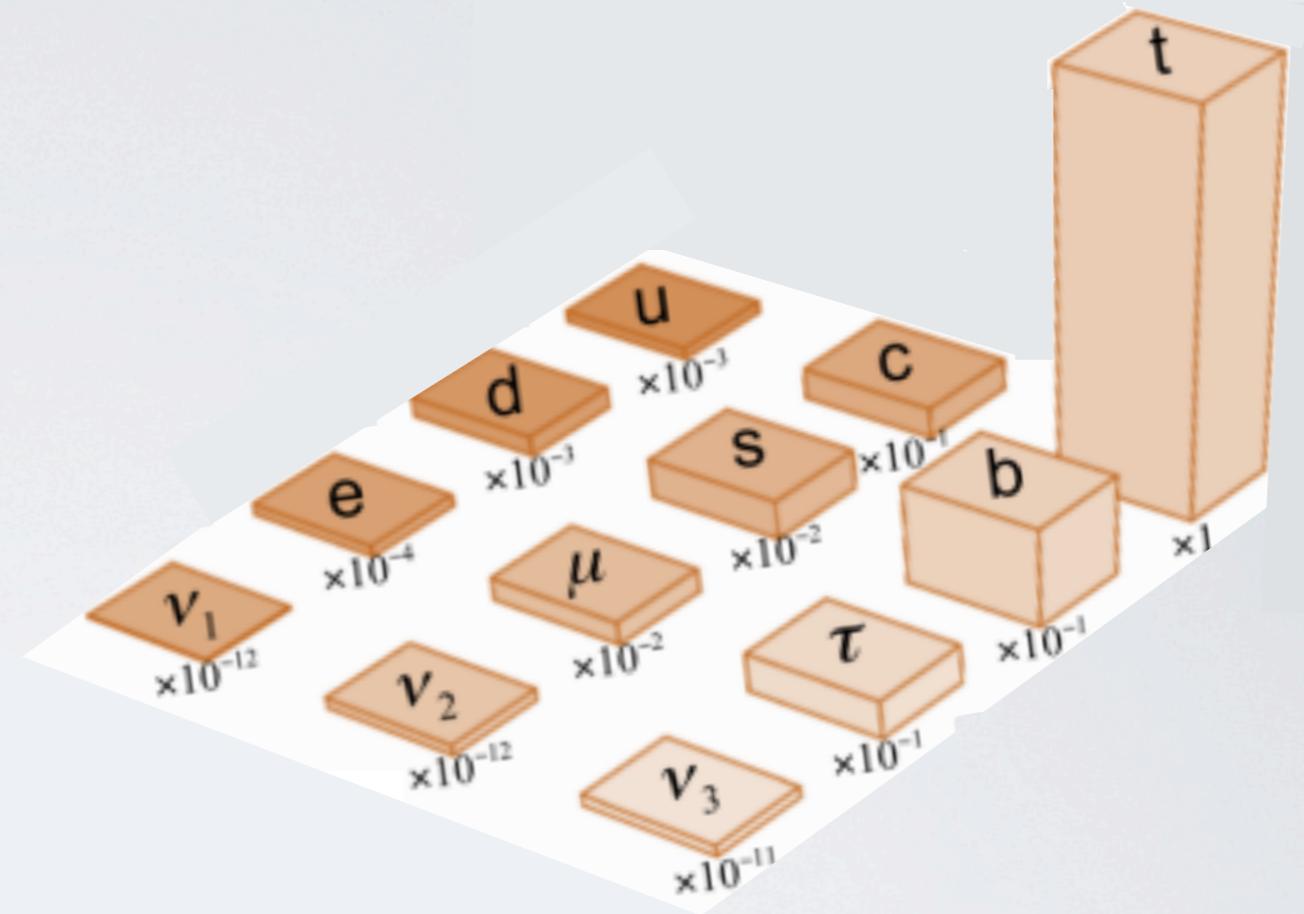
Compute the full Lagrangian, the lepton masses and the mixings.

# Minimal (Lepton) Flavour Violation: a bottom-up approach

Mainly based on  
*D'Ambrosio et al. 2002*  
*Cirigliano et al. 2005*

# The Flavour Problem

The Flavour Puzzle:  
can be solved with  
flavour symmetries.



FCNC:  
automatically suppressed in the  
SM. But what with new physics?

# New Physics

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum \frac{c_i^{(6)}}{\Lambda_{\text{NP}}^2} O_i^{(6)}$$

[Isidori, Nir & Perez 2010]

Operator	Bounds on $\Lambda$ in TeV ( $c_{ij} = 1$ )		Bounds on $c_{ij}$ ( $\Lambda = 1$ TeV)		Observables
	Re	Im	Re	Im	
$(\bar{s}_L \gamma^\mu d_L)^2$	$9.8 \times 10^2$	$1.6 \times 10^4$	$9.0 \times 10^{-7}$	$3.4 \times 10^{-9}$	$\Delta m_K; \epsilon_K$
$(\bar{s}_R d_L)(\bar{s}_L d_R)$	$1.8 \times 10^4$	$3.2 \times 10^5$	$6.9 \times 10^{-9}$	$2.6 \times 10^{-11}$	$\Delta m_K; \epsilon_K$
$(\bar{c}_L \gamma^\mu u_L)^2$	$1.2 \times 10^3$	$2.9 \times 10^3$	$5.6 \times 10^{-7}$	$1.0 \times 10^{-7}$	$\Delta m_D;  q/p , \phi_D$
$(\bar{c}_R u_L)(\bar{c}_L u_R)$	$6.2 \times 10^3$	$1.5 \times 10^4$	$5.7 \times 10^{-8}$	$1.1 \times 10^{-8}$	$\Delta m_D;  q/p , \phi_D$
$(\bar{b}_L \gamma^\mu d_L)^2$	$5.1 \times 10^2$	$9.3 \times 10^2$	$3.3 \times 10^{-6}$	$1.0 \times 10^{-6}$	$\Delta m_{B_d}; S_{\psi K_S}$
$(\bar{b}_R d_L)(\bar{b}_L d_R)$	$1.9 \times 10^3$	$3.6 \times 10^3$	$5.6 \times 10^{-7}$	$1.7 \times 10^{-7}$	$\Delta m_{B_d}; S_{\psi K_S}$
$(\bar{b}_L \gamma^\mu s_L)^2$		$1.1 \times 10^2$		$7.6 \times 10^{-5}$	$\Delta m_{B_s}$
$(\bar{b}_R s_L)(\bar{b}_L s_R)$		$3.7 \times 10^2$		$1.3 \times 10^{-5}$	$\Delta m_{B_s}$



Generic Flavour Violation sources at the TeV scale are excluded

# Minimal Flavour Violation

The SM flavour symmetry of the gauge interactions is

$$\mathcal{L}_K \supset i\ell^\dagger \sigma^\mu D_\mu \ell + ie^{c\dagger} \sigma^\mu D_\mu e^c + iq^\dagger \sigma^\mu D_\mu q + iu^{c\dagger} \sigma^\mu D_\mu u^c + id^{c\dagger} \sigma^\mu D_\mu d^c$$

$$G_f = U(3)_q \times U(3)_{u^c} \times U(3)_{d^c} \times U(3)_\ell \times U(3)_{e^c}$$

$$\left\{ \begin{array}{ll} q \rightarrow U_q q & q \sim (3, 1, 1) \\ u^c \rightarrow u^c U_{u^c}^\dagger & u^c \sim (1, \bar{3}, 1) \\ d^c \rightarrow d^c U_{d^c}^\dagger & d^c \sim (1, 1, \bar{3}) \end{array} \right. \quad \left\{ \begin{array}{ll} \ell \rightarrow U_\ell \ell & \ell \sim (3, 1) \\ e^c \rightarrow e^c U_{e^c}^\dagger & e^c \sim (1, \bar{3}) \end{array} \right.$$

This flavour symmetry is not respected by the Yukawa interactions:

$$\mathcal{L}_Y = e^c Y_e H^\dagger \ell + d^c Y_d H^\dagger q + u^c Y_u \tilde{H}^\dagger q + \text{h.c.}$$

$$\rightarrow e^c U_{e^c}^\dagger Y_e U_\ell H^\dagger \ell + d^c U_{d^c}^\dagger Y_d U_q H^\dagger q + u^c U_{u^c}^\dagger Y_u U_q \tilde{H}^\dagger q + \text{h.c.}$$

The formal invariance is recovered if the Yukawa matrices are promoted to auxiliary fields, called spurions, which transform as:

$$\left\{ \begin{array}{ll} Y_u \rightarrow U_{u^c} Y_u U_q^\dagger & Y_u \sim (\bar{3}, 3, 1) \\ Y_d \rightarrow U_{d^c} Y_d U_q^\dagger & Y_d \sim (\bar{3}, 1, 3) \end{array} \right. \quad \left\{ \begin{array}{ll} Y_e \rightarrow U_{e^c} Y_e U_\ell^\dagger & Y_e \sim (\bar{3}, 3) \end{array} \right.$$

Fermion masses and the CKM matrix are described by:

$$Y_u = \frac{\sqrt{2}}{v} \begin{pmatrix} m_u & 0 & 0 \\ 0 & m_c & 0 \\ 0 & 0 & m_t \end{pmatrix} V$$
$$Y_d = \frac{\sqrt{2}}{v} \begin{pmatrix} m_d & 0 & 0 \\ 0 & m_s & 0 \\ 0 & 0 & m_b \end{pmatrix}$$
$$Y_e = \frac{\sqrt{2}}{v} \begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix}$$

where  $V$  stands for the CKM matrix.

Assuming that all the dim-6 operators are constructed by SM fermions and the spurions, any FCNC process is kept under control, with a NP at a scale of few TeV.

The fermions masses and the CKM are ONLY DESCRIBED and NOT EXPLAINED, because the background values of the spurions are simply ASSUMED. It needs an higher energy theory to explain it. *[Alonso et al. 2011]*

# Neutrinos

Assuming that neutrino masses arise from the Weinberg Operator:

- the flavour symmetry is again  $G_f$  (no new fields)
- $G_f$  is not respected by the Weinberg Operator

$$\frac{\mathcal{L}_5}{\Lambda_L} = \frac{1}{\Lambda_L} \left( \tilde{H}^\dagger \ell \right)^T Y_\nu \left( \tilde{H}^\dagger \ell \right) \rightarrow \frac{1}{\Lambda_L} \left( \tilde{H}^\dagger \ell \right)^T U_\ell^T Y_\nu U_\ell \left( \tilde{H}^\dagger \ell \right)$$

- $G_f$  is formally restored if

$$Y_\nu \sim (8, 1) \quad Y_\nu \rightarrow U_\ell^* Y_\nu U_\ell^\dagger$$

- neutrino masses and the PMNS arise if

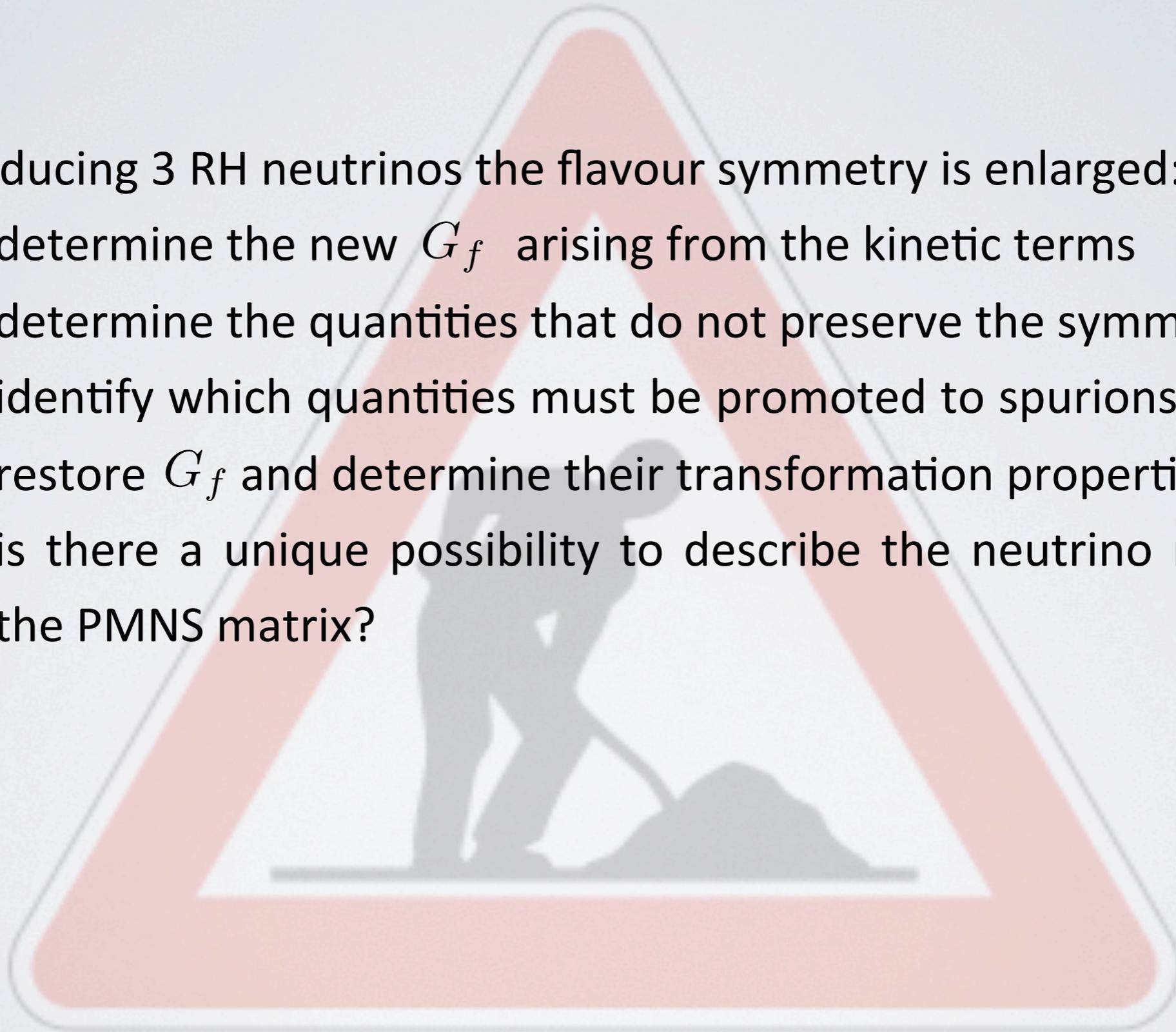
$$Y_\nu = \frac{\Lambda_L}{v^2} U^* \begin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{pmatrix} U^\dagger$$

where  $U$  is the PMNS matrix

Again, the neutrino masses and the PMNS are  
**ONLY DESCRIBED but NOT EXPLAINED.**

# Exercise 7

- Introducing 3 RH neutrinos the flavour symmetry is enlarged:
  - determine the new  $G_f$  arising from the kinetic terms
  - determine the quantities that do not preserve the symmetry
  - identify which quantities must be promoted to spurions to formally restore  $G_f$  and determine their transformation properties
  - is there a unique possibility to describe the neutrino masses and the PMNS matrix?



# Flavour Models at the Electroweak Scale

Mainly based on  
Ma & Rajasekaran 2001

# Scale of Symmetry Breaking

## Large Scale Symmetry Breaking

- The FS is broken at a very large scale  $E \gg v$  by scalar fields that are singlets under the SM gauge group but transform non trivially under the FS:

$$\underbrace{\varphi \sim 3, \chi \sim 2, \xi \sim 1}_{\text{flavons}}$$

- The Lagrangian of the model is usually non-renormalisable: the LO terms describe the most relevant contributions to masses and mixings, while the NLO account for corrections

## EW Scale Symmetry Breaking

- The gauge and the flavour syms are broken together and by the same objects: Multi-Higgs scenario

$$H_1, H_2, H_3, \dots$$

$\underbrace{\hspace{10em}}$   
doublets of  $SU(2)_L$   
non-trivial rep. of the FS

- The Lagrangian of the model is usually renormalisable for the charged fermions and up to dim=5 for the neutrinos (Weinberg Op.)

# The model

The full symmetry of the model is  $A_4 \times L$  :

## Fermions:

$$\ell \sim (3, 1)$$

$$\nu^c \sim (3, 0)$$

$$e^c \sim (1, -1)$$

$$\mu^c \sim (1'', -1)$$

$$\tau^c \sim (1', -1)$$

## Scalars:

$$H \sim (3, 0)$$

$$\eta \sim (1, 1)$$

doublets under  
 $SU(2)_L$

## Lagrangian:

$$\mathcal{L} \supset \frac{1}{2} M \nu^{cT} \nu^c + y_\nu \nu^{cT} (\tilde{\eta}^\dagger \ell)_3 +$$

$$+ y_e e^c (H^\dagger \ell) + y_\mu \mu^c (H^\dagger \ell)' + y_\tau \tau^c (H^\dagger \ell)''$$

$$1' \times 1' = 1''$$

$$1' \times 1'' = 1$$

$$1'' \times 1'' = 1'$$

$$3 \times 3 = 1 + 1' + 1'' + 3 + 3$$

$$\mathcal{L} \supset \frac{1}{2} M \nu^{cT} \nu^c + y_\nu \nu^{cT} (\tilde{\eta}^\dagger \ell)_3 + \\ + y_e e^c (H^\dagger \ell) + y_\mu \mu^c (H^\dagger \ell)' + y_\tau \tau^c (H^\dagger \ell)''$$

Once the neutral components of the scalar fields get vevs:

$$\langle H^0 \rangle = (v, v, v)/\sqrt{2} \quad \langle \eta^0 \rangle = u/\sqrt{2}$$

Charged Leptons: (1<sup>nd</sup> basis with generator S diagonal)

$$M_e = \begin{pmatrix} y_e & y_e & y_e \\ y_\mu & y_\mu \omega & y_\mu \omega^2 \\ y_\tau & y_\tau \omega^2 & y_\tau \omega \end{pmatrix} \frac{v}{\sqrt{2}}$$

that is diagonalized by a LH transformation:

$$M_e U_e = M_e^{diag} = \begin{pmatrix} y_e & 0 & 0 \\ 0 & y_\mu & 0 \\ 0 & 0 & y_\tau \end{pmatrix} \frac{v}{\sqrt{2}} \quad U_e = \frac{1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{pmatrix}$$

**Charged lepton mass hierarchy is NOT explained!**

$$\mathcal{L} \supset \frac{1}{2} M \nu^{cT} \nu^c + y_\nu \nu^{cT} (\tilde{\eta}^\dagger \ell)_3 +$$

$$+ y_e e^c (H^\dagger \ell) + y_\mu \mu^c (H^\dagger \ell)' + y_\tau \tau^c (H^\dagger \ell)''$$

Once the neutral components of the scalar fields get vevs:

$$\langle H^0 \rangle = (v, v, v)/\sqrt{2} \quad \langle \eta^0 \rangle = u/\sqrt{2}$$

Neutrinos:

$$M_{(\nu, \nu^c)} = \begin{pmatrix} 0 & Y_\nu^T u/\sqrt{2} \\ Y_\nu u/\sqrt{2} & M_{\nu^c} \end{pmatrix}$$

$$M_{\nu^c} = \begin{pmatrix} M & 0 & 0 \\ 0 & M & 0 \\ 0 & 0 & M \end{pmatrix} \quad Y_\nu = \begin{pmatrix} y_\nu & 0 & 0 \\ 0 & y_\nu & 0 \\ 0 & 0 & y_\nu \end{pmatrix}$$

$$\longrightarrow M_\nu = \frac{y_\nu^2 u^2}{2M} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \text{completely degenerate neutrino masses}$$

U<sub>PMNS</sub>:

$$U_e = \frac{1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega^2 & \omega \\ 1 & \omega & \omega^2 \end{pmatrix} \quad U_\nu \text{ generic}$$

$$\longrightarrow U \equiv U_e^\dagger U_\nu = \frac{1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^2 \\ 1 & \omega^2 & \omega \end{pmatrix} U_\nu$$

Since all the neutrino masses are degenerate, then **the neutrino mixings are unspecified!**

Proposed Solution: add arbitrary soft terms (symmetry breaking terms) that break the degeneracy and fix the mixings. These terms are of the type:

$$\left( M_{\nu^c}^{soft} \right)_{ij} \nu_i^c \nu_j^c$$

In this way it is possible fit the data.

**It does NOT follow the symmetry principle!**

Where do these terms come from?

Why exactly these terms and not others?

...

# The Scalar Potential

The key ingredient of the model is the vacuum alignment:

$$\langle H^0 \rangle = (v, v, v)/\sqrt{2} \quad \langle \eta^0 \rangle = u/\sqrt{2}$$

Focussing on H:

$$\begin{aligned} V[\Phi_a] = & \mu^2 (\Phi_1^\dagger \Phi_1 + \Phi_2^\dagger \Phi_2 + \Phi_3^\dagger \Phi_3) + \lambda_1 (\Phi_1^\dagger \Phi_1 + \Phi_2^\dagger \Phi_2 + \Phi_3^\dagger \Phi_3)^2 + \\ & + \lambda_3 (\Phi_1^\dagger \Phi_1 \Phi_2^\dagger \Phi_2 + \Phi_1^\dagger \Phi_1 \Phi_3^\dagger \Phi_3 + \Phi_2^\dagger \Phi_2 \Phi_3^\dagger \Phi_3) + \\ & + \lambda_4 (\Phi_1^\dagger \Phi_2 \Phi_2^\dagger \Phi_1 + \Phi_1^\dagger \Phi_3 \Phi_3^\dagger \Phi_1 + \Phi_2^\dagger \Phi_3 \Phi_3^\dagger \Phi_2) + \\ & + \frac{\lambda_5}{2} \left[ e^{i\epsilon} \left[ (\Phi_1^\dagger \Phi_2)^2 + (\Phi_2^\dagger \Phi_3)^2 + (\Phi_3^\dagger \Phi_1)^2 \right] + \text{h.c.} \right] \end{aligned}$$

different  
contractions  
of 4 triplets

Condition for a stable minimum away from the origin:

$$\mu^2 < 0 \quad \lambda_i \in \text{Real}$$

Condition for a potential bounded from below:

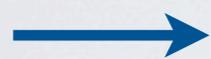
$$\lambda_1 > 0 \quad \lambda_1 + \lambda_3 + \lambda_4 + \lambda_5 \cos \epsilon > 0$$

Condition for a stationary point (minimum or maximum):

$$\frac{\partial V[\Phi]}{\partial \Phi_i} = 0$$

Condition for a physical minimum (all the physical masses are non-negative):

$$\text{Eigenvalues} \left\{ \frac{\partial^2 V[\Phi]}{\partial \Phi_i \partial \Phi_j} \right\} \geq 0$$



one of the solutions is indeed:

$$\langle H^0 \rangle = (v, v, v) / \sqrt{2} \quad v \equiv v_{EW} / \sqrt{3}$$

A true physical minimum must pass also the following requirements:

- Perturbative Unitarity: additional scalars contribute to the gauge boson scattering (that is unitarised by the Higgs particle in the SM)
- Z and  $W^\pm$  decays into scalar fields: these decays are well measured
- Consistency with the electroweak precision tests: TSU parameters
- Study the presence of tree-level flavour changing neutral currents

**Strong constraints on the parameter space!**

# Summary

The model is the simplest of this kind in terms of fields content and of symmetries, but...

- no explanation of charged lepton mass hierarchies
- no symmetric explanation of neutrino masses and mixing

On the other hand the scalar content is well defined: the VEV is indeed a physical minimum of the scalar potential and all the phenomenological bound are respected

(Similar results in the quark sector)



The possibility of explaining masses and mixing using a multi-Higgs approach is still viable but new strategies should be followed.

# Exercises 8 & 9

- Determine all the possible minima of the scalar potential:

$$\begin{aligned} V[\Phi_a] = & \mu^2 (\Phi_1^\dagger \Phi_1 + \Phi_2^\dagger \Phi_2 + \Phi_3^\dagger \Phi_3) + \lambda_1 (\Phi_1^\dagger \Phi_1 + \Phi_2^\dagger \Phi_2 + \Phi_3^\dagger \Phi_3)^2 + \\ & + \lambda_3 (\Phi_1^\dagger \Phi_1 \Phi_2^\dagger \Phi_2 + \Phi_1^\dagger \Phi_1 \Phi_3^\dagger \Phi_3 + \Phi_2^\dagger \Phi_2 \Phi_3^\dagger \Phi_3) + \\ & + \lambda_4 (\Phi_1^\dagger \Phi_2 \Phi_2^\dagger \Phi_1 + \Phi_1^\dagger \Phi_3 \Phi_3^\dagger \Phi_1 + \Phi_2^\dagger \Phi_3 \Phi_3^\dagger \Phi_2) + \\ & + \frac{\lambda_5}{2} \left[ e^{i\epsilon} \left[ (\Phi_1^\dagger \Phi_2)^2 + (\Phi_2^\dagger \Phi_3)^2 + (\Phi_3^\dagger \Phi_1)^2 \right] + \text{h.c.} \right] \end{aligned}$$

distinguishing among real and complex VEVs.

- Modified the previous scalar potential involving also the field  $\eta \sim (1, 1)$  and determine the conditions for it to get a VEV.

# Conclusions

- There is no THEORY of NEUTRINO MASSES and MIXINGS, only ideas!
- The Froggatt-Nielsen U(1) provides a valid strategy, but the predictive power is rather weak.
- Discrete Flavour Models at the GUT scale (i.e. AF model) have a strong predictive power and are able to describe lepton mass hierarchies and mixings. However, they require a much richer heavy spectrum and a more complicated symmetry content (auxiliary symmetries as  $Z_n$ ).
- Minimal (Lepton) Flavour Violation is only a working context, where FCNCs are under control, but it is not a model of flavour: it needs an higher energy theory to explain it.
- Discrete Flavour Models at the Electroweak scale (i.e. MR model) have rather simple spectrum and symmetry content, but give up in describing charged lepton masses, need *ad hoc* terms for the neutrinos and are strongly constrained due to too large FCNCs.

**Thank you**

# Backup

# AF: Vacuum Alignment - Extra D

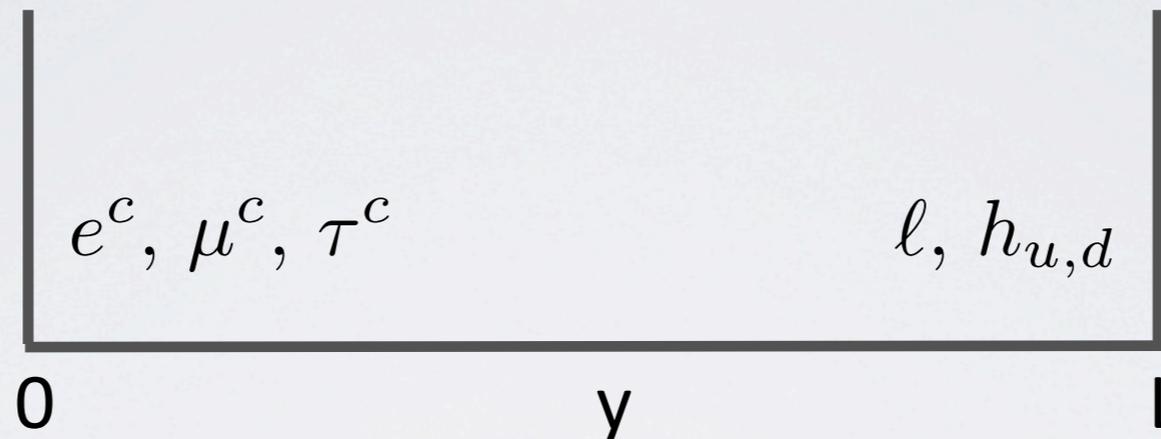
$$\frac{\langle \varphi_T \rangle}{\Lambda} = (u, 0, 0)$$

$$\frac{\langle \varphi_S \rangle}{\Lambda} = c_b(u, u, u)$$

$$\frac{\langle \xi \rangle}{\Lambda} = c_a u$$

is NOT a minimum of the most general renormalisable scalar potential:  
mainly due to the mixed quartic term among  $\varphi_T$  and  $\varphi_S$

$\langle \varphi_T \rangle = (v_T, 0, 0)$   
local minimum of  $V_0$



$\langle \varphi_S \rangle = (v_S, v_S, v_S)$   
 $\langle \xi \rangle = v_\xi$   
local minimum of  $V_L$

The extra-D keeps separated  $\varphi_T$  and  $\varphi_S$ , solving the problem of the mixed quartic terms

$\nu$  masses arise from local operators at  $y=L$ :  $h_u h_u \left( \frac{\varphi_S}{\Lambda} \frac{\ell \ell}{\Lambda_L} \right) \quad h_u h_u \frac{\xi}{\Lambda} \frac{(\ell \ell)}{\Lambda_L}$

charged lepton masses arise from non-local operators:

$$\frac{(f^c \varphi_T F) \delta(y)}{\sqrt{\Lambda}} \quad -M F^c F \quad \frac{(F^c \ell) h_d \delta(y-L)}{\sqrt{\Lambda}}$$

integrating out the bulk fermions  $F \longrightarrow \frac{(f^c \varphi_T \ell) h_d}{\Lambda} e^{-ML}$

# AF: Vacuum Alignment - SUSY

The proposed vacuum alignment is a natural minimum of the scalar potential in the SUSY context:

	$\theta$	$\varphi_T$	$\varphi_S$	$\xi$	$\tilde{\xi}$	$\varphi_0^T$	$\varphi_0^S$	$\xi_0$
$A_4$	1	3	3	1	1	3	3	1
$Z_3$	1	1	$\omega$	$\omega$	$\omega$	1	$\omega$	$\omega$
$U(1)_R$	0	0	0	0	0	2	2	2

The complete superpotential is:  $w = w_\ell + w_\nu + w_d$

$$\mathcal{L}_{e,\nu} \longrightarrow w_{e,\nu}$$

$$w_d = M(\varphi_0^T \varphi_T) + g(\varphi_0^T \varphi_T \varphi_T) + g_1(\varphi_0^S \varphi_S \varphi_S) + g_2 \xi (\varphi_0^S \varphi_S) + \\ + g_3 \xi_0 (\varphi_S \varphi_S) + g_4 \xi_0 \xi^2 + g_5 \xi_0 \xi \tilde{\xi} + g_6 \xi_0 \tilde{\xi}^2$$

The minimum of the scalar potential is at:

$$\frac{\langle \varphi_T \rangle}{\Lambda} = (u, 0, 0) \quad \frac{\langle \varphi_S \rangle}{\Lambda} = c_b (u, u, u) \quad \frac{\langle \xi \rangle}{\Lambda} = c_a u \quad \frac{\langle \tilde{\xi} \rangle}{\Lambda} = 0$$

$$u = -\frac{3M}{2g} \quad c_b^2 = -\frac{g_4}{3g_3} c_a^2 \quad c_a \text{ undetermined}$$

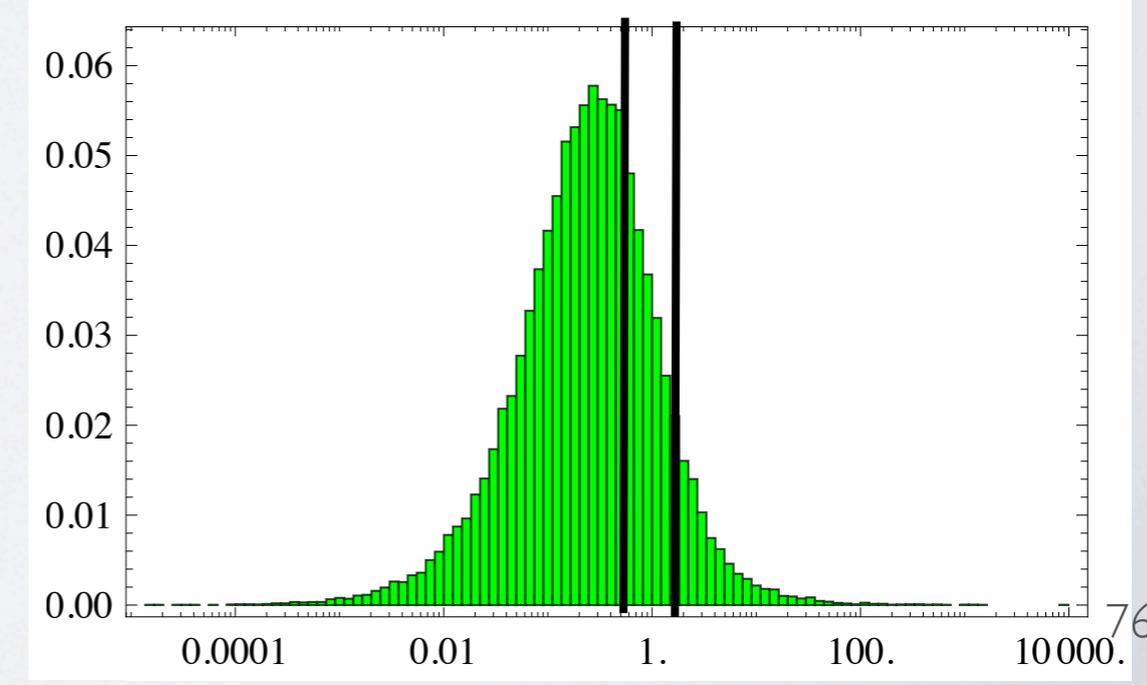
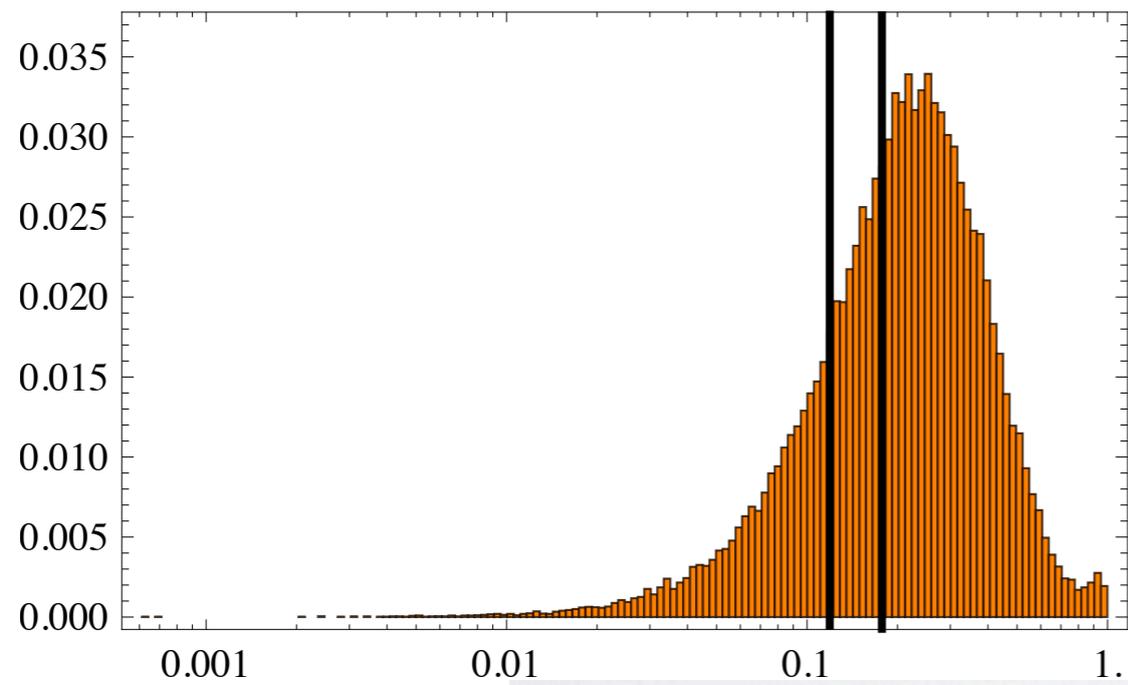
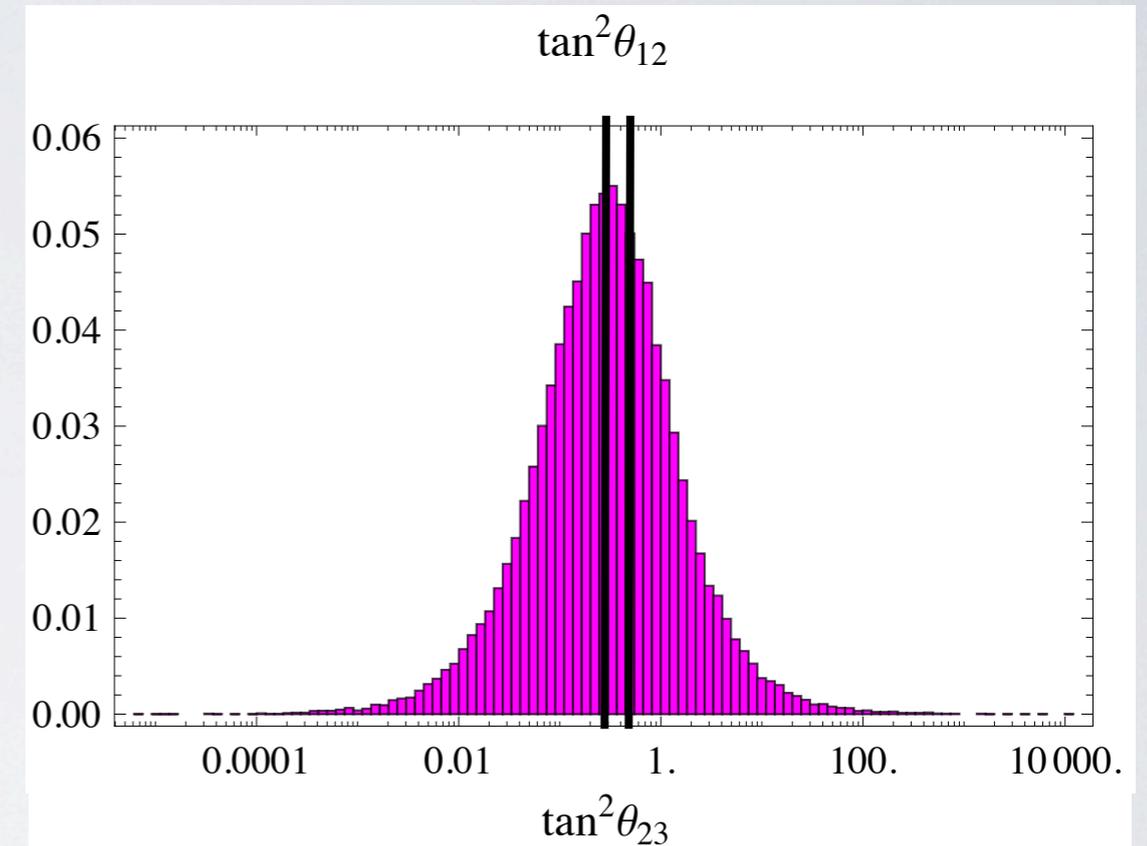
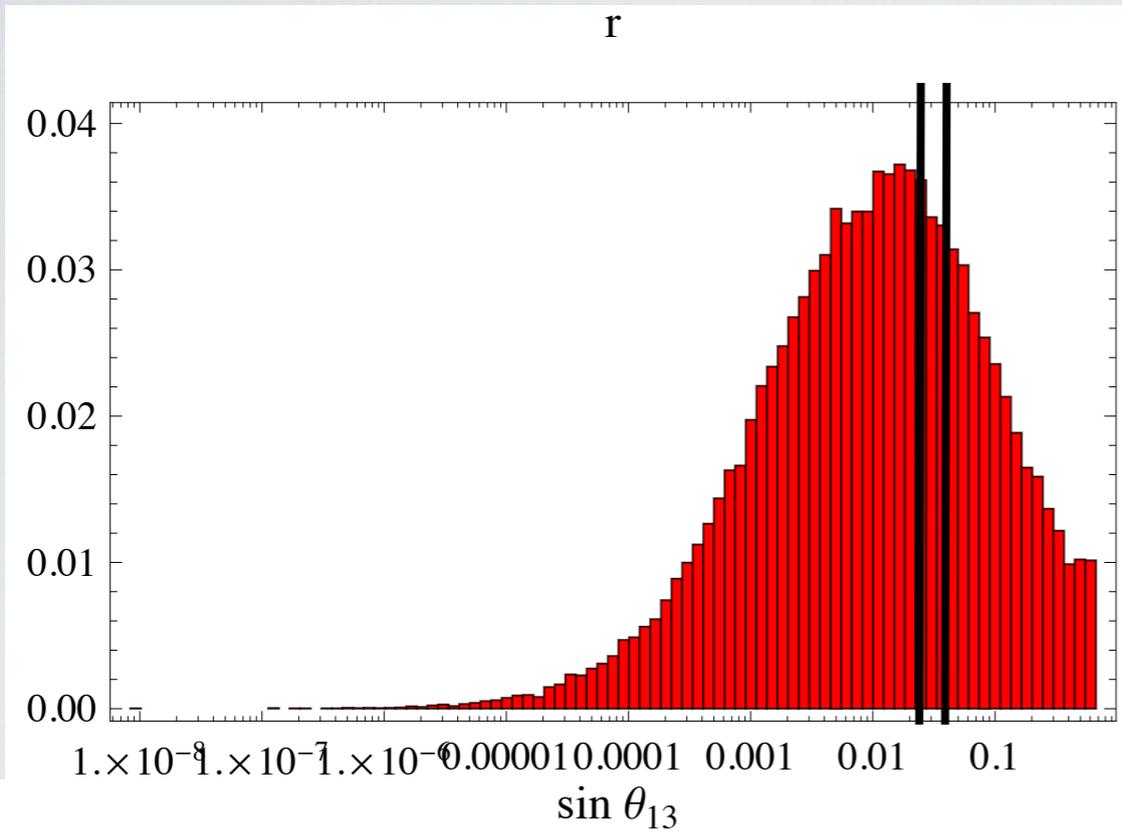
# U(1)

[Altarelli, Feruglio, Masina & LM 2012]

Consider a simple U(1) as flavour symmetry, in a SU(5) inspired context:

$$\Psi_{10} = (5, 3, 0)$$

$$\Psi_{\bar{5}} = (2, 1, 0)$$



# Anarchy vs. Hierarchy?

[Altarelli, Feruglio, Masina & LM 2012]

